

Monitoring fish using passive acoustics

by

Xavier Mouy

B.Sc., University of Le Mans, 2004

M.Sc., University of Québec in Rimouski, 2007

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Supervisory Committee

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Dr. S. E. Dosso, Co-Supervisor  
(School of Earth and Ocean Sciences)

---

Dr. F. Juanes, Co-Supervisor  
(Department of Biology)

---

Dr. J. F. Dower, Departmental Member  
(School of Earth and Ocean Sciences)

---

Dr. G. Tzanetakis, Outside Member  
(Department of Computer Science)

---

Dr. R. A. Rountree, Outside Member  
(Department of Biology)

## ABSTRACT

Some fish produce sounds for a variety of reasons, such as to find mates, defend their territory, or maintain cohesion within their group. These sounds could be used to non-intrusively detect the presence of fish and potentially to estimate their number (or density) over large areas and long time periods. However, many fish sounds have not yet been associated to specific species, which limits the usefulness of this approach. While recording fish sounds in tanks is reasonably straightforward, it presents several problems: many fish do not produce sounds in captivity or their behavior and sound production is altered significantly, and the complex acoustic propagation conditions in tanks often leads to distorted measurements. The work presented in this thesis aims to address these issues by providing methodologies to record, detect, and identify species-specific fish sounds in the wild. A set of hardware and software solutions are developed to simultaneously record fish sounds, acoustically localize the fish in three-dimensions, and record video to identify the fish and observe their behavior. Three platforms have been developed and tested in the field. The first platform, referred to as the large array, is composed of six hydrophones connected to an AMAR acoustic recorder and two open-source autonomous video cameras (FishCams) that were developed during this thesis. These instruments are secured to a PVC frame of dimension 2 m x 2 m x 3 m that can be transported and assembled in the field. The hydrophone configuration for this array was defined using a simulated annealing optimization approach that minimized localization uncertainties. This array provides the largest field of view and most accurate acoustic localization, and is well suited to long-term deployments (weeks). The second platform, referred to as the mini array, uses a single FishCam and four hydrophones connected to a SoundTrap acoustic recorder on a one cubic meter PVC frame; this array can be deployed more easily in constrained locations or on rough/uneven seabeds. The third platform, referred to as the mobile array, consists of four hydrophones connected to a SoundTrap recorder and mounted on a tethered Trident underwater drone with built-in video, allowing remote control and real-time positioning in response to observed fish presence, rather than long-term deployments as for the large and mini arrays. For each array, acoustic localization is performed by measuring time-difference of arrivals between hydrophones and estimating the sound-source location using linearized (for the large array) or non-linear (for the mini and mobile arrays) inversion. Fish sounds are automatically detected and localized in three dimensions, and sounds localized within the field of

view of the camera(s) are assigned to a fish species by manually reviewing the video recordings. The three platforms were deployed at four locations off the East coast of Vancouver Island, British Columbia, Canada, and allowed the identification of sounds from quillback rockfish (*Sebastes maliger*), copper rockfish (*Sebastes caurinus*), and lingcod (*Ophiodon elongatus*), species that had not been documented previously to produce sounds. While each platform developed during this thesis has its own set of advantages and limitations, using them in coordination helps identify fish sounds over different habitats and with various budget and logistical constraints. In an effort to make passive acoustics a more viable way to monitor fish in the wild, this thesis also investigates the use of automatic detection and classification algorithms to efficiently find fish sounds in large passive acoustic datasets. The proposed approach detects acoustic transients using a measure of spectrogram variance and classifies them as “noise” or “fish sounds” using a binary classifier. Five different classification algorithms were trained and evaluated on a dataset of more than 96,000 manually-annotated examples of fish sounds and noise from five locations off Vancouver Island. The classification algorithm that performed best (random forest) has an *Fscore* of 0.84 (*Precision* = 0.82, *Recall* = 0.86) on the test dataset. The analysis of 2.5 months of acoustic data collected in a rockfish conservation area off Vancouver Island shows that the proposed detector can be used to efficiently explore large datasets, formulate hypotheses, and help answer practical conservation questions.

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*"You got it, Cap!"*

Tweak from the Octonauts

DEDICATION

To my parents Marie-Christine and Alain Mouy

# Chapter 1

## Introduction

### 1.1 Background and motivation

The first written recognition that fish produce sound was made by Aristotle in the 4th century BC, who observed that “Fishes can produce no voice, for they have no lungs, nor windpipe and pharynx; but they emit certain inarticulate sounds and squeaks.” [1]. In the middle of the 19th century, several scientific studies confirmed Aristotle’s observation by describing the sound-producing mechanisms of several species of fish [2, 3]. In the 1950s to early 1970s, key scientific studies listed and described the sounds of a large variety of fish species from both the Pacific and Western North Atlantic Ocean [4–6]. Anecdotally, in 1968, Captain Jacques Yves Cousteau also exposed the general public to fish sounds in the episode “Savage World of the Coral Jungle” of the popular television documentaries “The Undersea World of Jacques Cousteau” [7]. Even though fish have been known to produce sounds for a very long time, their acoustic repertoire and acoustic behaviour have arguably been under-studied compared to acoustic research on marine mammals.

Over 800 species of fishes worldwide are known to be soniferous [8, 9]. More than 150 of these species are found in the northwest Atlantic [6]. Among the approximately 400 known marine fish species frequenting the waters of British Columbia, only 22 have been reported to be soniferous [10]. It is believed that many more of these species produce sounds, but their repertoires have not yet been identified. Fishes can produce sound incidentally while feeding or swimming (e.g. [11, 12]) or intentionally for communication purposes [13, 14]. For example, fish sound spectral and temporal characteristics can convey information about male status and spawning readiness to

females [15], or male body condition [16]. It has been speculated that some species of fish may also emit sound to orient themselves in the environment (i.e. by echolocation [17]). As is the case for marine mammal vocalizations, fish sounds can typically be associated with a specific species and sometimes to specific behaviours [14, 18]. It has also been shown that several populations of the same species can have different acoustic dialects [19]. Consequently, researchers can measure the temporal and spectral characteristics of recorded fish sounds to identify which species of fish are present in the environment, to infer their behaviour and in some cases to potentially identify and track a specific population [20].

Using passive acoustics to monitor fish can complement existing monitoring techniques such as net sampling [21], active acoustics [22], or acoustic tagging [23]. Passive acoustics presents several advantages: It is non-intrusive, can monitor continuously for long periods of time, and can cover large geographical areas. However, in order to use passive acoustics to monitor fish, their sounds must first be characterized and catalogued under controlled conditions. This can be achieved in various ways. The most common way to identify species- and behaviour-specific sounds is to capture and isolate a single fish or several fish of the same species in a controlled environment (typically a fish tank) and record the sounds they produce (e.g. [24–26]). This experimental setup precludes sound contamination from other species and allows visual observation of the behaviour of the animal. While these studies provide important findings on fish sound production, they do not always result in sounds that fish produce in their natural environments (e.g., see comparison of red hind grouper sounds described by Fish and Mowbray in captivity [6], and by Mann et al. in the wild [27]). To partially address this issue, other studies record fish in natural environments but constrained in fishing net pens to ensure that they remain in sufficient proximity of hydrophones (e.g. [28]). Passively recording fish in their natural environment has many advantages, especially in not disrupting the animals. However, it provides less control over external variables and also presents many technical challenges. Remotely operated vehicles (ROVs) equipped with video cameras and hydrophones have been used by Sprague and Luczkovich in 2004 [29] and Rountree and Juanes in 2010 [30]. Locascio and Burton in 2015 [31] deployed fixed autonomous passive acoustic recorders and conducted diver-based visual surveys to document the presence of fish species. They also developed customized underwater audio and video systems to verify sources of fish sounds and to understand their behavioural contexts. Most of these monitoring techniques are limited by high power consumption and data storage

space requirements, and are typically only deployed for short periods of time. Cabled ocean observatories equipped with hydrophones and video cameras provide valuable data for more extended time periods but by their nature provide data at fixed locations and are expensive to deploy and maintain [10, 32]. There is currently a need for the research community to develop long term and affordable autonomous video and audio recorders that are more versatile than the current technology and facilitate cataloguing fish sounds *in situ*.

A key consideration when cataloguing fish sounds in the wild is the need to localize the recorded sounds. In most cases, having only a single omnidirectional hydrophone and a video camera is not sufficient. Several fish can produce sounds at the same time and it is important to know which fish in the video recording produced the sound. Although numerous methods have been developed for the large-scale localization of marine mammals based on their vocalizations (see reviews in [33] and [34]), only a handful of studies have been published to date on the localization of fish sounds. D’Spain and Batchelor [35], Mann and Jarvis [36], and Spiesberger and Frstrup [37] localized distant groups of fish. Parsons et al. [38, 39] and Locascio and Mann [40] conducted finer scale three-dimensional localization and monitored individual fish in aggregations. Ferguson and Cleary [41] and Too et al. [42] also performed fine-scale acoustic localization on sounds produced by invertebrates. Fine-scale localization is extremely valuable as it can not only be used with video recordings to identify the species and behaviour of the animals producing sounds, but can also be used to potentially track movements of individual fish, estimate the number of vocalizing individuals near the recorder, and measure source levels of the sounds. The latter represents critical information needed to estimate the distance over which fish sounds can propagate before being masked by ambient noise [40, 43]. Fine-scale passive acoustic localization systems (hardware and software) need to be developed further and made more accessible to facilitate and increase the number and extent of *in situ* studies of fish sounds [44].

Once fish sounds are catalogued, passive acoustics alone (without video recordings) can be used for monitoring the presence of fish in space and time. Many of the soniferous fish species are of commercial interest, which makes passive acoustic monitoring a powerful and non-intrusive tool that could be used for conservation and management purposes [9, 20, 45–47]. Sounds produced while fish are spawning have been used to document spatio-temporal distributions of mating fish [20, 48–52]. Recently, Di Iorio et al. [53] monitored the presence of fish in *Posidonia ocean-*

*ica* meadows in the Western Mediterranean Sea using passive acoustics over a 200 km<sup>2</sup> area. Parmentier et al. [54] were able to monitor acoustically the presence of the brown meagre (*Sciaena umbra*) during a period of 17 years in different Mediterranean regions, which clearly showed the potential of passive acoustics for monitoring fish at large scales and over long periods of time. Finally, Rountree and Juanes [55] demonstrated how passive acoustics could be used to detect an invasive fish species in a large river system.

All the studies mentioned above used passive acoustics to describe the presence or absence of fish over time and space. Passive acoustics of fish cannot only provide presence/absence information, but can also, in some cases, estimate the relative abundance of fish in the environment. For example, by performing a simultaneous trawl and passive acoustic survey, Gannon and Gannon [56] found that temporal and spatial trends in densities of juvenile Atlantic croaker (*Micropogonias undulatus*) in the Neuse River estuary in North Carolina could be identified by measuring characteristics of their sounds in acoustic recordings (i.e. call index, peak frequency, received levels). Similarly, Rowell et al. [57] performed passive acoustic surveys along with diver-based underwater visual census at several fish spawning sites in Puerto Rico, and demonstrated that passive acoustics could predict changes in red hind (*Epinephelus guttatus*) density and habitat use at a higher temporal resolution than previously possible with traditional methods. More recently, Rowell et al. [58] measured sound levels produced by spawning Gulf corvina (*Cynoscion othonopterus*) with simultaneous measurements of density from active acoustic surveys in the Colorado River Delta, Mexico, and found that sound levels of Gulf corvina were linearly related to fish density during the peak spawning period. Note that all these studies employed a single hydrophone at each monitoring location. Using several synchronous hydrophones allows individual fish sounds to be localized in three dimensions, which could potentially also allow estimation of fish density. To my knowledge, such studies have not been done to date.

The manual detection of fish sounds in passive acoustic recordings is typically performed aurally and by visual inspection of spectrograms. This is a laborious task, with biases which depend on the experience and the degree of fatigue of the operator. Therefore, the development of efficient and robust automatic detection and classification methods can have great value. Detector performance is dependent on the complexity and diversity of the sounds being identified. It also is dependent on the acoustic properties of the environment, such as the characteristics of the background

noise. Many methods have been developed to automatically detect and classify marine mammal sounds in acoustic recordings (e.g. [59–64]). However, much less work has been done on automatic detectors for fish sounds, and what has been done is restricted to a small number of fish species. Early studies used energy-based detection methods [65–67]. In the last few years, more advanced techniques have been investigated. Ibrahim et al. [68], Malfante et al.[69], Noda et al. [70], and Vieira et al. [71] used supervised classification techniques typically used in the field of automatic speech recognition to classify sounds from multiple fish taxa. Sattar et al. [72] used a robust principal component analysis along with a support vector machine classifier to recognize sounds from the plainfin midshipman (*Porichthys notatus*). Urazghildiiev and Van Parijs [73] developed a detector for Atlantic cod (*Gadus morhua*) grunts. Lin et al. [74, 75] investigated unsupervised techniques to help analyse large passive acoustic datasets containing un-identified periodic fish choruses. While results for these latest studies show real promise, the techniques developed have only been tested on small datasets and still need to be tested on larger and more diverse acoustic datasets to confirm their efficiency. Therefore, further developments of automatic fish sound detectors and classifiers are necessary to make passive acoustic monitoring practically effective [44, 47, 65].

The motivation for this thesis is to make passive acoustics a more viable and accessible way to monitor fish in their natural habitat. More specifically, the objective is to develop and test a set of methodologies to facilitate the identification of sounds that fish produce in their natural environment and make the analysis of large passive acoustic datasets more efficient. The research in this thesis was conducted by following four guiding principles:

- Portability: all methodologies developed must be portable and easy to deploy in the field.
- Autonomy: proposed instrumentation should be able to collect data over several weeks without needing human supervision nor physical connection to shore.
- Reproducibility: all proposed solutions must be easily reproducible and open-source.
- Efficiency: analysis methods proposed should be able to process large amount of data automatically or semi-automatically.

## 1.2 Thesis outline

This thesis consists of four chapters which correspond to four scientific papers. The two first chapters are already published, while the third and fourth are still to be submitted for publication. The chapters are written as stand-alone papers which leads to some repetition in introductory material. The papers involve work that I carried out in collaboration with co-authors, so each chapter includes a preface detailing what my contribution was. The outline of my thesis is as follows.

**Chapter 2** is the starting point of this PhD research. It presents the original proof of concept demonstrating that fish sounds can be identified *in situ* by combining passive acoustic localization and underwater video in a compact array. It also identifies parts of the audio/video array prototype that need to be improved.

**Chapter 3** builds on the weaknesses of the initial prototype identified in chapter 2 and describes an autonomous open-source video camera that is inexpensive and capable of recording underwater video for several weeks.

**Chapter 4** combines the localization approach in chapter 2 with the video camera developed in chapter 3, and proposes (and tests) three audio/video array designs that are capable of identifying fish sounds in a variety of habitats and with various logistical and budget constraints.

**Chapter 5** proposes signal processing and machine learning methods that can detect fish sounds automatically in large passive acoustic datasets.

**Appendix A** provides the instructions to build, configure, and operate the video camera developed in chapter 3.

## Chapter 2

# Cataloging fish sounds in the wild using acoustic localization and video recordings: a proof of concept

This chapter was published in the Journal of the Acoustical Society of America [76]:

Mouy, X., Rountree, R., Juanes, F., and Dosso, S. E. (2018). Cataloging fish sounds in the wild using combined acoustic and video recordings. *The Journal of the Acoustical Society of America*, 143(5), EL333–EL339.

For this paper, I used data collected in 2010 by committee member Rodney Rountree to perform acoustic localization of fish sounds. Dr. Rountree designed the hydrophone array and collected the data in the field. I performed the data analysis which consisted of writing the linearized inversion localization, the uncertainty analysis and video processing scripts in Matlab. I wrote the paper with editing assistance from Stan Dosso, Francis Juanes, and Rodney Rountree.

### 2.1 Abstract

Although many fish are soniferous, few of their sounds have been identified, making passive acoustic monitoring (PAM) ineffective. To start addressing this issue, a

portable 6-hydrophone array combined with a video camera was assembled to catalog fish sounds in the wild. Sounds are detected automatically in the acoustic recordings and localized in three dimensions using time-difference of arrivals and linearized inversion. Localizations are then combined with the video to identify the species producing the sounds. Uncertainty analyses show that fish are localized near the array with uncertainties  $< 50$  cm. The proposed system was deployed off Cape Cod, MA and used to identify sounds produced by tautog (*Tautoga onitis*), demonstrating that the methodology can be used to build up a catalog of fish sounds that could be used for PAM and fisheries management.

## 2.2 Introduction

Passive acoustic monitoring (PAM) of fish (i.e., monitoring fish in the wild by listening to the sound they produce) is a research field of growing interest and importance [9]. The types of sounds fish produce vary among species and regions but consist typically of low frequency ( $< 1$  kHz) pulses and amplitude-modulated grunts or croaks lasting from a few hundreds of milliseconds to several seconds [77]. As is the case for marine mammal vocalizations, fish sounds can typically be associated with specific species and behaviors [77]. Consequently, temporal and spectral characteristics of these sounds in underwater recordings could identify, non-intrusively, which species are present in a particular habitat, deduce their behavior, and thus characterize critical habitats. Unfortunately, many fish sounds have not been identified which reduces the usefulness of PAM. Many studies carried out in laboratory settings attempt to catalog fish sounds (e.g. [78, 79]). However, behavior-related sounds produced in natural habitats are often difficult or impossible to induce in captivity (e.g., spawning or interaction with conspecifics [9]). Consequently, there is a need to record and identify fish sounds in their natural habitat. Because there is no control over biological and environmental variables (e.g., number of fish vocalizing), *in situ* measurements are challenging and require accurate localization of the soniferous fish, both acoustically and visually [44]. Although numerous methods have been developed for the large-scale localization of marine mammals based on their vocalizations (see review in [34]), only a handful of studies have been published to date on the fine-scale localization of individual fish ([38–40]. To our knowledge, no studies combining underwater acoustic localization and video recording to catalog fish sounds have been published. This letter develops and demonstrates the use of a compact hydrophone and video camera

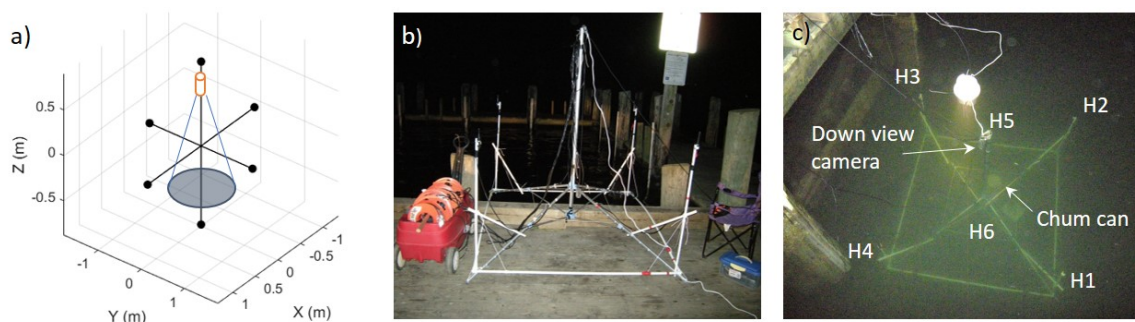


Figure 2.1: Array configuration. (a) Hydrophones (black dots) with the downward-looking camera (cylinder). (b) System on the dock before deployment. (c) System once deployed. H1–H6 indicate the locations of the hydrophones.

array designed to record fish sounds, localize the source (acoustically), and identify the species (visually).

## 2.3 Methods

### 2.3.1 Array and data collection

The acoustic components of the array developed here consist of six Cetacean Research C55 hydrophones, denoted H1–H6, placed on each vertex of an octahedron constructed from a foldable aluminum frame, as shown in Fig. 2.1. Each hydrophone is located approximately 1 m from the center of the octahedron (considered the origin of the array coordinate system) and is connected by cable to a TASCAM DR-680mkII multi-track recorder (TEAC Corporation, Japan) to collect data continuously at a sampling frequency of 48 kHz with a quantization of 16 bits. A downward-facing AquaVu II fishcam (Crosslake, MN) underwater video camera is attached to the central pole of the frame below the top hydrophone and records continuously on a Sony portable DVD recorder (model VRD MC6) during the acoustic recordings. A floating light is deployed on top of the frame to improve visibility for the video recordings.

Underwater acoustic and video data were collected with this array during the night of 18 October, 2010, off the Cotuit town dock in Cape Cod, MA ( $41^{\circ} 36.9690' N$ ,  $70^{\circ} 26.0000' W$ ). The array was deployed on the sea bottom off the dock in 3 m of water, while both the video and acoustic recorders stayed on the dock. A chum can was also deployed on the sea bottom to attract fish. A total of 7.5 h of continuous acoustic and video data were collected. All data collected were processed after array

recovery.

### 2.3.2 Automated detection of acoustic events

Acoustic events (transient signals) were detected automatically in recordings from hydrophone H2. First, the spectrogram of the recordings was calculated (4096-sample Blackman window zero-padded to 8192 samples for FFT, with a time step of 480 samples or 10 ms) and normalized from 5 to 2000 Hz using a split-window normalizer to increase the signal to noise ratio of acoustic events in the frequency band of typical fish sounds (Struzinski and Lowe, 1984, 4-s window, 0.5-s notch). Second, the spectrogram was segmented by calculating the local energy variance on a two-dimensional kernel of size 0.01 s by 50 Hz. Events were defined in time and frequency by connecting the adjacent bins of the spectrogram with a local normalized energy variance of 0.5 or higher using the Moore neighborhood algorithm [80]. All acoustic events with a frequency bandwidth less than 100 Hz or with a duration less than 0.02 s were discarded. All detection parameters were empirically defined to capture acoustic events whose time and frequency properties correspond to typical fish sounds. An illustration of the detection process can be found in [81].

### 2.3.3 Acoustic localization by linearized inversion

The time difference of arrival (TDOA) of acoustic events between hydrophone 2 and each of the other hydrophones was used to localize the sound source in three dimensions (3D). Given their low source levels, fish sounds are typically detectable for distances of a few tens of meters [82]. In this case, the problem can be formulated by assuming that the effects of refraction are negligible and propagation can be modeled along straight-line paths with a constant sound velocity  $v$ . The TDOA  $\Delta t_{ij}$  between hydrophones  $i$  and  $j$  is then defined by

$$\Delta t_{ij} = \frac{1}{v} \left( \sqrt{(X - x_i)^2 + (Y - y_i)^2 + (Z - z_i)^2} - \sqrt{(X - x_j)^2 + (Y - y_j)^2 + (Z - z_j)^2} \right), \quad (2.1)$$

where  $x, y, z$  are the known 3D Cartesian coordinates of hydrophones  $i$  and  $j$  relative to the array center (Fig. 2.1a), and  $X, Y, Z$  are the unknown coordinates of the acoustic source ( $M = 3$  unknowns). The 6-hydrophone array provides measurements of a maximum of  $N = 5$  TDOA data, assuming the signal could be identified on all

hydrophones. Localizing the acoustic source is a non-linear problem defined by

$$d_k = d_k(\mathbf{m}); k = 1, \dots, N, \quad (2.2)$$

where  $\mathbf{d} = [\Delta t_{21}, \Delta t_{23}, \Delta t_{24}, \Delta t_{25}, \Delta t_{26}]^T$  represents the measured data and  $\mathbf{d}(\mathbf{m})$  the modeled data with  $\mathbf{m} = [X, Y, Z]^T$  (in the common convention adopted here bold lower-case symbols represent vectors and bold upper-case symbols represent matrices). The expansion of Eq. 2.2 in a Taylor series to the first order about an arbitrary starting model  $\mathbf{m}_0$  can be written

$$\mathbf{d} - \mathbf{d}(\mathbf{m}_0) = \mathbf{A}(\mathbf{m} - \mathbf{m}_0) \quad (2.3)$$

or

$$\delta \mathbf{d} = \mathbf{A} \delta \mathbf{m}, \quad (2.4)$$

where  $\mathbf{A}$  is the  $N \times M$  Jacobian matrix of partial derivatives with elements

$$A_{ij} = \frac{\partial d_i(\mathbf{m}_0)}{\partial m_j}; \quad i = 1, \dots, N; \quad j = 1, \dots, M. \quad (2.5)$$

This is an over-determined linear problem ( $N = 5, M = 3$ ). Assuming errors in the data are identical and independently Gaussian distributed, the maximum-likelihood solution is

$$\delta \mathbf{m} = [\mathbf{A}^T \mathbf{A}]^{-1} \mathbf{A}^T \delta \mathbf{d}. \quad (2.6)$$

The location  $\mathbf{m}$  of the acoustic source can be estimated by solving for  $\delta \mathbf{m}$  and re-defining iteratively

$$\mathbf{m}_{l+1} = \mathbf{m}_l + \alpha \delta \mathbf{m}; \quad l = 1, \dots, L; \quad 0 < \alpha \leq 1, \quad (2.7)$$

until convergence (i.e., appropriate data misfit and stable  $|\mathbf{m}|$ ). In Eq. 2.7,  $\alpha$  is a step size damping factor and  $L$  is the number of iterations until convergence. Localization uncertainties can be estimated from the diagonal elements of the model covariance matrix  $\mathbf{C}_m$  about the final solution defined by

$$\mathbf{C}_m = [\mathbf{A}^T \mathbf{C}_d^{-1} \mathbf{A}]^{-1}, \quad (2.8)$$

where  $\mathbf{C}_d = \sigma^2 \mathbf{I}$  is the data covariance matrix with  $\sigma^2$  the variance of the TDOA measurement errors and  $\mathbf{I}$  the identity matrix. The 3D localization uncertainty is defined as the square root of the sum of the variances along each axis (diagonal elements of  $\mathbf{C}_m$ ). All localizations were performed using the starting model  $\mathbf{m}_0 = [0, 0, 0]^T$ , a constant sound velocity  $v = 1484$  m/s, and step size damping factor  $\alpha = 0.1$ .

The TDOAs in  $\mathbf{d}$  were obtained by cross-correlating acoustic events detected on the recording from hydrophone 2 with the recordings from the other 5 hydrophones (search window: 62.5 ms). Before performing the cross-correlation, each recording was band-pass filtered in the frequency band determined by the detector using an eighth order zero-phase Butterworth filter (FILTFILT function in MATLAB, MathWorks, Inc., Natick, MA). Only detections with a sharp maximum peak in the normalized cross-correlation were considered for localization (peak correlation amplitude  $> 0.3$ , kurtosis  $> 14$ ). The TDOA measurement errors were estimated by subtracting the measured TDOAs  $\mathbf{d}$  at each hydrophone pair ( $N = 5$ ) from the predicted TDOAs  $\mathbf{d}(\mathbf{m})$  for the estimated source location  $\mathbf{m}$  using Eq. 2.1. The variance of the measurement errors  $\sigma^2$  was then estimated as

$$\sigma^2 = \frac{1}{Q(N-3)} \sum_{i=1}^Q \sum_{j=1}^N \left( d_j^{(i)} - d_j(\mathbf{m})^{(i)} \right)^2, \quad (2.9)$$

where  $Q$  is the total number of acoustic events that were localized.

### 2.3.4 Video processing

To facilitate the visualization of fish in the video data, the recordings were processed to detect any movements that occurred in the camera's field of view. Each frame of the video recording was converted to a gray scale and normalized to a maximum of 1. An image representing the background scene was defined as the median of each pixel over a 5-min recording and was subtracted from each frame of the video. Finally, temporal smoothing was performed using a moving average of pixel values over 10 consecutive frames. Pixels with values greater than 0.6 were set to 1, and the others were set to zero. Each binarized image was overlaid in red on the original video image. All the processing of the acoustic and video data was performed using MATLAB 2017a (MathWorks, Inc., Natick, MA).

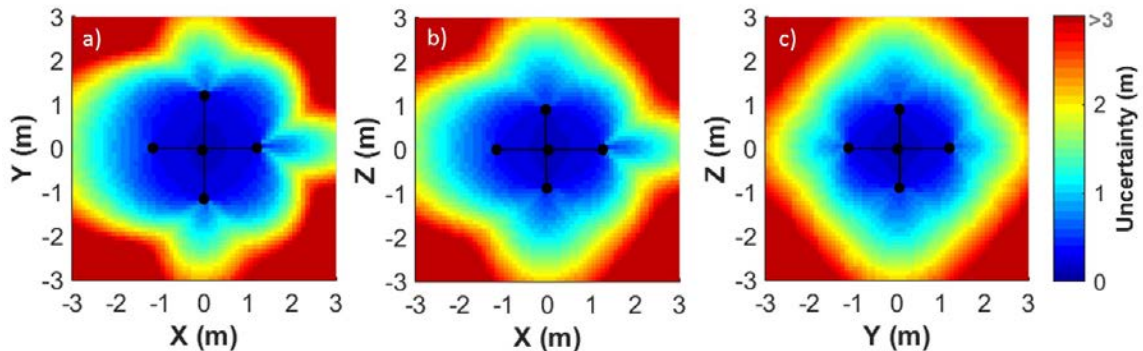


Figure 2.2: Localization uncertainties of the hydrophone array in the (a) XY, (b) XZ, and (c) YZ plane.

## 2.4 Results

This paper shows results from one 8-min data file. Out of the 185 acoustic events detected in this recording from hydrophone 2, 9 had a high enough cross-correlation peak with the other hydrophones to be localized. Other detections not selected for the localization stage were most often due to mechanical sounds from crabs crawling on the array frame or from sounds that were too faint to be received on all hydrophones. The standard deviation of the TDOA measurement errors was estimated to  $\sigma = 0.12$  ms (Eq. 2.9,  $Q = 9$ ). The localization capabilities of the hydrophone array were assessed by calculating  $\mathbf{C}_m$  and mapping the localization uncertainties of hypothetical sound sources located every 10 cm of a  $3 \times 3$  m cubic volume centered at  $[0, 0, 0]$  m. Figure 2.2 shows the localization uncertainties of the hydrophone array calculated for a 3D grid around the array using Eq. 2.8. The localization uncertainty in the middle of the water volume spanned by the arms of the array is less than 50 cm and increases progressively for sound sources farther from the center (Fig. 2.2). Localization uncertainties for sources outside the hydrophone array are generally greater than 1m.

Figure 2.3 shows the acoustic localization results when a tautog (*Tautoga onitis*) was swimming in the field of view of the camera. Identification of the species was performed visually from the top camera and from an additional non-recording side-view camera deployed on the side of the array. The location of the tautog from the video (highlighted with red pixels in Fig. 2.3a) coincides with the acoustic localization [Fig. 2.3b] of the five low-frequency grunts detected in the acoustic recording [labeled G1–G5 in Fig. 2.3c]. Grunts G1 and G2 were detected as one acoustic event by

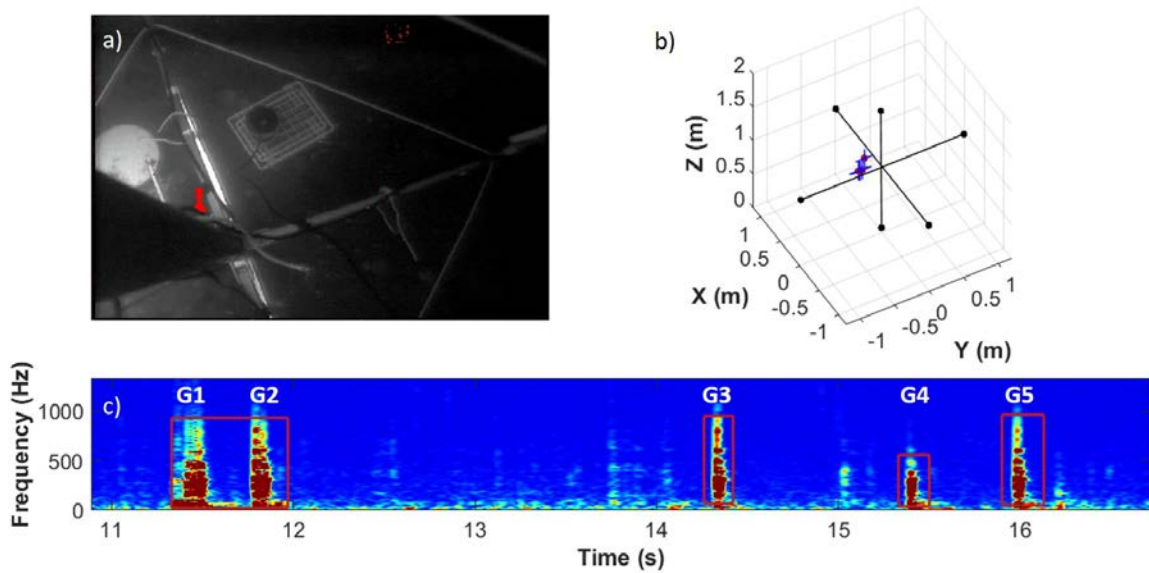


Figure 2.3: Identification of sounds produced by a tautog. (a) Image from the video camera showing the tautog swimming in the middle of the array (red pixels). (b) Simultaneous acoustic localization (red dots) with uncertainties on each axis (blue lines). (c) Spectrogram of the sounds recorded on hydrophone 2. Red boxes indicate the sounds automatically detected by the detector that were used for the localization.

the automated detector and were consequently localized at the same time (i.e., one localization for both grunts). Small localization uncertainties (blue lines in Fig. 2.3b) leave no ambiguity that these grunts were produced by the tautog. Note that the five other sounds that were automatically detected and localized could not be identified to specific fish species because they were outside of the field of view of the camera.

Figure 2.4 provides the spectrogram, waveform, and spectrum for each of the identified tautog grunts. All grunts are composed of one (G3–G5), two (G2), or three (G1) double-pulses. The component pulses of a double-pulse are separated by  $11.25 \pm 60.7$  ms ( $n = 8$ ). Grunts have a peak frequency of  $317 \pm 28$  Hz ( $n = 8$ ) and a duration from 22 ms (G3) to 81 ms (G1). Most of the energy for all tautog grunts was below 800 Hz. All time and frequency measurements were performed using the waveform (band-pass filtered between 100 and 1200 Hz with an eighth order zero-phase Butterworth filter, middle column in Fig. 2.4), and the average periodogram (spectral resolution of 3Hz, 2048-sample Hanning window zero-padded to 16,384 samples for FFT, with a time step of 102 samples or 2.1 ms; right column in Fig. 2.4, respectively).

## 2.5 Discussion

Compact hydrophone arrays, like the one used in this study, in combination with underwater cameras provide the ability to catalog fish sounds non-intrusively in the wild. Their small footprint allows such systems to be portable and easily deployable. The system described here is cabled to the surface which does not allow deployment in remote areas for extended periods. An autonomous system that can record acoustic and video data for several weeks is currently being developed. In addition to cataloging fish sounds, and use in soniferous behavior research, such an array can be used to document source levels of fish sounds, which is critical information required for assessing the impact of anthropogenic noise on fish communication.

The tautog is an important fisheries species whose stock is overfished [83]. Their sounds had previously only been reported by Fish and Mowbray in 1970 [6]. Unfortunately, their description of the calls provides insufficient details to positively identify tautog sounds in acoustic recordings. While more measurements are needed to fully characterize the vocal repertoire of the tautog, this paper shows that the proposed combination of instruments and automated processing methods provides a systematic and efficient way to identify fish sounds from large datasets. The methodology described here promises to become a valuable tool to aid in developing fish and invertebrate sound libraries, as well as for *in situ* observations of soniferous behavior. This will help to continue the cataloging effort initiated by Fish and Mowbray [6] and make PAM a more viable tool for fish monitoring and fisheries management.

## 2.6 Acknowledgements

This research is supported by the NSERC Canadian Healthy Oceans Network and its Partners: Department of Fisheries and Oceans Canada and INREST (representing the Port of Sept-Iles and City of Sept-Iles), JASCO Applied Sciences, the Natural Sciences and Engineering Research Council (NSERC) Postgraduate Scholarships-Doctoral Program, and MITACS. The data collection was funded by the MIT Sea Grant College Program Grant No. 2010-R/RC-119 to R.R. and F.J.

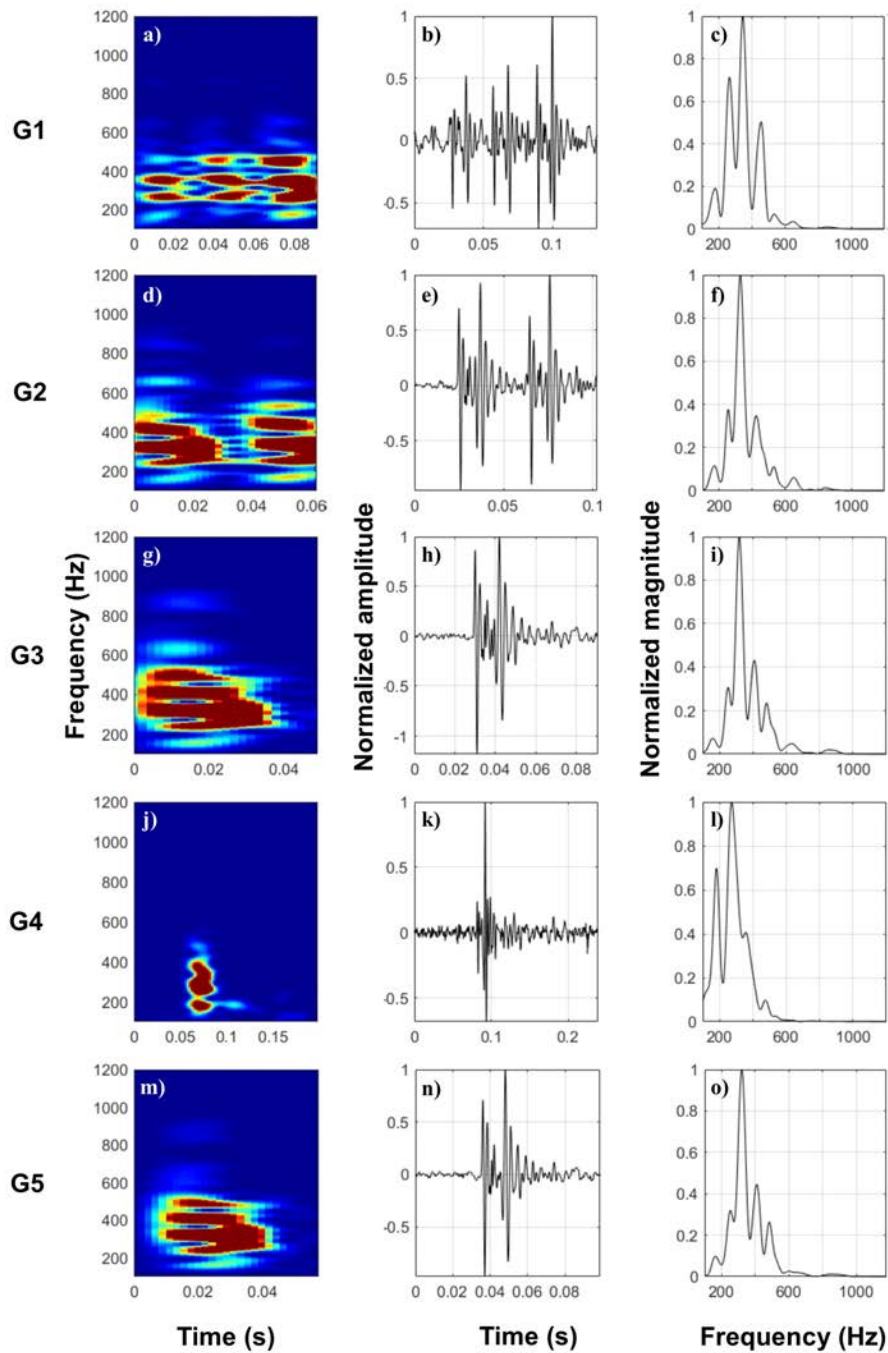


Figure 2.4: Spectrogram (left column), waveform (middle column), and spectrum (right column) of the five localized tautog grunts G1–G5 (each row corresponds to a tautog grunt).

## Chapter 3

# Development of a low-cost open source autonomous camera for aquatic research

This chapter was published in the journal *HardwareX* [84]. For conciseness and readability, all step-by-step building instructions of the FishCam, originally in the main text of the *HardwareX* paper, have been placed in appendix A.

Mouy, X., Black, M., Cox, K., Qualley, J., Mireault, C., Dosso, S.E., and Juanes, F. (2020). FishCam: A low-cost open source autonomous camera for aquatic research. *HardwareX* 8, e00110.

For this paper, I designed, built, and tested the FishCam autonomous underwater camera and led the data collection in the field. Morgan Black, Kieran Cox, and Jessica Qualley lead the diving operations in the field. I wrote the paper with editorial help from all co-authors. Callum Mireault provided feedback on the first prototypes of FishCam.

### 3.1 Abstract

We describe the "FishCam", a low-cost (< 500 USD) autonomous camera package to record videos and images underwater. The system is composed of easily accessible components and can be programmed to turn ON and OFF on customizable

schedules. Its 8-megapixel camera module is capable of taking  $3280 \times 2464$ -pixel images and videos. An optional buzzer circuit inside the pressure housing allows synchronization of the video data from the FishCam with passive acoustic recorders. Ten FishCam deployments were performed along the east coast of Vancouver Island, British Columbia, Canada, from January to December 2019. Field tests demonstrate that the proposed system can record up to 212 hours of video data over a period of at least 14 days. The FishCam data collected allowed us to identify fish species and observe species interactions and behaviors. The FishCam is an operational, easily reproducible and inexpensive camera system that can help expand both the temporal and spatial coverage of underwater observations in ecological research. With its low cost and simple design, it has the potential to be integrated into educational and citizen science projects, and to facilitate learning the basics of electronics and programming.

## 3.2 Hardware in context

Underwater cameras are essential equipment for studying aquatic environments. They can be deployed in a variety of ways and in different habitats to monitor and observe marine or freshwater flora and fauna. Remote underwater video (RUV) cameras are autonomous cameras attached to small platforms that are typically deployed on the seabed for several hours. RUVs have been used successfully to study fish diversity, abundance and behavior, and when equipped with a pair of cameras, can estimate fish sizes [85–87]. They have the advantage of observing the underwater environment without human disturbance but have limited temporal coverage. Camera systems can also be deployed permanently on the seabed, connected to linked networks such as Ocean Networks Canada’s NEPTUNE and VENUS cabled observatories [88]. These installations have limited spatial coverage but provide substantially longer time series since they receive power from and transmit data to shore stations via cable [89]. When mounted on mobile platforms, underwater cameras can cover larger spatial areas. Systems tethered on sleds towed on the seabed by surface vessels are used to map benthic habitats [90]. Cameras attached inside fish trawl nets count and measure fish for fisheries applications [91]. Remotely operated vehicles (ROVs) are also equipped with cameras and have been used to assess fish assemblages [92] and map hydrothermal vent fauna [93]. These cameras are expensive to purchase, operate, and maintain and consequently are accessible only to a limited number of research

groups. However, the emergence of low-cost microcontrollers, single-board computers and sensors are creating new possibilities for data collection [94]. Scientists are now able to design their own low-cost instruments tailored to their specific needs. For example, Favaro et al. [95] developed a camera system to study deep-water animals for under 3,000 USD. The system was able to capture a maximum of 13 hours of video data at a time. Williams et al. [96] created an underwater stereo-camera trap using off-the-shelf commercial point and shoot cameras and a Raspberry Pi single board computer. Their system cost 1,800 USD and captured time-lapse images (not video) with a maximum autonomous deployment time of about six hours. Wilby et al. [97] designed an acoustically triggered underwater camera system for 5,500 USD. Their instrument was composed of six video cameras and a hydrophone, and had a battery run-time of 180 hours assuming actual video recording of 5% of the time (i.e. 9 hours). Despite being more affordable, the autonomy of these systems is restricted to only a few hours and sometimes limited to images only. Finally, not all studies provide schematics and instructions required to build their systems, which limits the accessibility to other users.

We aimed to address these limitations by developing an underwater camera design that is low cost (<500 USD), has an autonomy of several days, and is relatively simple to construct. The system was designed to help catalog fish sounds in the wild [76], but can find applications in a variety of research fields. Requirements for the camera system were to 1) be low cost (<500 USD), 2) be autonomous, 3) be able to record both video and still images for at least several days, 4) have the ability to be turned ON and OFF on duty cycles, and 5) be easily constructed using readily available components.

## 3.3 Hardware description

### 3.3.1 Electronic design

The core of the FishCam is made of a Raspberry Pi Zero W low-cost single-board computer (Raspberry Pi Foundation, Cambridge, United Kingdom), a WittyPi power management board (UUGear, Prague, Czech Republic) and low-cost electronics (Figure 3.1). The Raspberry Pi Zero W has a small footprint (65 mm × 30 mm), uses a Linux operating system and can be configured as a desktop computer by connecting it via the HDMI and USB ports to an external monitor, keyboard and mouse. Its

embedded WiFi card allows wireless access to the system, which provides a convenient way to monitor the FishCam in the field with a mobile device (e.g. ensure the camera is working properly just prior to deployment). All data and operating systems are stored on a 200 GB microSDXC card. Using cards with a UHS Speed Class of 1 (USH-1) provides sufficient transfer speed to record video data in the h264 format. An 8 MegaPixel Raspberry Pi camera module v2 fitted with a 110° lens (Blue Robotics, Torrance, USA) is connected to the Raspberry Pi via its FPC connector and can capture high-definition video and digital still images. The WittyPi Mini board, connected via the Raspberry Pi general-purpose input/output (GPIO) pins, provides a Real Time Clock and allows the FishCam to be turned ON and OFF in custom duty cycles. The system is powered by a battery pack comprised of seven stacks of four EBL D-Cell rechargeable batteries in series, providing a total capacity of 70,000 mAh. A Pololu (Las Vegas, USA) 5V 2A Step-Up/Down regulator is placed between the output of the battery pack and the input of the WittyPi Mini to increase or decrease the voltage as necessary to produce fixed 5 V power. This makes the FishCam more stable, extends its autonomy, and allows the use of rechargeable or non-rechargeable batteries. Finally, an external buzzer circuit connected via the GPIO pins can produce an acoustic signal on-demand to time synchronize the video data from the FishCam with other audio recording instruments deployed in the vicinity.

### 3.3.2 Mechanical design

The frame holding all the electronics of the FishCam is made out of consumer-grade items (identified by letters in Figure 3.2) that are typically found at local hardware stores or from popular online retailers. The main components are eight 8-32 aluminum threaded rods (D, E) bolted to three 4" separator disks (C) and a 3" × 3.75" × 0.08" clear acrylic sheet (B). The six short rods (E) serve as holders for the D-cell batteries (5), while the two longer rods (D) extend up to the tip of the camera lens (1) to hold the acrylic sheet (B) and support the weight of the FishCam when placed upside down without damaging the camera sensor. Rubber padding (A) is added at the end of each of these rods to avoid scratching the front-view window of the FishCam pressure housing. The acrylic sheet (B) holds all the electronics using silicon M3 mounts and is secured to the rods (D) with small zip ties. The separator disks (C), cut from virgin-grade PVC vinyl ceiling tiles, have springs connectors and wires glued

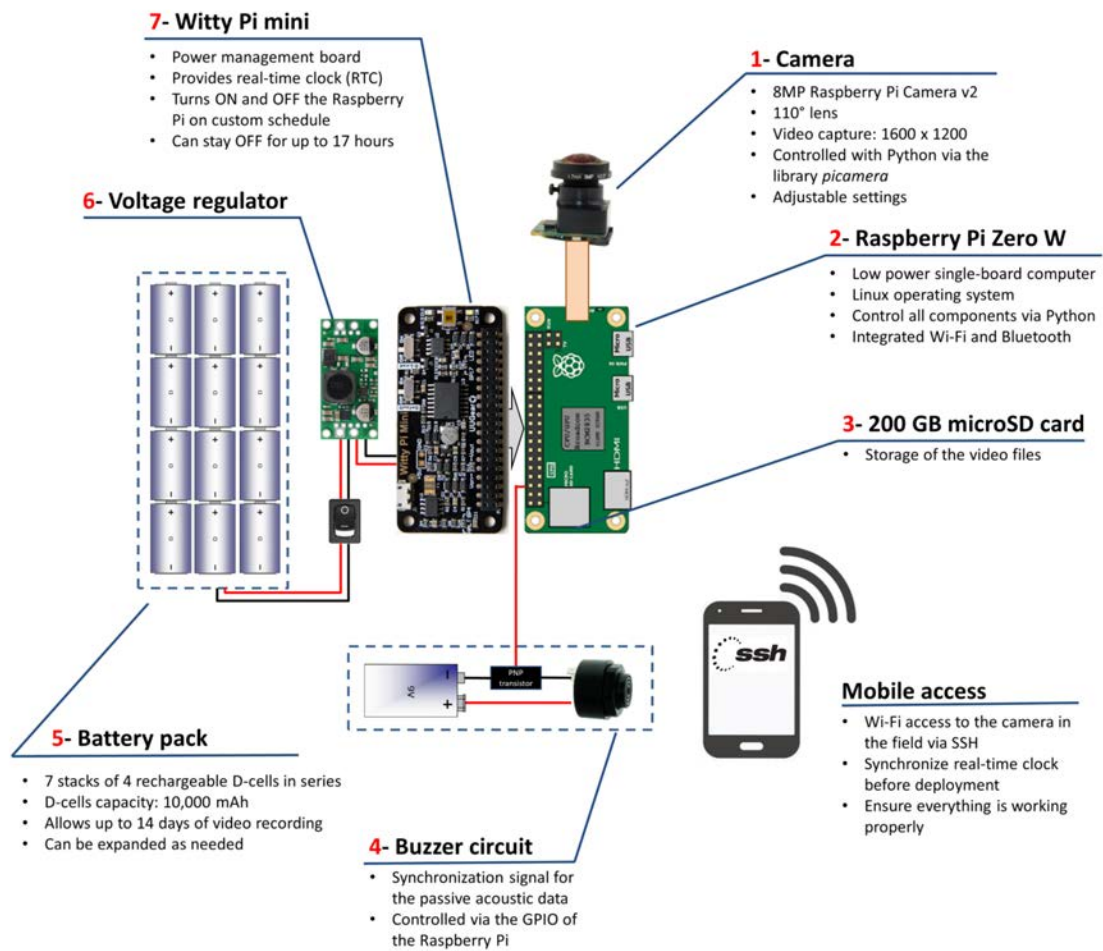


Figure 3.1: Overview of the FishCam electronic components and functionalities.

on one side to connect each D-cell stack in parallel. The 4" diameter of the disks fit seven D-cell stacks and maintain stability of the internal frame inside the pressure housing. A laminated plastic sheet wrapped around the battery pack and secured with electrical tape holds the batteries in place. The Raspberry Pi, WittyPi and voltage regulator are placed on one side of the acrylic sheet (Figure 3.2c), while the PCB board with the buzzer circuit is placed on the opposite side (Figure 3.2d). The sheet is perforated at several places to allow wires to connect components from both sides.

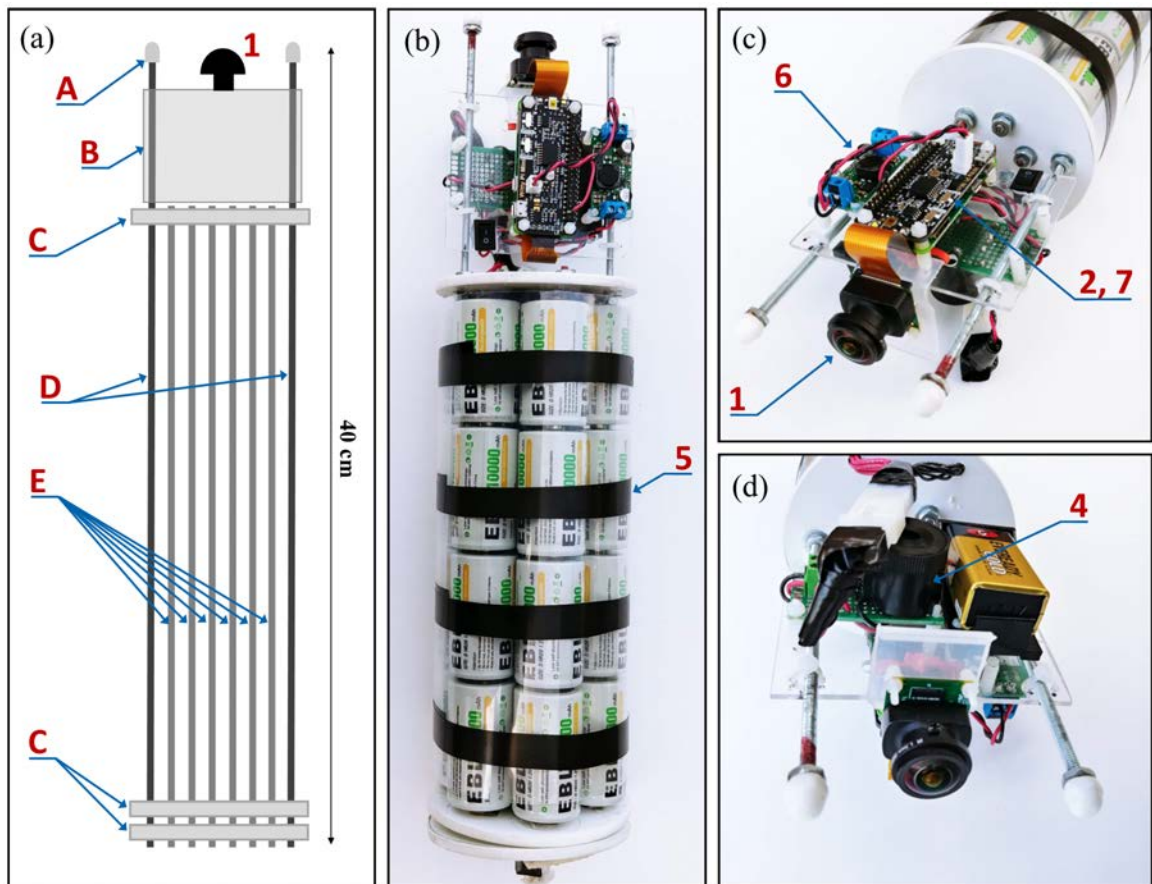


Figure 3.2: Internal frame supporting the FishCam electronic components: (a) Diagram and (b) photograph of the internal camera components, (c) photograph of the main core electronic circuit, and (d) buzzer circuit. Numbers indicate the electronic components defined in Figure 3.1. Letters identify the main mechanical components described in section 3.3.2.

The internal frame with all the electronics is inserted in a pressure housing in order to be deployed in an aquatic environment. Two possible pressure housing

designs (one homemade and the other commercially available) were tested and their key components are identified by letters in Figure 3.3. The first pressure housing (F) is made of Schedule 40 PVC pipe and based on [98] but with a longer tube. This housing is inexpensive ( $\sim 100$  USD), made of readily available parts, and was pressure tested to water depths of at least 30 m (50 psi). The second pressure housing (G) is assembled from components available from Blue Robotics. It is rated to 100 m depth, costs  $\sim 260$  USD, and has five watertight openings on the end-cap to accommodate external connections. Both housings have a front-view window on one end (K, Figure 3.3b) for the camera and an internal diameter of 4", which allows a snug fit with the internal FishCam frame.

Both pressure housings are fitted with two 4"  $\times$  4"  $\times$  2" ABS Tee fittings cut in half along the 4" section (I) and held together with three stainless steel collars (J). A 1-1/2" PVC union fitting (H) is cemented to the 2" end of the tee fittings via a small section of 1-1/2" PVC pipe and a 2" to 1-1/2" bushing. This allows the FishCam to be easily attached/detached vertically or horizontally on any structure made out of 1-1/2" PVC pipe. The angle of the FishCam can easily be adjusted by loosening and tightening the screw of the PVC union fittings. Figure 3.3c shows an example of a simple PVC frame design to deploy the FishCam on the seabed.

### 3.3.3 Software

The FishCam is programmed via the Linux operating system (OS) of the Raspberry Pi. We used the Linux distribution Raspbian Buster with desktop [99], which has minimal software already installed, leaving more storage space for video data on the microSD card. Upon the initial setup of the OS, only the necessary software is installed manually. Once deployed, the FishCam runs the OS headless (i.e. without the graphical interface) to minimize computational overhead.

The duty cycle of the FishCam is controlled via the WittyPi. Upon initial installation of the WittyPi board on the Raspberry Pi, the scheduling software is installed following the user manual from the manufacturer [100]. The duty cycle is defined in a text file describing the start time and duration of each ON and OFF sequence. In all our field tests, because no external lights were used, the FishCam was put in sleep mode during night time to save battery life. To avoid having important data gaps due to potential OS malfunctions (freezing), the FishCam was set to reboot every 4 hours. Duty cycle sequences can be customized to fit multiple research purposes.

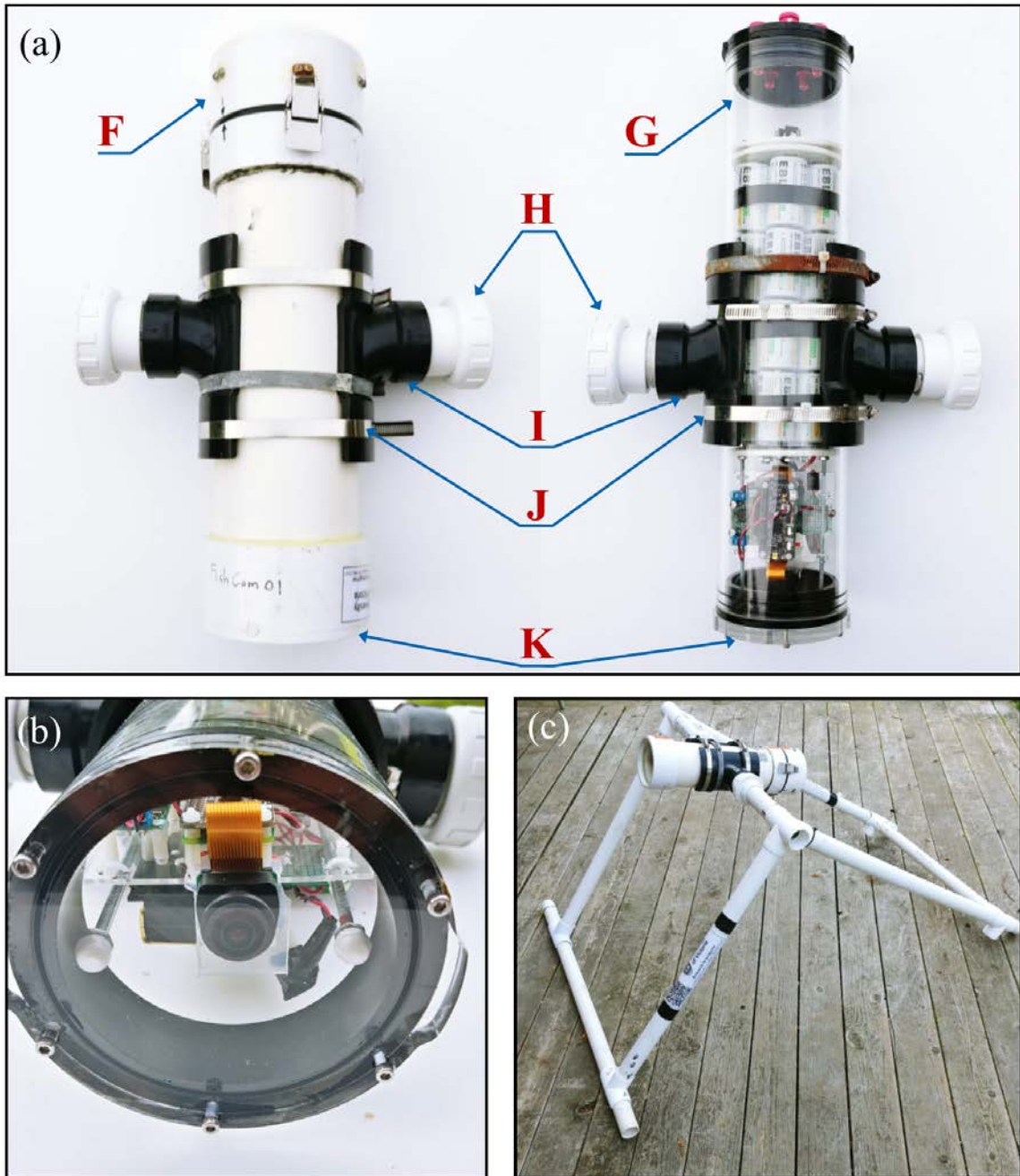


Figure 3.3: External components of the FishCam: (a) homemade (left) and commercially made (right) pressure housings with PVC attachments, (b) front plexiglass window, (c) example of PVC frame for holding the FishCam. Letters define the mechanical components described in section 3.3.2.

Before deployment, the Real Time Clock of the WittyPi must be synchronized with the Raspberry Pi clock using the manufacturer's interface. The WittyPi mini has no external battery but powers the Real Time Clock using a supercapacitor which can only remember the time for 17 hours without external power. As a consequence, the duty cycle programmed on the Witty Pi mini should not have an OFF time greater than 17 hours.

Operational mode settings and acquisition of data from the camera sensor are controlled in Python using the well-documented picamera library [101]. Many camera settings can be adjusted, including, but not limited to, resolution, frame rate, exposure and ISO. In all our field tests, the camera was set to record video on 5-minute h264 files at 10 frames per second (maximum value available: 30 frames per second) with a resolution of  $1600 \times 1200$  pixels at ISO 400. While the mjpeg video format is also available, here we chose the h264 format as it generates smaller files and requires less GPU resources. The python script runs automatically once the OS starts using a job scheduler (i.e. Crontab). The buzzer circuit is also activated via Python using the library RPi.GPIO [102] and emits a short sequence of beeps when the OS starts and video data acquisition has successfully begun. In the field, not hearing the buzzer sequence after turning ON the FishCam indicates an issue with the data acquisition. Buzzer sequences are customizable, so that instruments can be differentiated acoustically if several FishCams are deployed at the same location. The buzzer circuit can be turned off if not required.

Wireless access to the FishCam is possible by activating the WiFi card of the Raspberry Pi and enabling SSH connections to the OS. Freely available phone applications such as RaspController [103] can be used to configure or monitor the FishCam in the field (e.g. synchronize the Real Time Clock, preview or live stream of video data being acquired, monitor CPU usage, etc.).

Advantages of the FishCam:

- Low cost and long autonomy that can expand the temporal and spatial coverage of underwater observations.
- Simple design and widely-available components that make the FishCam easy to replicate.
- Can record videos and pictures on custom duty cycles and with adjustable image resolution, frame rate, exposure, and ISO.

- Versatile and easy to customize to add more sensors.
- Can easily be incorporated in educational and citizen science programs.

### 3.4 Validation and characterization

Three FishCams were built and deployed at five sites on the east coast of Vancouver Island, Canada, from January to December 2019, as part of the Fish Sound Project [76]). A total of ten deployments were conducted at water depths of 8–12 m (Table 3.1). One of the FishCams (FC-00) did not have enough ballast and was dragged by strong currents. It was found 6 months (180 days) later on a beach ~50 km from its original deployment location. The electronic components were all intact and operational upon retrieval. However, the front-view window of the pressure housing was heavily scratched, which made this unit unusable for further deployments. The other two FishCams (FC-01 and FC-02) were deployed multiple times for durations of 8 to 14 days. In these deployments, the FishCams were attached either horizontally to the PVC frame shown in Figure 3.3c near the seabed (e.g. Figure 3.4a,d), or vertically to a larger PVC frame at 3 m above the seabed (e.g. Figure 3.4b,c). For all deployments, the FishCams were configured to record video files during daylight hours only, at 10 frames per second and, at a resolution of  $1600 \times 1200$  pixels. The minimum and maximum duration of video recordings collected per deployment were 80 hours (Armstrong Point) and 212 hours (Mill Bay), respectively, with an average ( $\pm$  standard error) of  $124 \pm 11.6$  hours. This variability is largely due to variations in water visibility. Video files are compressed by the camera board during acquisition, which results in smaller file sizes in low-light environments and larger files in bright and clear conditions. The sixth deployment at Mill Bay occurred during a phytoplankton bloom (Figure 3.4d), which resulted in poor light conditions and consequently smaller video files. In all cases (except the first deployment), the FishCams were still powered and running when retrieved, but their memory cards were full, indicating that even after 14 days, they were not battery limited, but memory limited. Using larger memory cards would substantially increase the maximum amount of data collected. The resolution of the FishCam videos acquired during the ten deployments allowed us to successfully count and identify fish swimming in the field of view of the camera, and to observe inter- and intra-specific behaviors (Figure 3.4). The sequence of 3 kHz tones emitted by the buzzer inside the FishCam pressure housings was loud

enough to be detected by hydrophones located 3 m away, and provided successful synchronization of video and audio data (Figure 3.5).

Table 3.1: Deployments of the FishCam in the field.

Field trial #	Location	FishCam ID	Deployment date	Depth (m)	Minimum water temperature ( $^{\circ}C$ )	Deployment duration (days)	Date of first recording	Date of last recording	Hours of video recorded
1	Armstrong Point	FC-00	2019-01-21	Variable	Unknown	$\sim 180$	2019-01-21 06:28:46	2019-01-27 17:55:53	80.3
2	Ogden Point	FC-01	2019-05-03	10	11	8	2019-05-03 18:00:58	2019-05-10 13:35:19	163.2
3	Ogden Point	FC-01	2019-06-15	10	12	14	2019-06-15 15:22:00	2019-06-20 18:51:41	98.9
4	Ogden Point	FC-02	2019-06-15	10	12	14	2019-06-15 15:31:35	2019-06-21 18:21:33	117.3
5	Mill Bay	FC-01	2019-07-29	9	12	9	2019-07-29 15:40:24	2019-08-04 05:56:31	109.1
6	Mill Bay	FC-01	2019-08-18	9	15	14	2019-08-18 16:13:55	2019-09-02 01:36:16	212.2
7	Hornby Island	FC-01	2019-09-15	8	14	8	2019-09-15 16:01:23	2019-09-21 02:21:43	89.0
8	Hornby Island	FC-02	2019-09-15	8	14	8	2019-09-15 16:50:17	2019-09-23 18:16:42	127.0
9	Snake Island	FC-01	2019-11-28	12	11	13	2019-11-28 17:13:53	2019-12-05 14:21:44	111.2
10	Snake Island	FC-02	2019-11-28	12	11	13	2019-11-28 13:24:43	2019-12-06 23:11:50	132.4

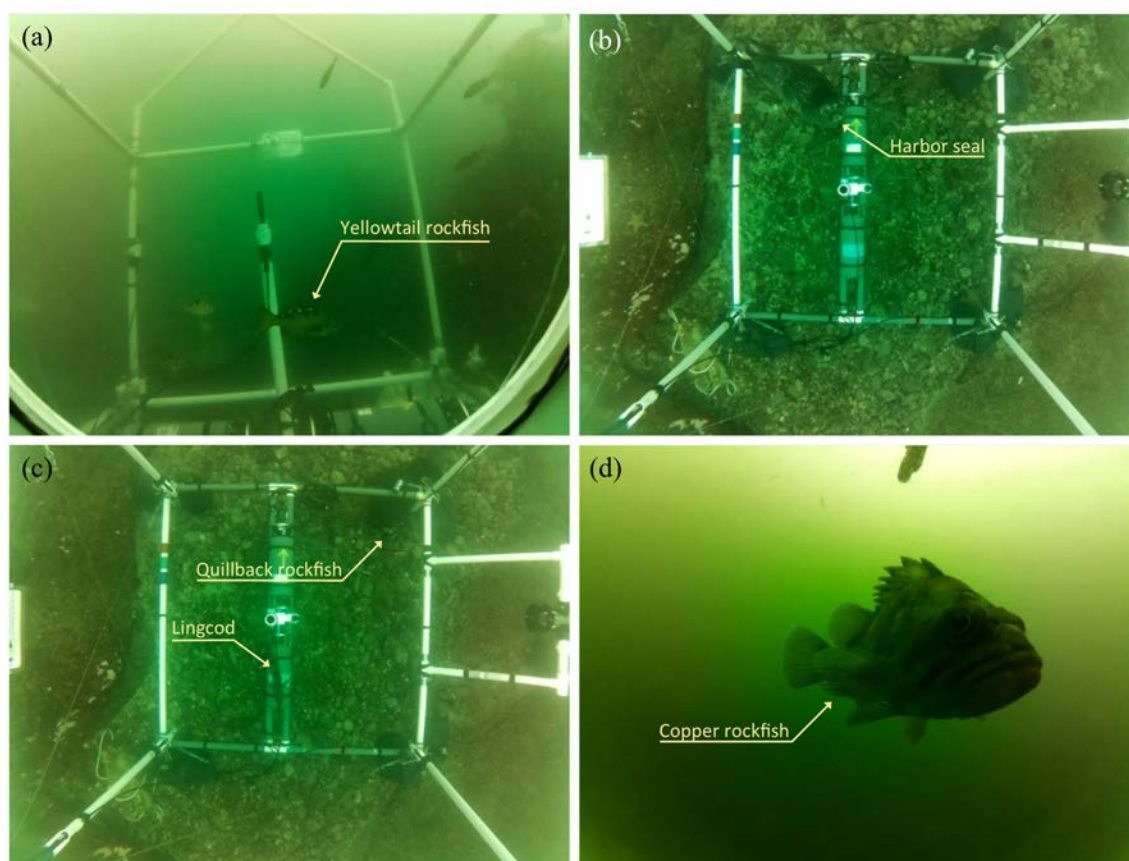


Figure 3.4: (Corresponding Video file: `Video_examples.mp4`) Examples of marine life captured in British Columbia waters by the FishCam: (a) yellowtail rockfish (*Sebastes flavidus*) at Ogden Point, Victoria, 10 m depth; (b) harbor seal (*Phoca vitulina*) at Hornby Island, 8 m depth; (c) quillback rockfish (*Sebastes maliger*) and lingcod (*Ophiodon elongatus*) at Hornby Island, 8 m depth; and (d) copper rockfish (*Sebastes caurinus*) at Mill Bay, 9 m depth. Note that the lower square of the PVC frame in panels (b) and (c) is of dimension  $2\text{m} \times 2\text{m}$ . The associated videos are in the supplemental material Video S1.

The FishCam is an autonomous camera system that allows video and still images to be captured underwater over longer time periods than most camera systems currently available on the market. Its design is simple, easy to build, and inexpensive (<500 USD). It has been used successfully in the field to non-intrusively observe fish in their natural habitat. Thanks to the flexibility of the Raspberry Pi board, the design is versatile and can easily be extended to fulfill specific needs, such as, for example, adding external lights or sensors (e.g. pressure, temperature). Both homemade and commercial pressure housings worked properly. The buzzer circuit was used successfully to synchronize the video data with acoustic data collected by nearby recorders.

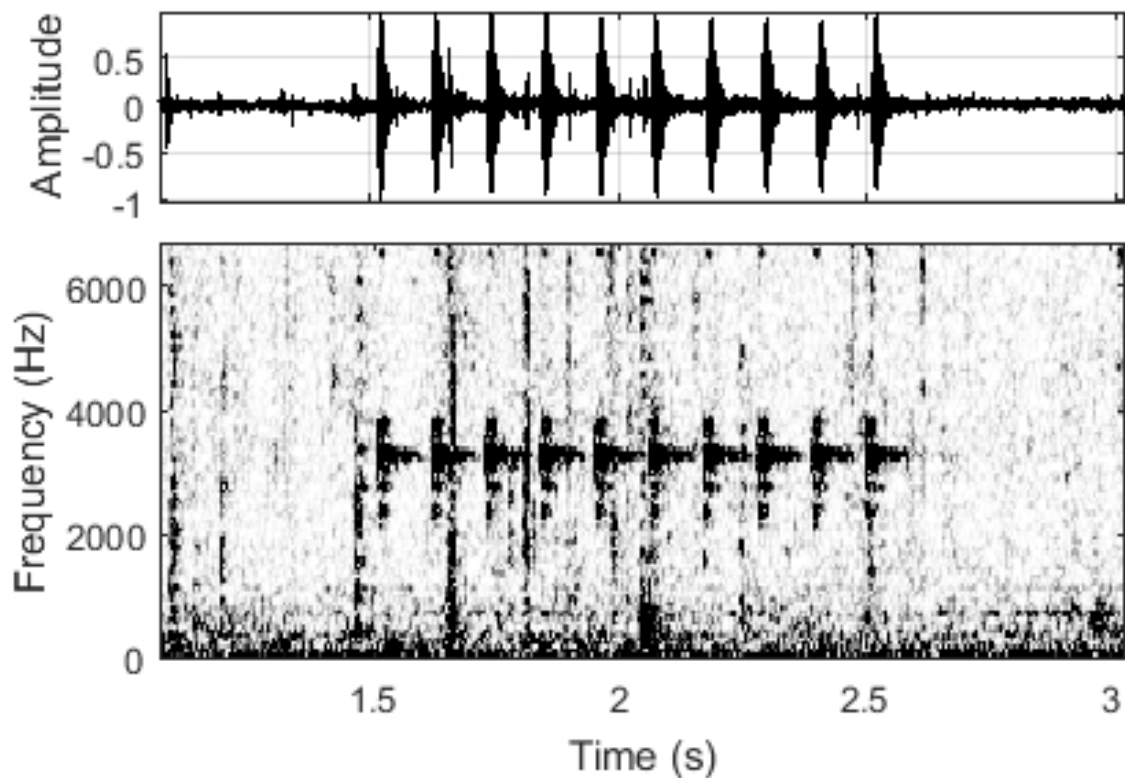


Figure 3.5: (Corresponding audio file: `buzzer_sequence.wav`) Waveform (top) and spectrogram (bottom) of a 3 kHz-tone sequence from the FishCam received by a hydrophone located 3 m away. The sampling frequency of the acoustic recorder was 32 kHz. The spectrogram was computed by Fast Fourier Transform using 512-sample Hanning windows overlapped at 95%.

With the current design, the FishCam can record up to a maximum of 212 hours of videos over a period of 14 days. The camera sensor used here is inexpensive and with a resolution appropriate for the water depths tested in the field (12 m).

The limitation of recording duration is due to the memory storage (200 GB) rather than the battery life of the FishCam. The storage size of microSD cards available on the market is increasing constantly and the cards are becoming less and less expensive. Therefore, it is expected that in the very near future, a larger microSD card could be used in the FishCam at no extra cost, overcoming current memory restrictions. Storing data on an external USB drive instead of on the microSD card has been tested in previous iterations of the FishCam, but was found to draw more power and consequently reduce the recording time. It was also found to be less reliable in the field since the USB connection can easily be damaged or disconnected due to the vibrations of the boat during transport, thereby compromising data collection. The supercapacitor used in the WittyPi can keep track of time without power for a maximum of 17 hours, which restricts the maximum OFF time of the FishCam duty cycle. This can be overcome by connecting a 3 V button battery to the WittyPi board as indicated in the user manual from the manufacturer. During an early deployment, a small amount of water was found in the homemade PVC housing upon retrieval. This was addressed by reinforcing the seal of the front-view window with additional epoxy. Care should be taken when building the PVC pressure housing as small imperfections in this process can potentially lead to failure of the housing (the ready-made housing from Blue Robotics is more expensive but less prone to failure). Deploying the FishCam at deeper depths may require external lights or a more expensive camera sensor. Both pressure housing designs used for the FishCam worked as intended in the field. While the homemade PVC design from [98] is less expensive, the commercial housing from Blue Robotics is more reliable and versatile, allowing more external connectors and sensors. Due to the light weight of the FishCam and its PVC frame, it is important to add enough ballast during deployment to limit risks of losing the instrument with strong currents. All components of the FishCam were purchased in small quantities; larger orders of components could further reduce the cost of the FishCam. Such an approach is used successfully in other open-source projects such as the AudioMoth acoustic recorder [104]. Future developments of the FishCam could include the addition of an external piezzo transducer to emit more complex sounds directly in the water that could be used, for example, in animal behavioral response studies. One or more hydrophones could also be added to the FishCam using Raspberry Pi

compatible sound acquisition boards [105]. The FishCam could find applications in a wide range of aquatic research. Many marine ecology research studies use baited remote underwater video (BRUV) to record fish diversity, abundance, and behaviour [85]. Many of these BRUVs typically have an autonomy of fewer than 10 hours [106, 107]. A FishCam could be used in BRUV studies to expand the duration and spatial coverage of the monitoring effort. FishCams deployed in pairs could potentially be used as stereo-video systems for accurate fish size measurements [108, 109], although this may require the use of a different lens.

Advances in technology, along with the increasing popularity of open-source systems and software, allow researchers to build sophisticated research instruments at lower costs. These innovations can then be made accessible to a much broader demographic. Through this approach, new instruments are becoming available to the marine research community to monitor underwater environments over longer periods of time, over greater spatial scales, and at a minimal cost. Advanced electronic components, such as those used in the FishCam, become not only more accessible to the research community, but also to the general public. This encourages citizen science initiatives that have the potential to improve and expand ongoing research by scientists [110]. Our vision for the FishCam is to have applications in education, citizen science and ecological research. The FishCam could be built by students to teach them the basics of electronics, programming and environmental science, and used in deployments by citizen scientists, including recreational divers and students, to acquire data to be analyzed by researchers to address ecologically-important questions. Such an approach offers a unique opportunity to engage with students and local communities to learn new skills that contribute directly to real-world research and conservation [111–113].

## 3.5 Summary

Capabilities of FishCam:

- Maximum video capacity of 212 hours over a period of 14 days.
- Duty cycles fully customizable.
- Acquisition of videos and/or pictures.
- Large field of view ( $110^\circ$ ).

- Wireless access and configuration.
- Possibility to add external sensors.
- Inexpensive and easy to build.

Limitations of FishCam:

- Needs additional external lights for deployment in deep water.
- Basic electronics skills required (i.e., soldering).

## 3.6 Acknowledgements

This paper is dedicated to the late Callum Mireault (Memorial University of Newfoundland and CHONe graduate student) who brainstormed ideas with the authors at early stages of this project. Data collection in the field was made possible thanks to all the volunteers of the Juanes Lab at the University of Victoria, Scott Trivers, and Tristan Blaine from the Central Coast Indigenous Resource Alliance (CCIRA). This research is supported by the Natural Sciences and Engineering Research Council (NSERC) Canadian Healthy Oceans Network (CHONe) and its partners: Fisheries and Oceans Canada and INREST (representing the Port of Sept-Iles and City of Sept-Iles), JASCO Applied Sciences, and NSERC. X.M was also funded by an NSERC Postgraduate Scholarship and a Mitacs Accelerate Fellowship.

## Chapter 4

# Identifying fish sounds in the wild: development and comparison of three audio/video platforms

For this chapter, I designed, built, and tested the audio/video arrays and led the data collection in the field. Morgan Black, Kieran Cox, and Jessica Qualley led the diving operations in the field and helped with the identification of fish species in the video data. I performed the data analysis, implemented the detection and localization scripts in Python, and created the supporting open-source package ecosound. Dr. Stan Dosso provided the initial Matlab script to calculate the confidence intervals for the non-linear localization. I wrote the paper with editorial assistance from Dr. Francis Juanes and Dr. Stan Dosso.

### 4.1 Abstract

Fish sounds can be used to non-intrusively detect the presence of fish and potentially to estimate their number (or density) over large areas and long time periods. However, many fish sounds have not yet been associated to specific species, which limits the usefulness of this approach. While recording sounds from individual species can be performed in tanks, it presents many challenges, including the fact that many fish do not produce sounds in captivity. This paper proposes three audio/video platforms capable of identifying species-specific fish sounds in the wild. Each platform is designed with specific habitats and logistical and cost constraint in mind, but all are

able to record fish sounds, acoustically localize the fish in three-dimensions (using linearized or fully non-linear inversion) and record video to identify the fish and observe their behavior. The first platform, referred to as the large array, is composed of six hydrophones, an acoustic recorder, and two video cameras secured to a PVC frame of dimensions 2 m  $\times$  2 m  $\times$  3 m, that can be transported and assembled in the field. Despite its higher cost, this array provides the largest field of view, the most accurate acoustic localization, and is well suited to long-term deployments (weeks). The second platform, referred to as the mini array, uses a single video camera, four hydrophones, and an acoustic recorder on a one cubic meter PVC frame. It can be deployed more easily in constrained locations or on rough/uneven seabed and is less expensive, but has lower localization capabilities. The third platform, referred to as the mobile array, consists of four hydrophones connected to an acoustic recorder mounted on a tethered underwater drone with built-in video. This array allows remote control and real-time positioning in response to observed fish presence, is easy and inexpensive to deploy and operate, but has also lower localization capabilities. The three platforms were deployed at four locations off the east coast of Vancouver Island, British Columbia, Canada, and allowed the identification and description of sounds from quillback rockfish (*Sebastes maliger*), copper rockfish (*Sebastes caurinus*), and lingcod (*Ophiodon elongatus*). In addition, data collected with the large array provided estimated mean source levels of 115.4 and 113.5 dB re 1  $\mu$ Pa for lingcod and quillback rockfish sounds, respectively.

## 4.2 Introduction

Over 800 species of fishes worldwide are currently known to be soniferous [8, 9, 114]. It is likely that many more species produce sounds, but their repertoires have not yet been identified. Fishes can produce sound incidentally while feeding or swimming (e.g., [11, 12]) or intentionally for communication [13, 14]. For example, fish sound spectral and temporal characteristics can convey information about male status and spawning readiness to females [15], or male body condition [16]. It has been speculated that some species of fish may also emit sound to orient themselves in the environment (i.e., by echolocation [17]). As is the case for marine mammal vocalizations, fish sounds can typically be associated with a specific species and sometimes to specific behaviours [14, 18]. Recently, Parmentier et al. [115] used fish sounds to identify a new cryptic species of humbug damselfish in French Polynesia. It has also been shown

that several populations of the same species can have different acoustic dialects [19]. Consequently, researchers can measure the temporal and spectral characteristics of recorded fish sounds to identify which species of fish are present in the environment, to infer their behaviour and, in some cases, to potentially identify and track a specific population [20].

Using passive acoustics to monitor fish can complement existing monitoring techniques such as net sampling [21], active acoustics [22], or acoustic tagging [23]. Passive acoustics presents several advantages: It is non-intrusive, can monitor continuously for long periods of time, and can cover large geographical areas. However, in order to use passive acoustics to monitor fish, their sounds must first be characterized and catalogued under controlled conditions. This can be achieved in various ways. The most common way to identify species and behaviour-specific sounds is to capture and isolate a single fish or several fish of the same species in a controlled environment (typically a fish tank) and record the sounds they produce (e.g., [24–26]). This experimental setup precludes sound contamination from other species and allows visual observation of the behaviour of the animal. While these studies provide important findings on fish sound production, they do not always result in sounds that fish produce in their natural environments. To partially address this issue, other studies record fish in natural environments but constrained in fishing net pens to ensure they remain in sufficient proximity of the hydrophones (e.g., [28]). This also presents some challenges as other fish species outside the pen can potentially be recorded.

Passively recording fish in their natural environment has many advantages, especially in terms of not disrupting the animals. However, it provides less control over external variables and also presents many technical challenges. Remote operated vehicles (ROVs) equipped with video cameras and hydrophones have been used by Sprague and Luczkovich in 2004 [29] and Rountree and Juanes in 2010 [30]. Locascio and Burton deployed fixed autonomous passive acoustic recorders and conducted diver-based visual surveys to document the presence of fish species [31]. They also developed customized underwater audio and video systems to verify sources of fish sounds and to understand their behavioural contexts. Most of these monitoring techniques are limited by high power consumption and data storage space requirements, and are typically only deployed for short periods of time. Cabled ocean observatories equipped with hydrophones and video cameras provide valuable data for more extended time periods but by their nature provide data at fixed locations and are expensive to deploy and maintain [10, 32]. As stated by Rountree et al. in 2006

[9], there is a need for the research community to develop longer term and affordable autonomous video and audio recorders that are more versatile than the current technology and facilitate cataloguing fish sounds *in situ*.

A key consideration when cataloguing fish sounds in the wild is to be able to localize the sounds recorded. In most cases, having only a single omnidirectional hydrophone and a video camera is not sufficient. Several fish can produce sounds at the same time and it is important to know which fish in the video recording produced the sound. Although numerous methods have been developed for the large-scale localization of marine mammals based on their vocalizations (see reviews in [33] and [34]), only a handful of studies have been published to date on the localization of fish sounds. D'Spain and Batchelor [35], Mann and Jarvis [36], and Spiesberger and Frstrup [37] localized distant groups of fish. Parsons et al. [38, 39] and Locascio and Mann [40] conducted finer scale three-dimensional localization and monitored individual fish in aggregations. Ferguson and Cleary [41] and Too et al. [42] also performed fine-scale acoustic localization on sounds produced by invertebrates. Fine-scale localization is extremely valuable as it can not only be used with video recordings to identify the species and behaviour of the animals producing sounds, but can also be used to track movements of individual fish, estimate the number of vocalizing individuals near the recorder, and measure source levels of the sounds. The latter represents critical information needed to estimate the distance over which fish sounds can propagate before being masked by ambient noise [40, 43]. Fine-scale passive acoustic localization systems (hardware and software) need to be developed further and made more accessible to facilitate and increase the number and extent of *in situ* studies of fish sounds [9].

Once fish sounds are catalogued, passive acoustics alone (without video recordings) can be used for monitoring the presence of fish in space and time. Many of the soniferous fish species are of commercial interest which makes passive acoustic monitoring a powerful and non-intrusive tool that could be used for conservation and management purposes [9, 20, 45–47]. Sounds produced while fish are spawning have been used to document spatio-temporal distributions of mating fish [20, 48–52]. Recently, Di Iorio et al. [53] monitored the presence of fish in *Posidonia oceanica* meadows in the Western Mediterranean Sea using passive acoustics over a 200 km area. Parmentier et al. [54] were also able to monitor acoustically the presence of the brown meagre (*Sciaena umbra*) during a period of 17 years in different Mediterranean regions, which clearly shows the potential of passive acoustics for monitoring

fish at large scales and over long time periods. Finally, Rountree and Juanes [55] demonstrated how passive acoustics could be used to detect an invasive fish species in a large river system.

In this chapter, we propose three combinations of audio/video hardware and software to catalog and characterize fish sounds in the field.

## 4.3 Methods

### 4.3.1 Description of the audio/video arrays

Three audio/video arrays, referred to as large, mini and mobile arrays, were developed to acoustically localize and visually identify fish producing sounds. Each array was designed with different constraints in mind. The large array was configured for accurate 3D acoustic localization, the mini array for easier deployments in constrained locations or on rough/uneven seabeds, and the mobile array for dynamic real-time spatial sampling over shorter time periods (hours).

#### Large array

The large array is a static platform deployed on the seafloor that records audio and video data for one to two weeks.

The large array uses six M36-V35-100 omnidirectional hydrophones (GeoSpectrum Technologies Inc.) connected to an AMAR-G3R4.3 acoustic recorder (JASCO Applied Sciences) with a PVC housing rated to 250 m depth. Four of the hydrophones (1-4 in Figure 4.1) are connected to the first acquisition board of the recorder via a 4 m long 4-to-1 splitter cable. The two other hydrophones (5,6 in Figure 4.1) are connected, via a 3 m long 2-to-1 splitter cable, to the second acquisition board of the recorder. The recorder is set to acquire acoustic data continuously as 30-min wave files, at a sampling frequency of 32 kHz, with a bit depth of 24 bit, and with a pre-digitalization analog gain of 6 dB. An external battery pack with 48 D-cell batteries is used to power the recorder, which, using this configuration, allows the system to acquire data for up to 35 days. An end-to-end calibration was performed for each hydrophone using a piston-phone type 42AA precision sound source (G.R.A.S. Sound & Vibration A/S) at 250 Hz. System gain measured on all hydrophones was  $-167.3 \pm 0.2$  dB re FS/ $\mu$ Pa, where FS is the full digitalization scale (i.e., amplitudes values between  $-1$  and  $1$ ). Positions of the hydrophones within the large array (Figure 4.1)

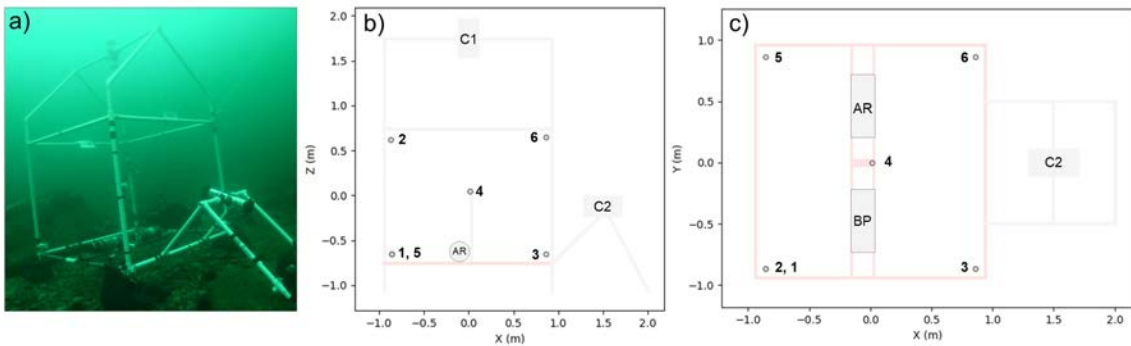


Figure 4.1: Large array. (a) Photograph of the large array deployed in the field. (b) side view and (c) top view diagrams of the array with dimensions. The six hydrophones are represented by the numbered gray circles. The top and side video cameras are indicated by C1 and C2, respectively. Note that C1 is not represented in (c) for clarity. The acoustic recorder and its battery pack are indicated by AR and BP, respectively. Gray and red lines represent the PVC structure of the array (red indicating the square base of the array).

were defined to maximize the accuracy of the acoustic localization (see optimization procedure described in section 4.3.6).

Two autonomous FishCam cameras [84] are used to record video inside the large array. One (C1) is located at the top of the array and is oriented downward towards the sea bottom, and the other (C2) is located on the side of the array, pointing horizontally towards hydrophone 4 (Figure 4.1). Each camera is set to record video continuously during the day (from 5:00 to 21:00 local time) and to shut-down during the night. Video data are recorded on 300-s h264 files, with a frame rate of 10 frames per second, a resolution of  $1600 \times 1200$  pixels, and an ISO of 400. Both cameras emit different sequences of beeps at 3 kHz every 4 hours for time-synchronizing the video and acoustic data. The autonomy of the FishCams is storage-limited, dependent on the underwater light conditions, and typically ranges from 8 to 14 days (see [84]).

All instruments are secured to a tent-shaped PVC frame of 2 m width, 2 m length and 3 m height (Figure 4.1). The frame is built out of schedule 40 1 1/2" PVC pipes, and 4-way and 3-way Formufit PVC Tee fittings. The core components of the frame that support most of the weight from the acoustic recorder are glued together with PVC cement. Other parts of the frame are snug-fit to allow the array to be disassembled. Two galvanized crossed 1/8" wire cables with turnbuckles are used on two sides of the array to add rigidity to the frame. Two ropes with galvanized thimbles are attached at the top of the PVC frame to lower or lift the array to/from

the seafloor during deployment and recovery. The acoustic recorder (AR) and its battery pack (BP) are supported by two schedule 80 1 1/2" PVC pipes located at the base of the array (red solid lines in Figure 4.1b,c) and secured with Smart Band fasteners (HCL Fasteners Ltd). Hydrophones are held snug in a 3/4" 3/4" 1/2" PVC tee fitting that is connected successively to a 1/2" PVC nipple, a 1 1/2" 1/2" PVC bush, and a 1 1/2" 3-way Formufit PVC Tee fitting cut in half and secured to the array frame using stainless steel hose clamps. Cables from the recorder to the hydrophones are held to the PVC frame using re-usable self-gripping Velcro bands. Cameras are attached to the frame with PVC union fittings as described in [84]. Camera C1 is at the top of the main PVC structure and camera C2 is on a separate PVC frame that is clipped on the side of the main structure during deployment.

### Mini array

Like the large array, the mini array is a static platform deployed on the seafloor that records audio and video data for one to two weeks, but has a much smaller footprint than the large array.

The mini array uses four HTI-96-MIN omnidirectional hydrophones (High Tech Inc.) connected to a SoundTrap ST4300HF acoustic recorder (Ocean Instruments, New Zealand). The recorder is set to acquire temperature every 10 s, and acoustic data continuously on 15-min wave files, at a sampling frequency of 48 kHz, and with a bit depth of 16 bit. Using this configuration the recorder has an autonomy of approximately 6 days. An end-to-end calibration was performed for each hydrophone using a piston-phone type 42AA precision sound source (G.R.A.S. Sound & Vibration A/S) at 250 Hz. System gain measured on all hydrophones was  $-168.2 \pm 0.2$  dB re FS/ $\mu$ Pa. Coordinates of the hydrophones are indicated in Figure 4.2.

The mini array uses a single FishCam video camera facing horizontally and placed below the four hydrophones. It is set to record video using the same configuration used for the large array.

The recorder, hydrophones, and camera are secured to a frame of 1.2 m width, 1.3 m length and 1.3 m height (Figure 4.2). It is built out of a combination of schedule 40 1 1/2" PVC pipe (light gray lines in Figure 4.2) and 3/4" high density polyethylene (HDPE) pipe (black lines in Figure 4.2). PVC and HDPE sections of the frame are assembled using 4-way or 3-way PVC and polyethylene fittings. The camera is attached to the frame with PVC union fittings and the acoustic recorder with zip

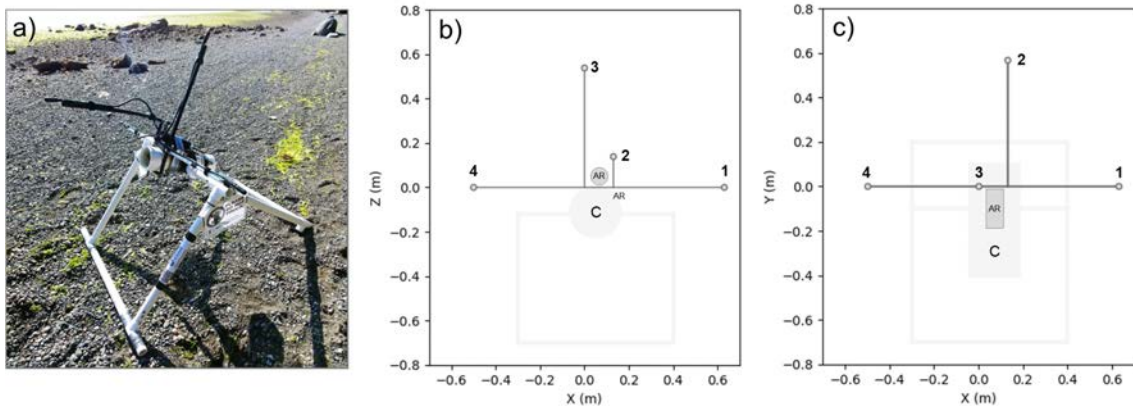


Figure 4.2: Mini audio and video array. (a) Photograph of the mini array before deployment in the field. (b) rear view and (c) top view diagrams of the mini array with dimensions. The four hydrophones are represented by the numbered gray circles. The camera and the acoustic recorder are indicated by C and AR, respectively. Grey and black lines represent the frame of the array.

ties and duct tape. Hydrophones are placed at the end of each HDPE sections of the frame and secured with Velcro bands.

### Mobile array

Unlike the static large and mini arrays, the mobile array is remote controlled, can be re-positioned dynamically in the environment in response to observed fish presence, and can collect audio and video data over periods of approximately two hours.

The mobile array uses a SoundTrap ST4300HF acoustic recorder (Ocean Instruments, New Zealand) with four HTI-96-MIN omnidirectional hydrophones (High Tech Inc.) secured on top of a Trident (Sofar Ocean Technologies) underwater drone (Figure 4.3). The recorder is set to acquire temperature every 10 s, and acoustic data continuously on 15-min wave files, at a sampling frequency of 48 kHz, and with a bit depth of 16 bit. Both the recorder and hydrophones are the same ones used for the mini array, and thus the system gain is the same (i.e.,  $-168.2 \pm 0.2$  dB re FS/ $\mu$ Pa).

The Trident underwater drone uses a 100 m tether and is controlled by a JXD S192K gaming tablet (JinXing Digital Co. Ltd) connected via WiFi to the Trident surface hub. Video from the drone's camera is transmitted in real-time to the JXD controller and is recorded with a resolution of  $1920 \times 1080$  pixels and a frame rate of 30 frames per second. Time, water temperature, depth and horizontal orientation of

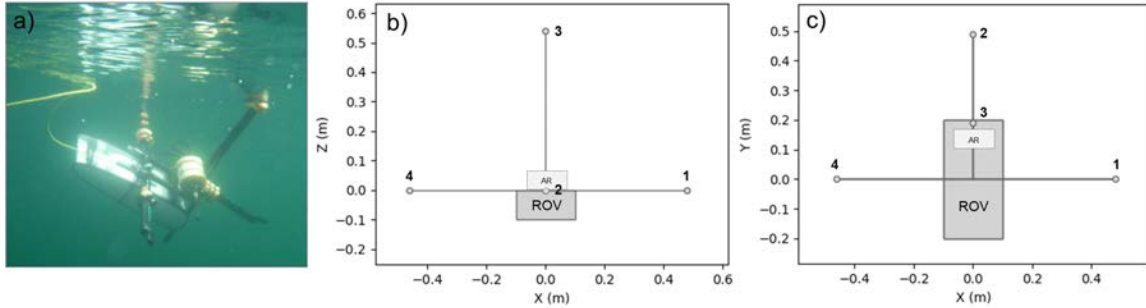


Figure 4.3: Mobile audio and video array. (a) Photograph of the mobile array deployed in the field. (b) rear view and (c) top view diagrams of the mobile array with dimensions. The four hydrophones are represented by the numbered gray circles. The underwater drone and the acoustic recorder are indicated by ROV and AR, respectively. The video camera is located at the front of the drone (coordinates:  $(0,0.2,-0.05)$ ) facing forward. Black lines represent the frame of the array.

the drone are recorded and displayed on each video recording. The hydrophones and recorder are attached to a small frame made of 3/4" HDPE pipe, 3-way polyethylene fittings and zip ties, and secured to the bottom of the drone using four M3 screws. Hydrophones are secured to the frame using Velcro bands and are placed at the end of each arm of the frame that extend to approximately 0.5 m on each side, in front, and above the drone (Figure 4.3). Two 10 cm long vertical pieces of HDPE pipe are placed at the bottom-front of the frame to keep the drone and thrusters out of the sand and mud when resting on the seafloor. Pieces of buoyant polyethylene foam are attached with zip ties on several sections of the frame to maintain balance and buoyancy. The array is made slightly negatively buoyant to remain stable when resting on the seafloor with the thrusters turned off.

### 4.3.2 Automatic detection of acoustic transients

Data collected by the audio/video arrays are analyzed in four steps. First, acoustic transients are automatically detected on the signal from one of the hydrophones (this section). Second, the time-difference of arrivals (TDOAs) between hydrophones of the array are estimated via cross-correlation (section 4.3.3). Third, the 3D localization of the detected events is estimated (section 4.3.4) using linearized inversion (for the large array) or full non-linear inversion (for the mini and mobile array). Finally, 3D acoustic localizations are matched with the video recordings to identify the species and behavior of the fish that emitted for the sound (section 4.3.5).

All acoustic events (including, but not limited to fish sounds) are automatically detected on data from one of the hydrophones of the array (hydrophone 4 for the large array, Figure 4.1, and hydrophone 2 for the mini and mobile arrays, Figures 4.2 and 4.3). The detection is performed by calculating and denoising the spectrogram, then by segmenting the denoised spectrogram to identify acoustic transients.

The spectrogram is calculated using 0.0625 s frames with time steps of 0.01 s and 0.0853 s FFTs. Given that most fish sounds reported in the literature have a peak frequency below 5 kHz [77, 116], the spectrogram is truncated to only keep frequencies from 0 to 6 kHz. Magnitude values are squared to obtain energy and expressed in decibels. To improve the signal-to-noise ratio of fish sounds and attenuate tonal sounds from vessels, the spectrogram is equalized using a median filter, calculated with a sliding window, for each row (frequency) of the spectrogram. The equalized spectrogram,  $\hat{S}[t, f]$ , at each time bin,  $t$ , and frequency bin,  $f$ , is calculated as

$$\hat{S}[t, f] = S[t, f] - S_{med}[t, f], \quad (4.1)$$

where  $S[t, f]$  is the original spectrogram and  $S_{med}[t, f]$  is the median spectrogram calculated as:

$$S_{med}[t, f] = \text{median}(S[t-k, f], S[t-k+1, f], \dots, S[t, f], \dots, S[t+k-1, f], S[t+k, f]), \quad (4.2)$$

where the median is calculated on a window centered on the  $t^{th}$  sample and has a duration of  $2k+1$  bins. Here, we choose a median window equivalent to a 3 s duration ( $k = 150$ ), which removes constant tonal components from vessels without removing the longer grunting sounds from fish.

Once the spectrogram is calculated and equalized, it is segmented by calculating the local energy variance on a two-dimensional (2D) kernel of size  $\Delta T \times \Delta F$ . The resulting matrix,  $S_{var}$ , is defined as

$$S_{var}[t, f] = \frac{1}{(\Delta T \Delta F) - 1} \sum_{i=t-\frac{\Delta T}{2}}^{t+\frac{\Delta T}{2}} \sum_{j=f-\frac{\Delta F}{2}}^{f+\frac{\Delta F}{2}} |\hat{S}[i, j] - \mu|^2, \quad (4.3)$$

where  $\mu$  is the mean over the 2D kernel

$$\mu = \frac{1}{(\Delta T \Delta F)} \sum_{i=t-\frac{\Delta T}{2}}^{t+\frac{\Delta T}{2}} \sum_{j=f-\frac{\Delta F}{2}}^{f+\frac{\Delta F}{2}} \hat{S}[i, j]. \quad (4.4)$$

The number of time and frequency bins of the kernel are chosen to be equivalent to 0.1 s and 300 Hz, respectively. Bins of the spectrogram with a local variance less than 20 were set to zero and all the other bins were set to one. Bounding boxes of contiguous bins in the binarized spectrogram are then defined using the outer border following algorithms described in [117]. These bounding boxes define the start and stop times and the minimum and maximum frequency of the acoustic events to localize.

### 4.3.3 Time Difference of Arrival

The TDOAs are obtained by cross-correlating acoustic events detected on the recording from the reference-hydrophone with the recordings from the other hydrophones. Before performing the cross-correlation, each recording is band-pass filtered in the frequency band determined by the detector using an eighth-order zero-phase forward-backward Butterworth filter [118], and up-sampled using the FFT method [119], to obtain a time resolution of 0.1  $\mu$ s. The TDOA of a detected signal between a pair of hydrophones is defined as the time of the maximum peak in the normalized cross-correlation within the range of possible values for the array (i.e.,  $\pm 1.9$  ms for the large array,  $\pm 0.8$  ms for the mini array, and  $\pm 0.6$  ms for the mobile array). Only TDOAs with a peak correlation amplitude greater than 0.5 are considered for localization.

### 4.3.4 Acoustic localization

#### The forward model

Given that fish sounds only propagate over small distances [82] and that hydrophones of the audio/video arrays are separated by less than 3 m, it is assumed for the localization, that the effects of refraction are negligible and that the sound velocity,  $v$ , is constant (here,  $v = 1484$  m/s). In such case, the TDOA  $\Delta t_{ij}$  between hydrophones  $i$

and  $j$  is defined by

$$\Delta t_{ij} = \frac{1}{v} \left( \sqrt{(X - x_i)^2 + (Y - y_i)^2 + (Z - z_i)^2} - \sqrt{(X - x_j)^2 + (Y - y_j)^2 + (Z - z_j)^2} \right), \quad (4.5)$$

where  $x, y, z$  are the known 3D Cartesian coordinates of hydrophones  $i$  and  $j$  relative to the array center, and  $X, Y, Z$  are the unknown coordinates of the acoustic source ( $M = 3$  unknowns).

Localizing the acoustic source is a non-linear problem defined by

$$\{d_k; k = 1, N\} = \mathbf{d} = \mathbf{d}(\mathbf{m}) \quad (4.6)$$

where,  $\mathbf{d}$  represents the  $N$  measured TDOA data and  $\mathbf{d}(\mathbf{m})$  the modeled TDOA data with  $\mathbf{m} = [X, Y, Z]^T$  (in the common convention adopted here bold lower-case symbols represent vectors and bold upper-case symbols represent matrices). The large array has 6 hydrophones and can provide 5 independent TDOAs measurements ( $N = 5$ ), while the mini and mobile arrays have 4 hydrophones which provide 3 independent TDOA measurements ( $N = 3$ ).

### Linearized inversion

Acoustic localization for the large array is performed using linearized inversion. The localization problem in Eq. 4.6 can be linearized by starting at a location  $\mathbf{m}_0$ , and iteratively solving for small perturbations  $\delta\mathbf{m}$ , of the model  $\mathbf{m}$  [76]. Assuming errors on the data are identical and independently Gaussian-distributed random variables, the maximum-likelihood solution is

$$\delta\mathbf{m} = [\mathbf{A}^T \mathbf{A}]^{-1} \mathbf{A}^T \delta\mathbf{d}, \quad (4.7)$$

where  $\delta\mathbf{d} = \mathbf{d} - \mathbf{d}(\mathbf{m}_0)$ , and  $\mathbf{A}$  is the  $N \times M$  Jacobian matrix of partial derivatives with elements

$$A_{ij} = \frac{\partial d_i(\mathbf{m}_0)}{\partial m_j}; \quad i = 1, \dots, N; \quad j = 1, \dots, M. \quad (4.8)$$

The location  $\mathbf{m}$  of the acoustic source can be estimated by solving for  $\delta\mathbf{m}$  and re-defining iteratively

$$\mathbf{m}_{l+1} = \mathbf{m}_l + \alpha \delta\mathbf{m}; \quad l = 0, \dots, L; \quad 0 < \alpha \leq 1, \quad (4.9)$$

until convergence (i.e., until  $\|\mathbf{m}_{l+1} - \mathbf{m}_l\|_2 < 0.01$  m). In Eq. 4.9,  $\alpha$  is a stepsize damping factor (here,  $\alpha = 0.1$ ) and  $L$  is the maximum number of iterations (here,  $L = 100$ ). The localization uncertainties, about the final solution, are estimated from the diagonal elements of the model covariance matrix  $\mathbf{C}_m$  defined by

$$\mathbf{C}_m = \sigma^2 [\mathbf{A}^T \mathbf{A}]^{-1}, \quad (4.10)$$

where  $\sigma^2$  is the variance of the TDOA measurement errors. The localization process is repeated for each detected sound by choosing five different starting models  $\mathbf{m}_0$ . One is always selected at the center of the array (i.e.,  $\mathbf{m}_0 = [0, 0, 0]^T$ ), and the four others are randomly drawn from a uniform distribution within the volume of the array. The localization result with the smallest data misfit is considered the best solution. The variance of the TDOA measurement errors,  $\sigma^2$  in Eq. 4.10, is estimated for each localization by

$$\sigma^2 = \frac{1}{N-3} \sum_{i=1}^N (d_i - d_i(\mathbf{m}))^2. \quad (4.11)$$

### Non-linear inversion

The spacing between hydrophones for the mini and mobile arrays is smaller than for the large array and most sound sources to localize are outside the volume of the array. In such cases the problem becomes highly non-linear and localizing using linearized inversion is less appropriate, as it has difficulties converging towards a final solution and the linearized uncertainty estimates become unreliable. Consequently, acoustic localization for the mini and mobile arrays is performed using a fully non-linear inversion. A 3D spatial search grid of pre-computed time-difference data,  $\mathbf{d}(\mathbf{m})$  is defined using Eq. 4.5 and simulated sound sources positioned every 2 cm from  $-3$  m to  $3$  m in the  $x$  and  $y$  axes, and from  $-1$  m to  $3$  m in the  $z$  axis (coordinate systems defined in Figures 4.2 and 4.3). Assuming errors in the data are identical and independently Gaussian distributed, the un-normalized likelihood  $L(\mathbf{m}, \sigma)$  is estimated at each location  $\mathbf{m}$  of the search grid as

$$L(\mathbf{m}, \sigma) = \exp \left[ -\frac{1}{2\sigma^2} |\mathbf{d} - \mathbf{d}(\mathbf{m})|^2 \right], \quad (4.12)$$

where  $|\mathbf{d} - \mathbf{d}(\mathbf{m})|^2 = \sum_{i=1}^N (d_i - d_i(\mathbf{m}))^2$ , and  $\sigma^2$  is the variance of the TDOA measurement errors estimated at the grid location  $\mathbf{m}_{ML}$  that minimizes  $|\mathbf{d} - \mathbf{d}(\mathbf{m})|^2$ .

The likelihood values of the  $M_x \times M_y \times M_z$  grid are then normalized by  $S = \sum_{i=1}^{M_x} \sum_{j=1}^{M_y} \sum_{k=1}^{M_z} L(x_i, y_j, z_k)$  to obtain a normalized posterior probability density in 3D. The location  $\mathbf{m}_{ML}$  has the maximum likelihood and is considered as the estimate of the sound source location. Uncertainties of the localization are then characterized as the limits of the 99% highest-probability density credibility interval of the marginal probability distributions,  $P_x$ ,  $P_y$ , and  $P_z$  defined for each axis,  $x$ ,  $y$ , and  $z$  of the 3D grid, respectively. As the mini and mobile array can only provide 3 TDOAs,  $\sigma^2$  is not updated for each localization, as for the large array, but for groups of 3 or more consecutive localizations and assumed constant over the recording analyzed.

### 4.3.5 Identification of the localized sounds

Acoustic recordings are automatically analyzed using the process described above and only acoustic localizations that are within the field of view of the video cameras, and have uncertainties in each dimension less than 1 m, are kept for further analysis. Video recordings corresponding to the time of the selected localizations are manually inspected and experienced taxonomists visually identify individual fish matching the position of the acoustic localization. Identification is performed to the species level and when possible the sounds are associated to specific behaviors. Synchronization beeps emitted by the video cameras are identified in the audio data and used to ensure the video and audio data are time-aligned properly.

### 4.3.6 Optimization of hydrophone placement

For the large array, the placement of the hydrophones was defined so as to minimize the overall localization uncertainty. This was achieved using the simulated annealing optimization algorithm [120] and followed the procedure developed in [121]. The optimization consisted of finding the  $x$ ,  $y$ , and  $z$  coordinates of the six hydrophones (18 parameters) that minimizes the average localization uncertainty of 500 simulated sound sources placed on a 2 m radius sphere around the center of the array. For a given hydrophone configuration  $\mathbf{m}$ , the average localization uncertainty,  $E(\mathbf{m})$  is defined as

$$E(\mathbf{m}) = \sum_{s=1}^S \sqrt{\sigma_{s_x}^2 + \sigma_{s_y}^2 + \sigma_{s_z}^2} / S, \quad (4.13)$$

where  $S$  is the number of simulated sound sources ( $S = 500$ ), and  $\sigma_{s_x}^2$ ,  $\sigma_{s_y}^2$ , and  $\sigma_{s_z}^2$  are the variances of the localization uncertainties of the simulated sound source  $s$  in the

$x$ ,  $y$ , and  $z$  directions, respectively, and correspond to the diagonal elements of the covariance matrix defined in Eq. 4.10. Note that in this section,  $\mathbf{m}$  corresponds to the hydrophone positions, and not to the sound source location like in section 4.3.4. The simulated annealing process starts by randomly choosing the initial hydrophone positions,  $\mathbf{m}$ , within the defined bounds and calculating the corresponding  $E(\mathbf{m})$ . Here, we constrain the hydrophones to be within the interval  $[-1, 1]$  m in each dimension. Then, an updated hydrophone placement  $\mathbf{m}'$ , is proposed by perturbing one of the components of  $\mathbf{m}$  (i.e. one of the hydrophone's coordinates) and calculating the associated  $E(\mathbf{m}')$ . If  $\Delta E = E(\mathbf{m}') - E(\mathbf{m})$  is  $\leq 0$ , then the proposed hydrophone placement is accepted and  $\mathbf{m}$  is updated to  $\mathbf{m}'$ . If  $\Delta E > 0$ , then a value  $\xi \in [0, 1]$  is drawn from a uniform random distribution and a selection factor  $P$  is defined as

$$P = e^{-\Delta E/T}, \quad (4.14)$$

where  $T$  is a control parameter of the simulated annealing algorithm called the annealing temperature. If  $\xi \leq P$ , then the proposed hydrophone placement is accepted and  $\mathbf{m}$  is updated to  $\mathbf{m}'$ . If  $\xi > P$ , then the proposed hydrophone placement is rejected and  $\mathbf{m}$  is not updated. The simulated annealing process repeats these steps many times until convergence. The initial value of  $T$  is set high to accept at least 80% of the proposed hydrophone placements and explore the search space (melting phase). The annealing temperature is then reduced by 10% every 100 iterations until only 0.1% of the proposed hydrophone placements are accepted (freezing phase). The proposed  $\mathbf{m}'$  are defined by perturbing one parameter  $m_i$  of  $\mathbf{m}$  individually at each iteration such that

$$m_i' = m_i + \eta \Delta_i, \quad (4.15)$$

where  $\eta$  is randomly drawn from a normal distribution of mean zero and standard deviation 0.125, and  $\Delta_i$  is the width of the search interval for that parameter. Here, the search interval for each hydrophone coordinate is  $[-1, 1]$  m, so  $\Delta_i = 2$  m.

Figure 4.4 depicts the evolution of hydrophone coordinates during the simulated annealing search process. For the first half of the iterations (i.e., at higher annealing temperatures), the full range of allowed coordinate values for each hydrophone were explored (i.e.,  $[-1, 1]$ ). During the second half of the iterations (i.e., smaller annealing temperatures), each parameter converged progressively towards its final value. At the end of the simulated annealing process, five hydrophones converged to a corner of the search space (i.e., cubic volume), and one converged to the center of the array.

The simulated annealing process was repeated five times (using a different initial hydrophone placement) and always led to the same relative hydrophone geometry (with possible rotations around the center of the array). For practical reasons (i.e., restriction in cable length), the hydrophone placement used for the large array (Figure 4.1) is a rotated version of the hydrophone placement shown in Figure 4.4.

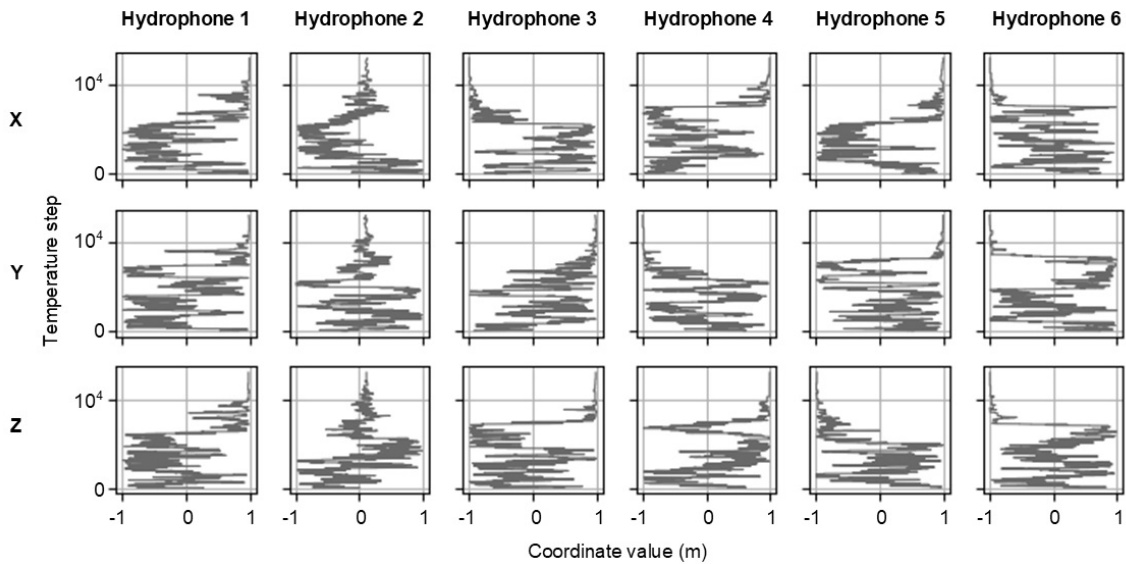


Figure 4.4: Optimization of hydrophone placement using simulated annealing: X (top row), Y (middle row), and Z (bottom row) coordinates of each hydrophone (columns) at each iteration of the simulated annealing process.

Figure 4.5, shows that for the same array footprint (i.e.,  $2\text{ m} \times 2\text{ m}$ , Figure 4.5a,b), the spatial capacity of the large array to localize with an uncertainty below 50 cm is more than seven times larger than the hydrophone array used in [76] (i.e.,  $4.2\text{ m}^3$  vs  $33.5\text{ m}^3$ ). This means that fish sounds can be localized accurately even when the fish are located up to a meter outside the array. The simulated annealing approach was not used for the mini and mobile arrays as the placement of the hydrophones was mostly dictated by the mechanical constraints of these platforms.

### 4.3.7 Characterization of identified fish sounds

Identified fish sounds are characterized by measuring their pulse frequency, pulse repetition rate, and duration, where a pulse is defined as a positive/negative amplitude pair. Each fish sound is usually made up of one or more pulses. All measurements are performed on the waveform as in [122] (Figure 4.6). The pulse period,  $T_{pulse}$ ,

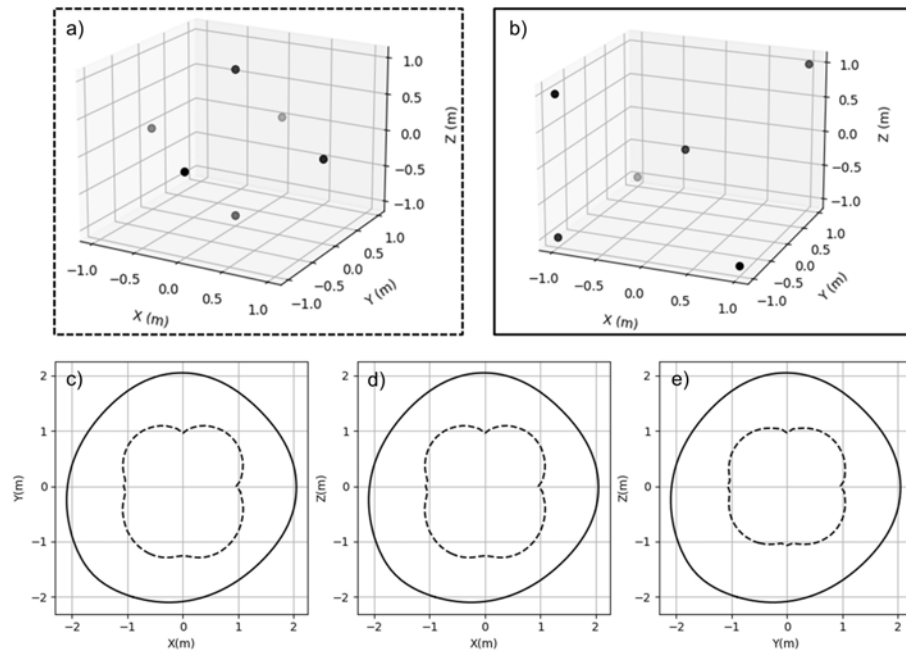


Figure 4.5: Comparison of localization uncertainties between the large array from this study and the array used in [76]. (a) Hydrophone geometry used in [76]. (b) Hydrophone geometry of the large array as defined by the simulated annealing optimization process. Estimated 50-cm localization uncertainty isoline of the large array (dashed line) and [76] (solid line) in the (c)  $XY$ , (d)  $XZ$ , and (e)  $YZ$  plane. Uncertainties for each array were computed using Eq. 4.10 on a 3D grid of  $3 \text{ m}^3$  with points located every 10 cm, and using a standard deviation of data errors of 0.12 ms.

is measured as the time separating the two first consecutive amplitude peaks of a pulse, and is used to calculate the pulse frequency in hertz (i.e.,  $1/T_{pulse}$ ). The pulse repetition interval,  $T_{rep}$ , is measured as the duration between the first peak of two consecutive pulses, and is used to calculate the pulse repetition rate in pulses per second (i.e.,  $1/T_{rep}$ ). The duration,  $T_{dur}$ , is the duration of the fish sound in seconds. There is only one duration measurement per fish sound. However, fish sounds with multiple pulses (typically grunts), have several measurements of pulse frequency and pulse repetition rate (e.g., 4.6).

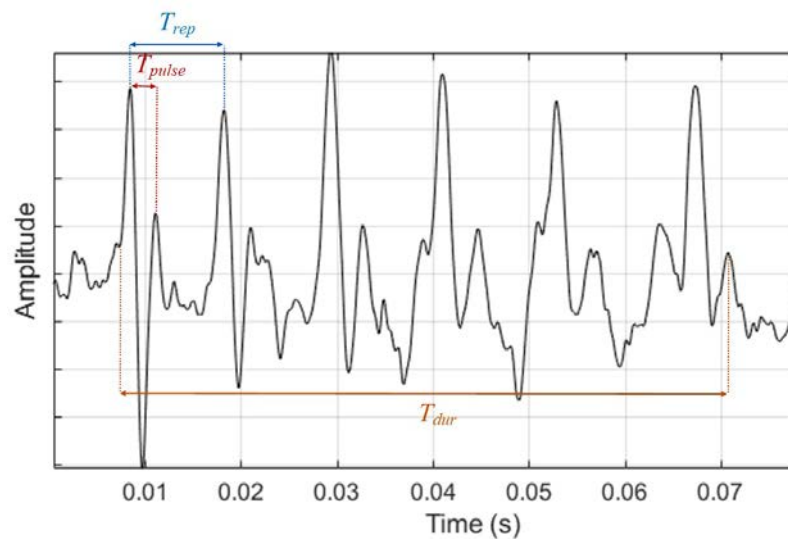


Figure 4.6: Waveform of a fish grunt composed of six pulses. The pulse period,  $T_{pulse}$ , and pulse repetition interval,  $T_{rep}$ , are measured on the waveform representation of the fish sound to calculate the pulse frequency and pulse repetition rate, respectively.  $T_{dur}$  represents the duration of the sound.

### 4.3.8 Estimation of source levels

The acoustic source levels are calculated for the localized fish sounds by applying estimated transmission loss values to the received levels using [123],

$$SL = RL + PL, \quad (4.16)$$

where  $SL$  is source level  $RL$  is received level, and  $PL$  is the propagation loss (all in dB re  $1\mu\text{Pa}$ ). Received levels are calculated for each fish sound after converting the

amplitude of the digitized signal,  $x(t)$ , into pressure values using

$$P(t) = 10^{-S_g/20}x(t), \quad (4.17)$$

where  $S_g$  is the system gain, in dB re FS/ $\mu$ Pa, measured in the calibrations described in section 4.3.1. The root-mean-square (RMS) received sound pressure level is defined (in dB re 1  $\mu$ Pa) as

$$RL = 20 \log_{10} \left( \sqrt{\frac{1}{T_{dur}} \int_{T_{dur}} P^2(t) dt} \right). \quad (4.18)$$

Source levels are calculated by assuming spherical spreading of the acoustic wave. Additionally, given the short distance between the hydrophones and the fish and the low frequency of fish sounds, absorption losses are considered negligible. Therefore, the propagation loss in Eq. 4.16, is defined by

$$PL = 20 \log_{10}(R), \quad (4.19)$$

where  $R$  is the distance in meters between the source (i.e. the localized fish) and the receiver (hydrophone). Source levels are estimated using data from the hydrophone closest to the fish location and by band-pass filtering the acoustic recording in the frequency band of the fish sound (fourth-order Butterworth filter).

### 4.3.9 Software implementation

All the detection, localization and optimization algorithms described in this chapter were implemented in Python 3.8 using the library ecosound developed by the first author [124] and released under the BSD-3-Clause License. Ecosound relies on the Python libraries pandas [125], NumPy [126], scikits-learn [127], Dask [128], and xarray [129].

### 4.3.10 Data collection in the field

The large, mini and mobile arrays were deployed at five sites off the East coast of Vancouver Island, British Columbia, Canada (Figure 4.7, Table 4.1). These sites were selected to cover a variety of habitats and fish species. Ogden Point is a well known SCUBA diving and shore fishing site located near a breakwater with over 30

different fish species (iNaturalist research-grade observations, [130]). The Mill Bay site is located near the ship wreck of the *M/V Lord Jim* which attracts a number of fish species. Despite being located near a marina, vessel traffic at this site is less intense than at Ogden Point, which is located at the entrance of Victoria’s Inner Harbor. Hornby Island and Snake Island were selected because previous passive acoustic surveys reported that unknown fish sounds were recorded there ([131], Dana Haggarty pers. comm.). Additionally, the Snake Island site is located inside the Northumberland Channel Rockfish Conservation Area [132]. The Macaulay Point site was only used for testing the acoustic localization of the mobile array. The Trident underwater drone was used prior to each deployment to inspect the seafloor and select a suitable location for the audio and video array (e.g., see Video 4.1 in [133]).

Table 4.1: Deployment locations, depths, and durations.

#	Location	Depth (m)	Array type	Deployment date	Deployment duration
1	Ogden Pt.	10	Large	2019-05-04	7 days
2	Ogden Pt.	10	Large	2019-06-15	7 days
3	Mill Bay	9	Mini	2019-07-29	7 days
4	Mill Bay	9	Large	2019-08-18	14 days
5	Hornby Isl.	8	Large	2019-09-15	7 days
6	Hornby Isl.	3-20	Mobile	2019-09-16	2 hours
7	Hornby Isl.	3-20	Mobile	2019-09-16	2 hours
8	Hornby Isl.	3-20	Mobile	2019-09-18	1 hours
9	Hornby Isl.	3-20	Mobile	2019-09-18	2 hours
10	Hornby Isl.	3-20	Mobile	2019-09-19	2.5 hours
11	Hornby Isl.	3-20	Mobile	2019-09-20	1.5 hours
12	Hornby Isl.	3-20	Mobile	2019-09-20	2 hours
13	Snake Isl.	11	Large	2019-11-28	8 days
14	Macaulay Pt.	3	Mobile	2020-09-10	1.5 hours

The large array was deployed five times at four sites between May and December 2019 for durations of one to two weeks. For each deployment, the array was assembled on shore, loaded on a 7 m Lifetimer, aluminum hull boat (*M/V Liber Ero*) and, once on site, lowered to the seafloor with two temporary lines attached to lifting thimbles at the top of the array frame. Divers secured each leg of the PVC frame with sand bags (total ballast: 90 kg) and attached the side camera (C2 in Figure 4.1) to the

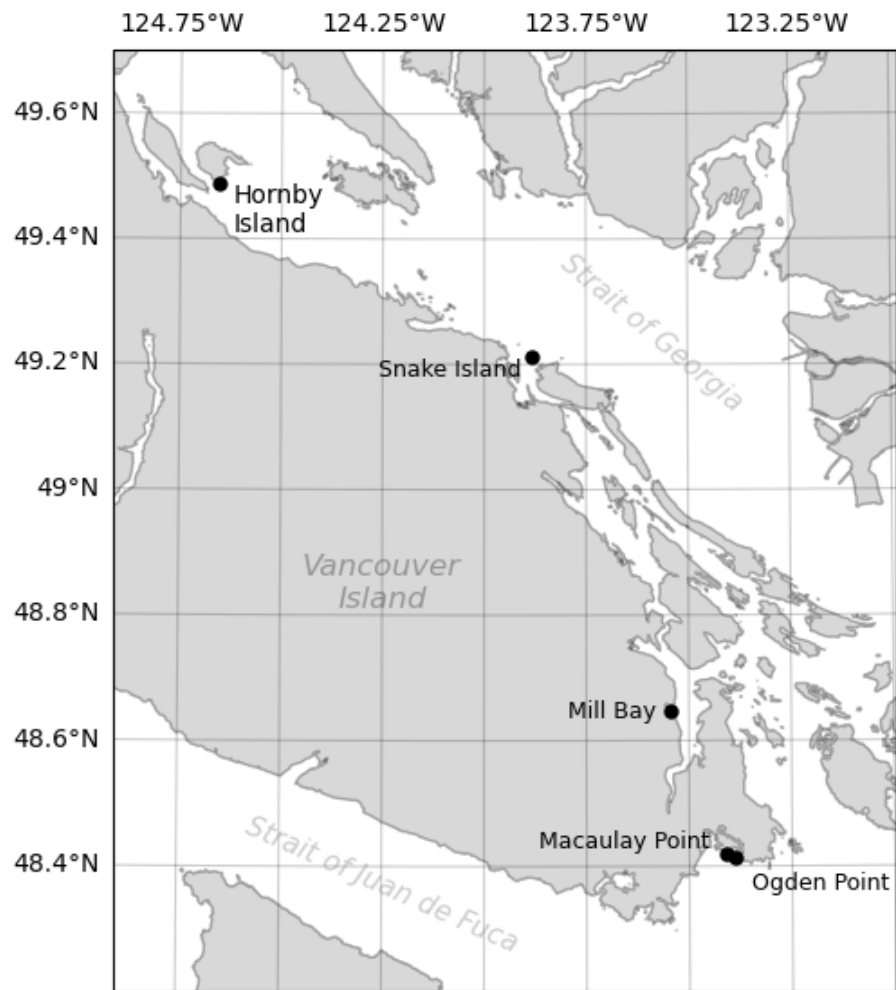


Figure 4.7: Map of the deployment locations. Black dots indicate the locations where the audio and video arrays were deployed.

main frame. Deployment operations for the large array required 1 boat driver, 1 dive tender, 1 deck-hand, and 3 SCUBA divers.

The mini array was deployed once at Mill Bay, in August 2019, for 14 days. The array was assembled on shore and deployed from a 3.3 m  $\times$  1.5 m inflatable boat (Intex Mariner 4). Divers lowered the mini array to the seafloor by hand and secured it in place with sand bags (total ballast: 40 kg). Deployment operations for the mini array required 1 boat driver, 1 dive tender, and 2 SCUBA divers.

The mobile array was deployed seven times between September 16 and 20, 2019 off Hornby Island and once at Macaulay Point in September 2020. The duration of each deployment was between 1 and 2.5 hours and was dictated by the battery life of the Trident underwater drone. The mobile array was deployed and piloted from a 3.3 m  $\times$  1.5 m inflatable boat (Intex Mariner 4). Deployments typically consisted of 1) anchoring the boat with a small weight, 2) deploying the mobile array in the water, 3) piloting the drone to explore the area to find fish, and 4) land the array on the seafloor or on rocks to quietly record fish sounds (see Video 4.2 in [133]). This sequence was repeated several times for each deployment. The choice of locations to land the array was made based on the video footage only as the acoustic data are not accessible in real-time. The deployment at Macaulay Point was performed from a public dock and therefore did not require a boat. Deployment operations for the mobile array required a single person to drive the boat (when the measurements were not done from shore) and pilot the underwater drone.

For all three arrays, data were downloaded after recovery of the instruments and processed in post analysis.

#### **4.3.11 Localization of controlled sound sources in the field**

For each array, the accuracy of the acoustic localization was tested in the field using a source emitting known sounds at a known location.

For the large and mini arrays, the Trident underwater drone was used as the controlled sound source. The characteristic noise from the drone's thrusters was received by all hydrophones and was used for localization. The accuracy of the localization was then assessed when the drone was within the field of view of the cameras. Figure 4.8 shows the acoustic localization of the underwater drone over 6 s as it approaches the large array. The broadband sounds from the drone's thrusters (Figure 4.8a) were localized on the left side of the array at approximately 1 m from the seafloor and with

estimated localization uncertainties less than 20 cm in each dimension (Figure 4.8b,c). These acoustic localizations corresponded closely to positions and movements of the underwater drone recorded on the video camera C2 (Figure 4.8d,e).

Figure 4.9 shows the acoustic localization of the underwater drone over 6 s as it faces the mini array. The broadband sounds from the drone’s thrusters (Figure 4.9a) were localized in front of the mini array at approximately 1.5 m above the seafloor. Localization uncertainties were small along the  $x$  and  $z$  axes (i.e., less than 10 cm and 50 cm, respectively) but larger along the  $y$  axis (up to 2.5 m). The acoustic localization was successful at determining the bearing and elevation of the underwater drone but was less accurate at estimating the range.

For the mobile array, the acoustic localization was tested by emitting sounds from an acoustic projector. A Lubel Labs LL96 acoustic projector was connected to a Clarion Marine APX280M power amplifier and an Apple Ipod audio player, and was deployed on the seafloor, in 3 m of water, off a marina dock at Macaulay Point (Figure 4.7). The mobile array was also deployed on the seafloor and was located approximately 1 m in front of the projector. The acoustic projector was set to play a series of fish sounds that were then localized by the mobile array. To test the localization in different directions, measurements were repeated with the mobile array oriented at different angles from the acoustic projector (0, 90, 180, and 270°). The orientation of the mobile array and its distance from the source were adjusted using the compass readings from the drone and visually from the surface. Figure 4.10 shows the localization results from this experiment. As for the mini array, the localization from the mobile array can resolve the source bearing and elevation angles relatively well, but is much less accurate at estimating range.

## 4.4 Results

This section shows examples of fish sounds that were identified in the field using each platform. Videos corresponding to Figures 4.11-4.16 can be found on this thesis’ online data repository [133].

### 4.4.1 Identification of fish sounds using the large array

Figure 4.11 shows the acoustic localization of fish sounds over a 10-s period when a lingcod (*Ophiodon elongatus*) swam inside the array from the left (Figure 4.11d) and

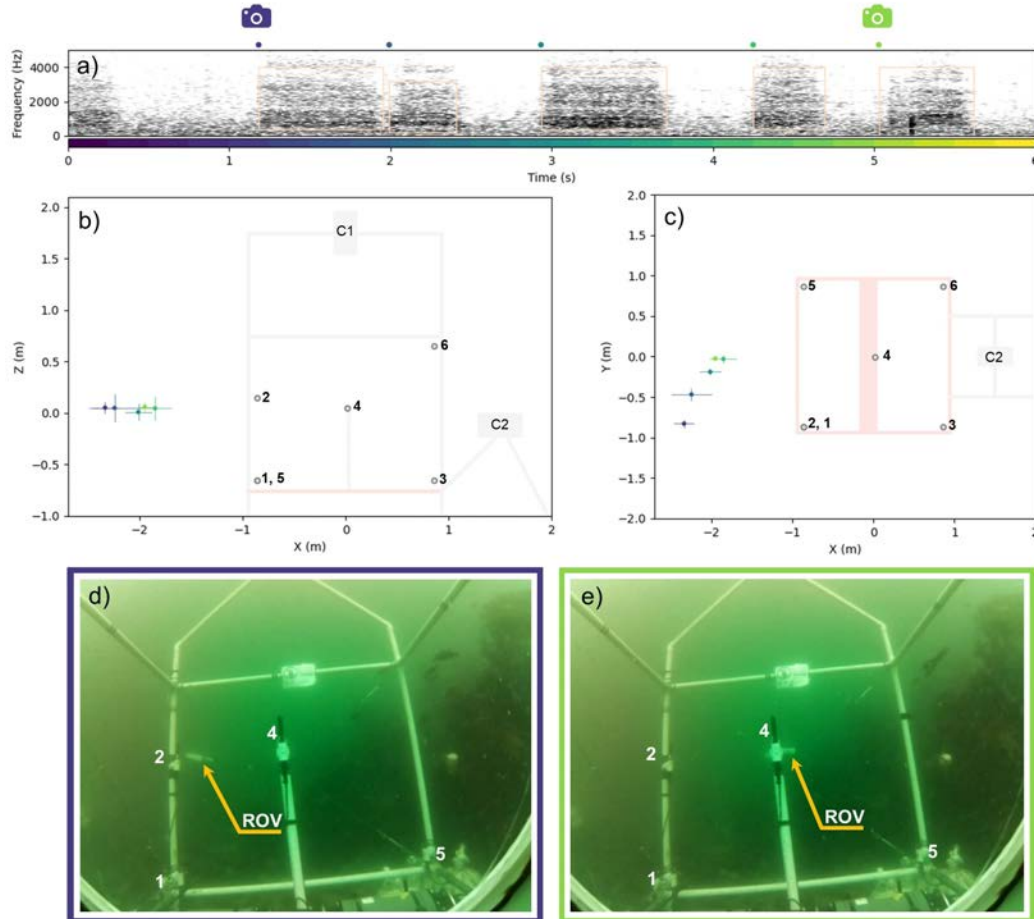


Figure 4.8: Acoustic localization of the underwater drone using the large array deployed at Ogden Point (17 Jun. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 4 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. Colored camera icons indicate the time of the camera frames shown in panels (d) and (e). (b) Side and (c) top view of the large array. Colored dots and lines represent the coordinates and uncertainty (standard deviation) of the acoustic localizations, respectively. (d) Image taken by video camera C2 at  $t = 1.2$  s, and (e)  $t = 5$  s, showing the underwater drone approaching the array. Numbers in panels (b), (c), (d), and (e) correspond to the hydrophone identification numbers.

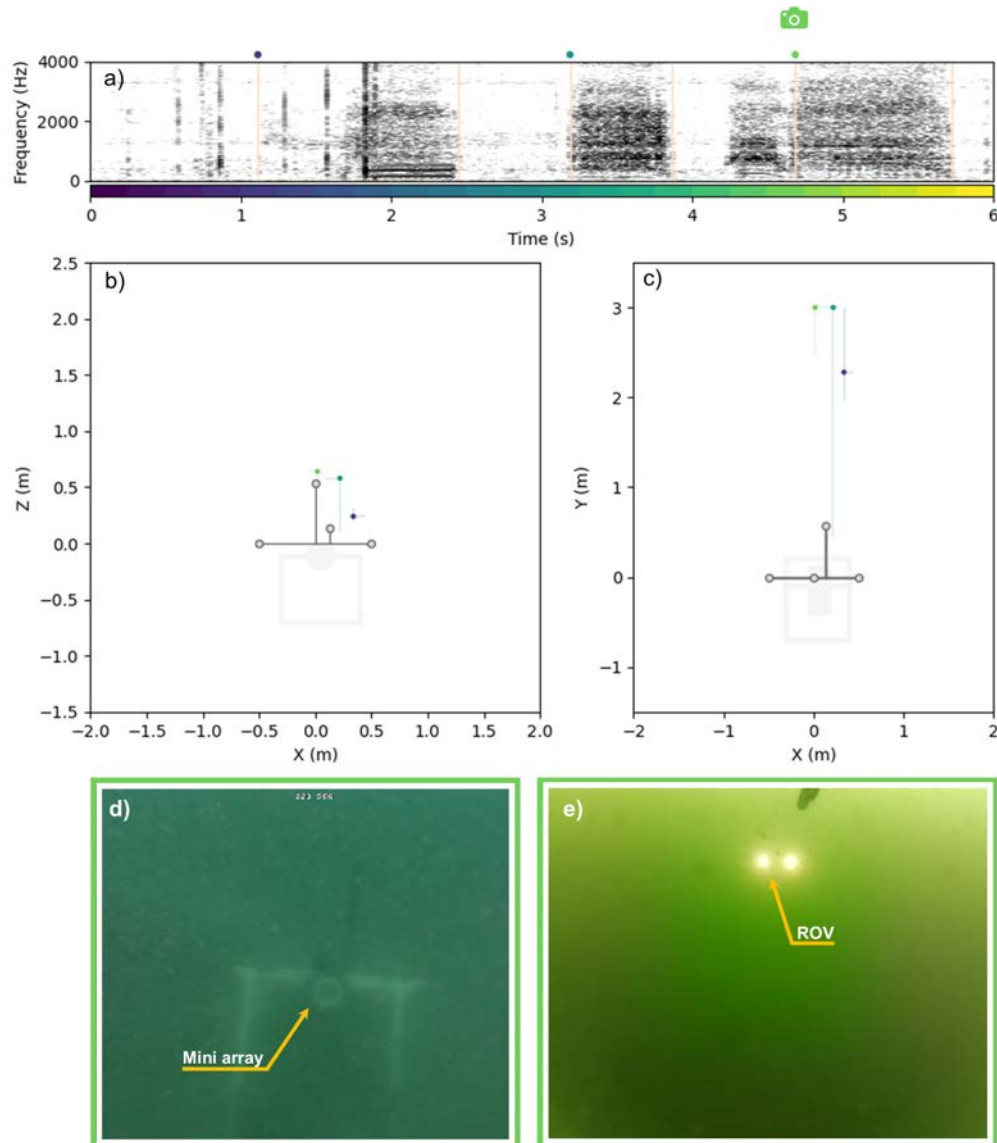


Figure 4.9: Acoustic localization of the underwater drone using the mini array deployed at Mill Bay (1 Aug. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the underwater drone sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. The green camera icon indicates the time of the camera frames showed in panels (d) and (e). (b) Rear and (c) top view of the mini array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. (d) Image taken by the underwater drone at  $t = 4.7$  s showing the mini array. (e) Image taken from the video camera of the mini array at  $t = 4.7$  s, showing the underwater drone in front of the array.

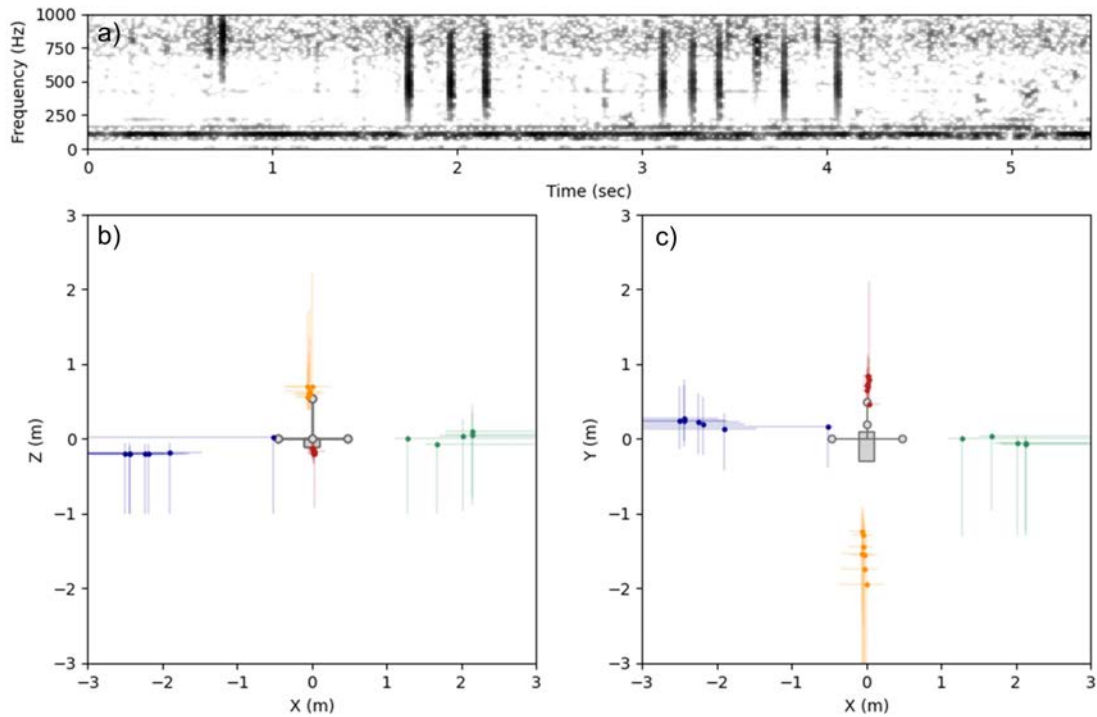


Figure 4.10: Localization of an acoustic projector using the mobile array deployed at Macaulay Point (10 Sep. 2020). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were emitted by the acoustic projector and automatically detected. (b) Rear and (c) top view of the mobile array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. Red, green, orange, and blue correspond to acoustic localizations when the acoustic projector was located at coordinates  $(0,1,0)$ ,  $(1,0,0)$ ,  $(0,-1,0)$ , and  $(-1,0,0)$ , respectively.

stopped at the center of the array below the PVC tubes holding hydrophone 4 (Figure 4.11e). The detected fish sounds were localized on the seafloor near the center of the array (i.e., below hydrophone 4), with a localization uncertainty less than 20 cm for all dimensions, and corresponded to the location of the lingcod. We conclude that fish sounds recorded were therefore emitted by the lingcod.

Figure 4.12 shows the acoustic localization of fish sounds over a 17-s period when a lingcod and three quillback rockfish (*Sebastes maliger*) are in the field of view of video camera C1. The sequence of actions for this recording was as follows. The lingcod, initially located on top of the acoustic recorder, started to move ( $t = 2$  s) and relocated itself on top of the battery pack ( $t = 10$  s). In response to the lingcod's movement, quillback rockfish #1 which was located near the center of the array, abruptly swam to the left ( $t = 1$  s), went outside the array frame and slowly swam towards hydrophone 5 near quillback rockfish #3 ( $t = 14$  s). Quillback rockfish #2 entered the top of the array ( $t = 1$  s) and steadily swam to the right towards video camera C2. The first sequence of fish sounds (purple dots in Figure 4.12) were localized at the location of quillback rockfish #1 as it swam away from the lingcod. The second sequence of fish sounds (green and yellow dots) were localized on the left side of the array where quillback rockfish #1 and #3 were located. Localization uncertainties were less than 20 cm inside the array and less than 40 cm outside the array, which leaves no ambiguity that the fish sounds were produced by quillback rockfish.

#### 4.4.2 Identification of fish sounds using the mini array

Figure 4.13 shows the acoustic localization of two fish grunts while a copper rockfish (*Sebastes caurinus*) was sitting on top of the mini array. While only the tail of the fish was visible in the video when the fish sounds were emitted (Figure 4.13e), the manual inspection of the video data several minutes prior to the sounds confirmed the fish as a copper rockfish (Figure 4.13d). The first fish grunt (at  $t = 0.5$  s) is localized at the top front of the camera. The second fish grunt (at  $t = 0.8$  s) is localized about 20 cm further to the right and higher than the first grunt which would place the source outside the field of view of the camera. For both localizations, the uncertainties in each dimension were less than 10 cm. From these localization results, it is unambiguous that the first fish grunt was emitted by the copper rockfish sitting on top of the array. The second grunt was likely emitted by another fish near

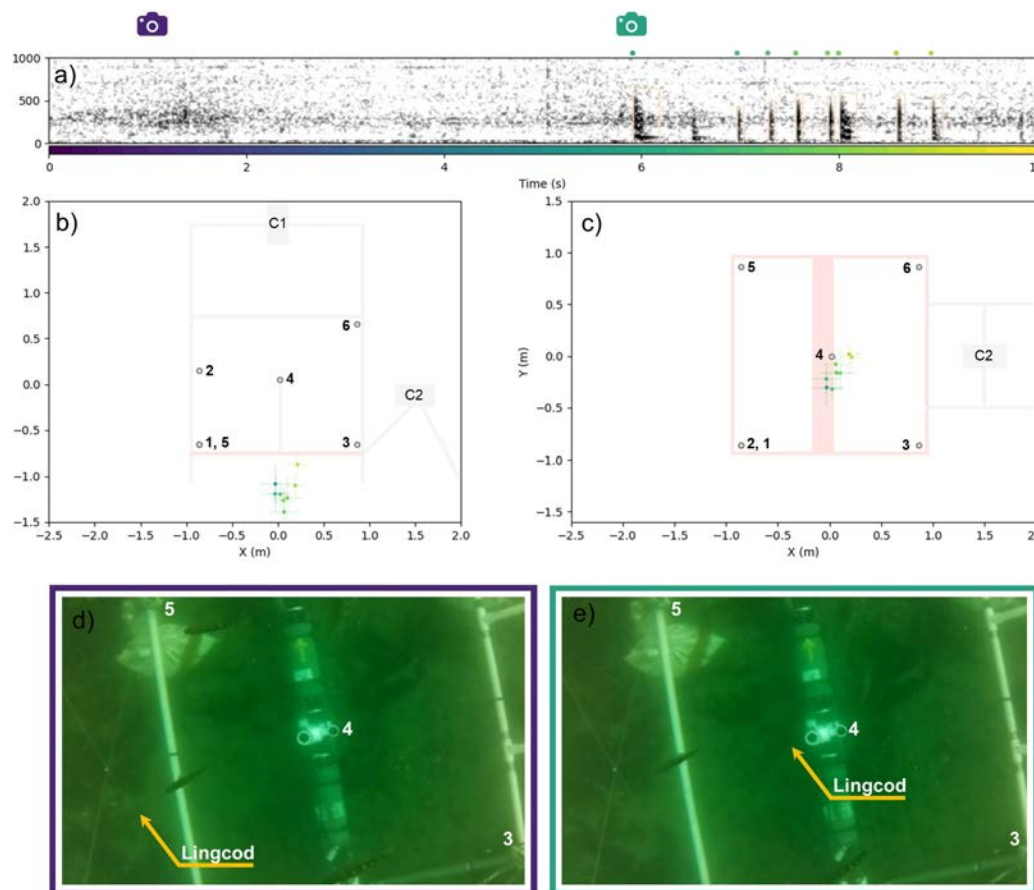


Figure 4.11: Identification of sounds from lingcod using the large array deployed at Ogden Point (17 Jun. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 4 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. Colored camera icons indicate the time of the camera frames shown in panels (d) and (e). (b) Side and (c) top view of the large array. Colored dots and lines represent the coordinates and uncertainty (standard deviation) of the acoustic localizations, respectively. (d) Image taken by video camera C1 at  $t = 0.5$  s, and (e)  $t = 6$  s, showing a lingcod entering the array from the left and stopping at the center of the array on the seafloor below hydrophone 4. Bold numbers in panels (b), (c), (d), and (e) correspond to the hydrophone identification numbers. Video available on the data repository [133].

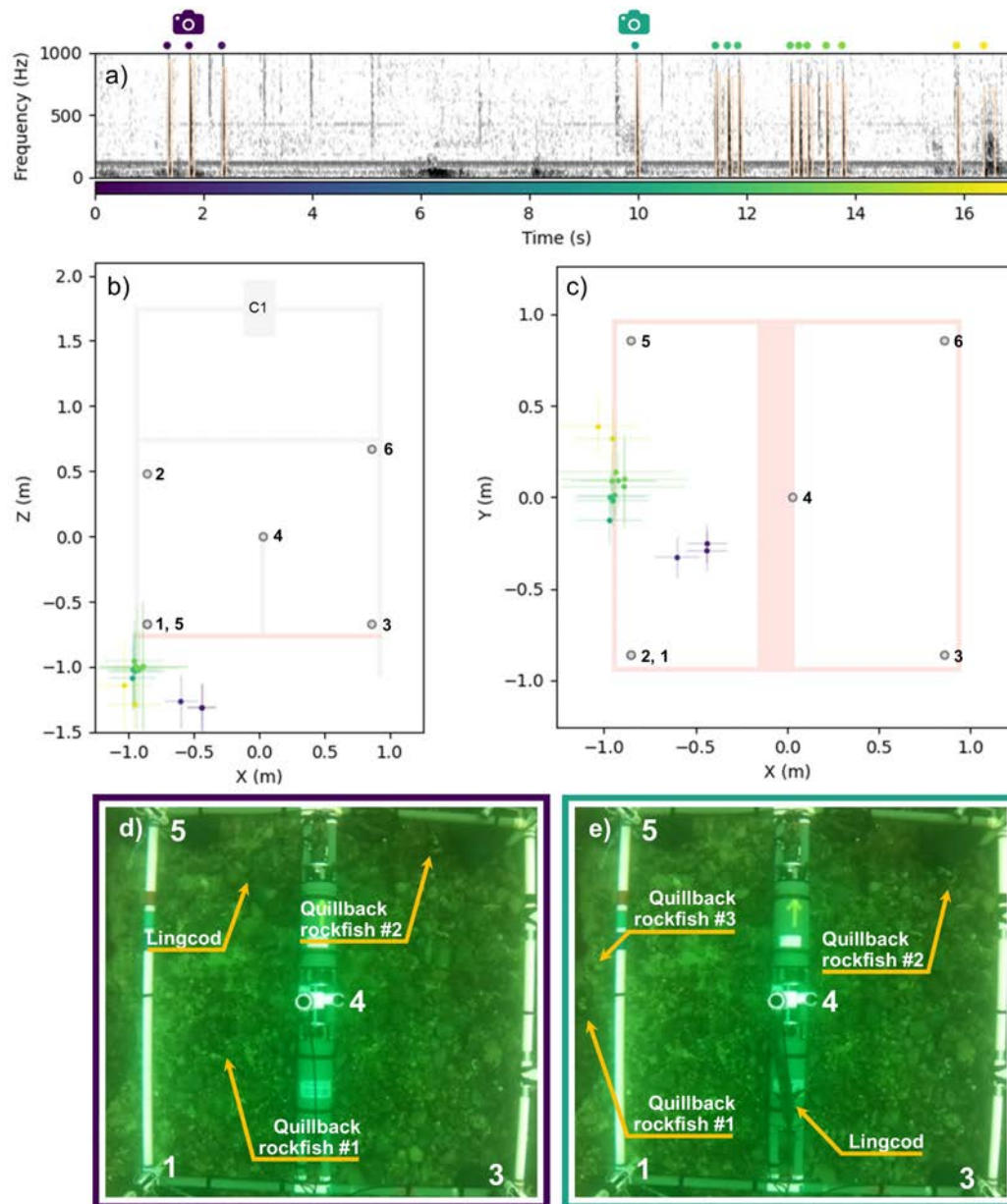


Figure 4.12: Identification of sounds from quillback rockfish using the large array deployed at Hornby Island (20 Sep. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 4 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. Colored camera icons indicate the time of the camera frames showed in panels (d) and (e). (b) Side and (c) top view of the large array. Colored dots and lines represent the coordinates and uncertainty (standard deviation) of the acoustic localizations, respectively. (d) Image taken by video camera C1 at  $t = 2$  s, and (e)  $t = 10$  s, showing a lingcod and three quillback rockfish near or inside the array. Bold numbers in panels (b), (c), (d), and (e) correspond to the hydrophone identification numbers. Video available on the data repository [133].

the array.

### 4.4.3 Identification of fish sounds using the mobile array

Figure 4.14 shows the acoustic localization of five impulsive fish sounds while two copper rockfish were located in front of the mobile array. All sounds were localized at the front right of the array, near the seafloor (Figure 4.14b,c). Localization uncertainties were less than 50 cm along the  $x$  axis, and 75 cm along the  $z$  axis. Despite the greater localization uncertainties in range (i.e.,  $> 1$  m along the  $y$  axis), the absence of other fish in the video within the boundaries of the localization uncertainties, confirms that the fish sounds in Figure 4.14a were produced by the copper rockfish in front of the mobile array. These impulsive sounds have longer duration than the copper rockfish grunts identified with the mini array (Figure 4.13) and seemed to be associated with an agonistic behavior.

Figure 4.15 shows the acoustic localization of six impulsive fish sounds while a copper rockfish and a blackeye goby (*Rhinogobiops nicholsii*) were located in front of the mobile array. All sounds were localized at the front of the array, near the seafloor, and had localization uncertainties less than 20 cm and 60 cm along the  $x$  and  $z$  axes, respectively, but up to 2 m along the  $y$  axis (Figure 4.14b,c). Given that the two fish are along the same bearing angle and that the localization uncertainties are relatively large in range, it is not possible to identify with certainty which fish produced the sounds.

Figure 4.16 shows the acoustic localization of fish sounds while a blackeye goby was in the front of the mobile array and was chasing away other fish intruders from its shelter under a rock. All fish sounds were localized to the rear left of the mobile array (Figure 4.14b,c). Localization uncertainties were very large in all dimensions (up to 3 m), but did not spatially overlap with the area covered visually by the video camera. Fish sounds recorded by the mobile array were therefore not emitted by the blackeye goby in the front of the array.

### 4.4.4 Description of identified fish sounds

Table 4.2 indicates the pulse frequency, pulse rate, duration, and source levels, of the lingcod, quillback rockfish, and copper rockfish sounds identified by the audio/video arrays. Source levels were only calculated for sounds localized by the large array

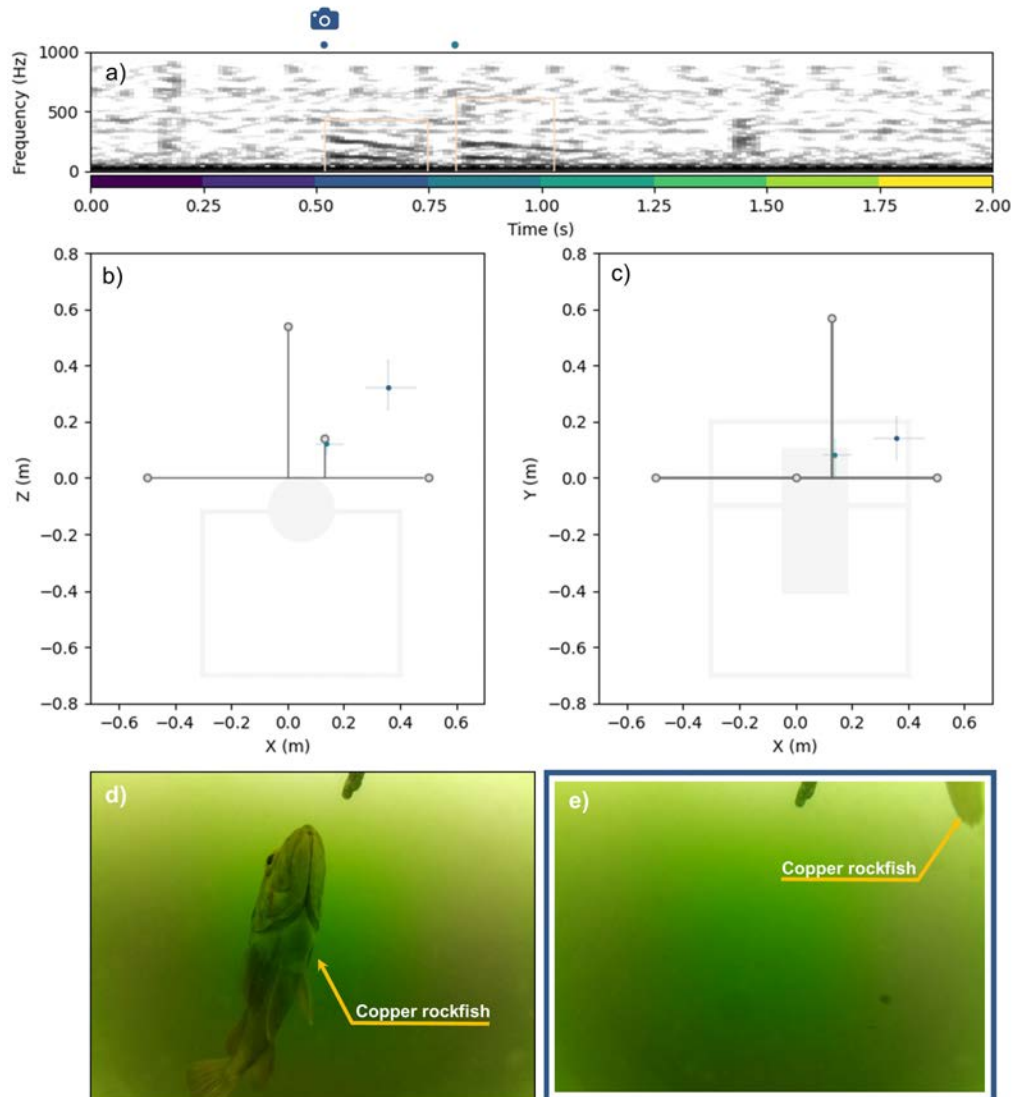


Figure 4.13: Identification of sounds from copper rockfish using the mini array deployed at Mill Bay (1 Aug. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. The blue camera icon indicates the time of the camera frame showed in panel (e). (b) Rear and (c) top view of the mini array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. (d) Image from the video camera, taken several minutes before the fish sounds were emitted, showing the copper rockfish before it sat on top of the mini array. (e) Image taken from the video camera of the mini array at  $t = 0.5$  s, showing the tail of the copper rockfish sitting on top of the mini array. Video available on the data repository [133].

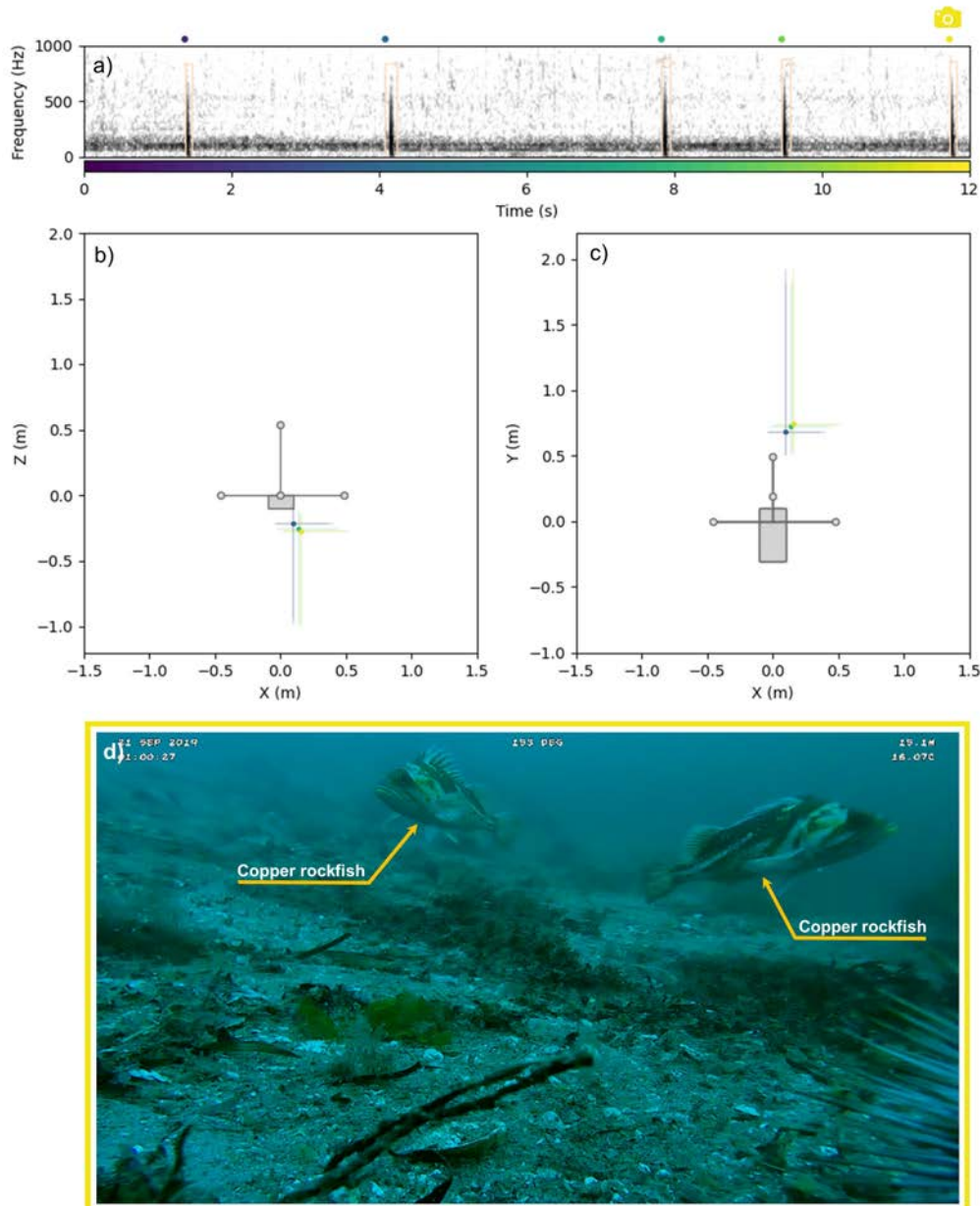


Figure 4.14: Identification of sounds from copper rockfish using the mobile array deployed at Hornby Island (21 Sep. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. The yellow camera icon indicates the time of the camera frame showed in panel (d). (b) Rear and (c) top view of the mobile array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. (d) Image from the underwater drone's video camera and taken at  $t = 11.9$  s, showing two copper rockfish at the front of the mobile array. Video available on the data repository [133].

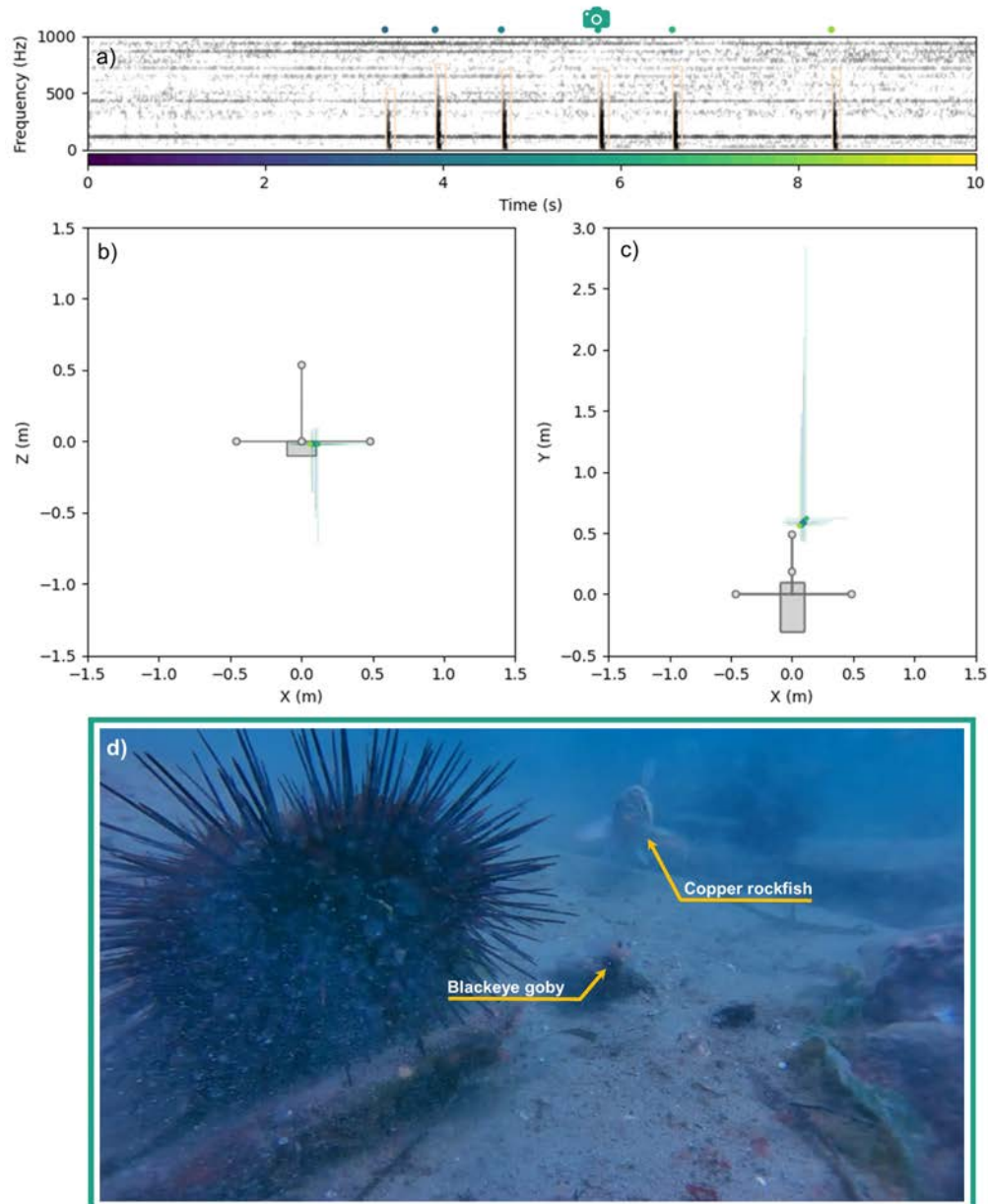


Figure 4.15: Localization of unknown fish sounds using the mobile array deployed at Hornby Island (18 Sep. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. The green camera icon indicates the time of the camera frame showed in panel (d). (b) Rear and (c) top view of the mobile array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. (d) Image from the underwater drone's video camera taken at  $t = 5.9$  s, showing a blackeye goby and a copper rockfish in front of the mobile array. Video available on the data repository [133].

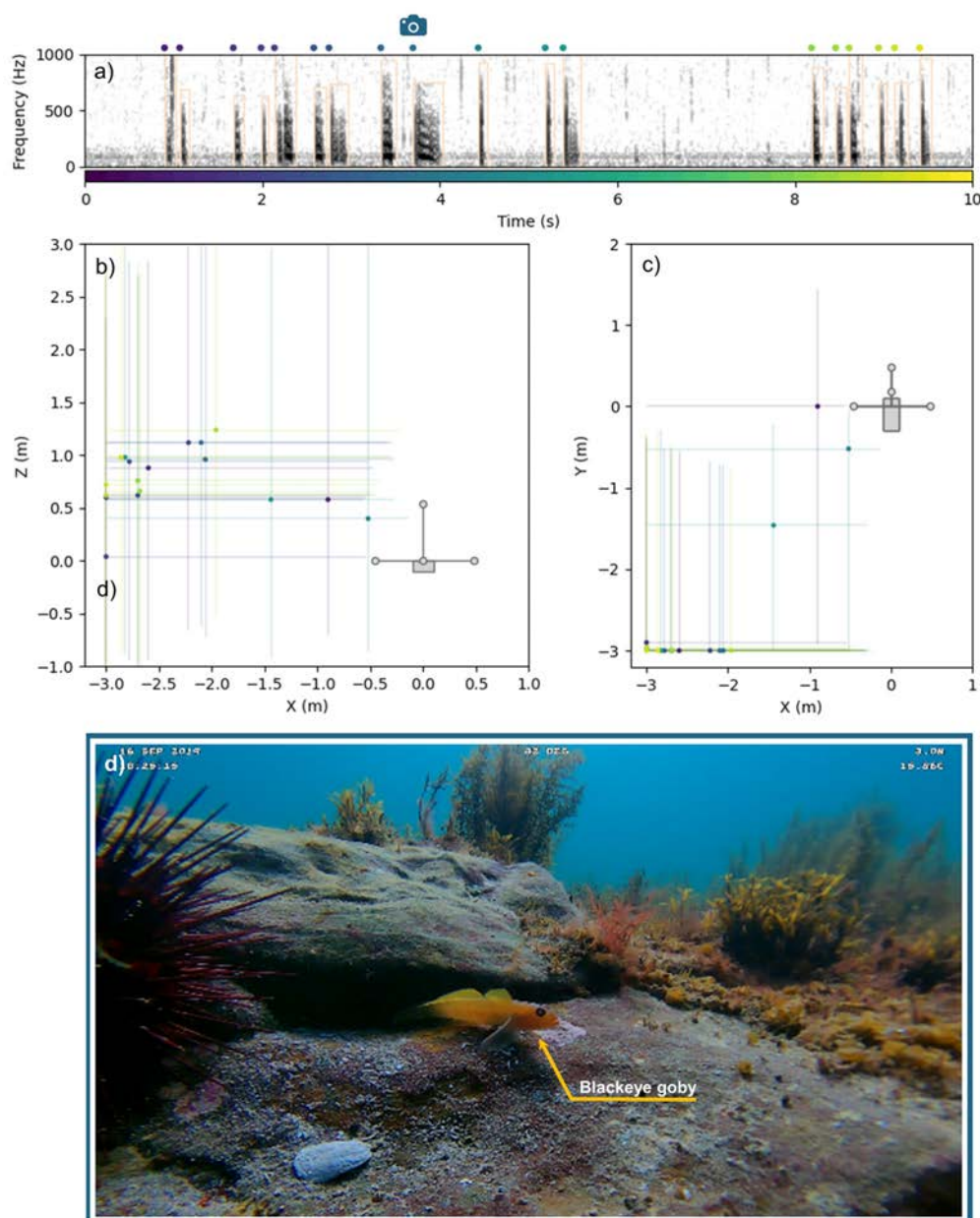


Figure 4.16: Localization of unknown fish sounds using the mobile array deployed at Hornby Island (16 Sep. 2019). (a) Spectrogram of the acoustic recording acquired by hydrophone 2 (frame: 0.0624 s, FFT: 0.0853 s, step size: 0.01 s, Hanning window). Beige boxes indicate the time and frequency limits of the fish sounds that were automatically detected. Dots at the top of the spectrogram indicate the colors associated to the start time of each detection (see color scale on the x-axis) and used for the localization. The turquoise camera icon indicates the time of the camera frame shown in (d). (b) Rear and (c) top view of the mobile array. Colored dots and lines represent the coordinates and uncertainty (99% highest-probability density credibility interval) of the acoustic localizations, respectively. (d) Image from the underwater drone's video camera taken at  $t = 3.8$  s, showing a blackeye goby in front of the mobile array, defending its territory. Video available on the data repository [133].

(using the hydrophone closest to the sound source). The localizations from other arrays were not precise enough to accurately estimate source level.

Table 4.2: Characteristics of the fish sounds identified. Duration, pulse frequency, pulse rate, and source level are reported by their mean  $\pm$  the standard deviation. Asterisks (\*) indicate measurements for which there were not enough samples of fish sounds ( $n$ ) to estimate the standard deviation.

Species	Sound type	Dur. (ms)	Pulse freq. (Hz)	Pulse rate (ms)	Source level (dB re 1 $\mu$ Pa)	$n$
Lingcod	Grunt	170*	$301 \pm 32$	$84.5 \pm 10.5$	115.4*	2
Lingcod	Pulse	$19 \pm 4$	$318 \pm 13$	N/A	$113.0 \pm 3.5$	6
Quillback rockfish	Pulse	$9 \pm 1$	$387 \pm 77$	N/A	$113.5 \pm 2.0$	13
Copper rockfish	Pulse	$23 \pm 2$	$163 \pm 2$	N/A	-	5

## 4.5 Discussion

Results show that all three audio/video arrays can successfully identify fish sounds in the wild. Field tests using a controlled sound source for each platform ground-truthed the accuracy of the localization results and confirmed that the instrumentation and analysis process are working correctly.

The large array provides the most accurate acoustic localizations and, with its two video cameras, has the largest field of view. Note however, that localization uncertainties are not represented similarly for all platforms. For the large array, uncertainties are represented using one standard deviation while they are represented by the 99% highest-probability density credibility interval for the mini and mobile arrays. Hydrophone placement for this array was optimized using simulated annealing to minimize localization uncertainties. This optimization resulted in a hydrophone geometry that was different than the one used by Mouy et al. [76] to localize fish sounds off Cape Cod. Using this hydrophone configuration increased by a factor of about seven the spatial localization capacity of the array used in [76] (for the same array volume) and allowed fish to be localized accurately (i.e., localization uncertainty  $< 50$  cm) at up to 3 m from the center of the array (Figure 4.5). The mini and mobile arrays have a much smaller footprints and most of the sound sources to localize

are outside the array. Consequently, small errors in the measurement of the time-difference of arrival lead to large errors in the localization results. Nevertheless, these two arrays are capable of determining the bearing and elevation of the sound source, which in many cases, is enough to confirm that the sounds recorded are emitted by the fish in front of the camera (e.g., Figure 4.14). In some circumstances, when several fish are located along the same bearing angle from the mini or mobile arrays, the large localization uncertainties in range cannot identify with certainty the fish producing the sound (e.g., Figure 4.15). This is typically not an issue if the fish are from the same species.

While attempting to identify fish sounds in the wild using a single hydrophone and a single camera is relatively inexpensive and logistically easy, our study shows the importance of having several hydrophones (or directional sensors) for performing acoustic localization. Inferring which individual fish produces the sounds, based only on visual observations from video footage, is prone to errors and can lead to assigning sounds to the wrong fish species. The case presented in Figure 4.8 is a good example of this, where four fish are interacting together and for which the identification of the individual fish emitting the sounds, only based on the video data, could be ambiguous. Based on behavior only (i.e., without the acoustic localization), the emitted sounds could have been either interpreted as a territorial display from the lingcod, or as a reaction/defense display from the quillback rockfish. Another example illustrating the usefulness of the acoustic localization is the recording with the blackeye goby in Figure 4.16. Given, the clear territorial display of the blackeye goby observed in the video, and the high signal-to-noise ratio of the fish sounds received by the hydrophones, it would have seemed relatively evident to assign the fish sounds to this individual. Performing the acoustic localization proved that this was not the case. It is therefore critical to perform acoustic localization when cataloging fish sounds in a natural setting.

In 2005, Rountree et al. [9] had already identified the need to combine passive acoustic localization and underwater video to identify fish sounds in the wild. Our work provides three different hardware and software solutions that attempt to fill this research gap and help make passive acoustics a viable technique to monitor fish. Each of the audio/video platforms that we developed have different constraints, strengths and weaknesses. Given their different sizes, all arrays cannot be used in every habitat. The large array requires a relatively flat bottom (at least a 4 m<sup>2</sup> surface) and a water depth of at least 6 m. The mini array can be deployed in more complex habitats with

rougher terrain and steeper slopes, and in shallower water (minimum of 3 m depth). The mobile array can cover most types of habitat, from rough to flat sea bottom, from very shallow (2 m) to deep (100 m) water depths, and can explore small crevasses where fish can hide in. It can, however, not be used in areas with strong currents due to reduced maneuverability. With proper ballast, the large and mini arrays are less affected by currents. From a logistics perspective, the large array is the most complex to deploy and recover. It requires at least two divers, two to three people at the surface and a medium-sized motor boat. The mini array can be deployed with two divers and one person at the surface. If deployed near shore, the mini array can be carried by divers and no boat is needed. If deployed farther out, it can be deployed with a smaller boat than for the large array. The mobile array is the easiest platform to deploy. It only requires a single person piloting the underwater drone. It can be deployed from shore or a dock, on a small boat, or from larger motor vessels. When deployed from larger vessels, noise contamination from the engine and propellers must to be taken into account, and all onboard machinery should be turned off when possible. Cost wise, the large array uses six hydrophones and high-quality multichannel acoustic recorder, which makes it the most expensive platform ( $\sim$  \$40,000 USD). The mini and mobile arrays are less costly ( $\sim$  \$8,000 and \$11,000 USD, respectively). In terms of the sampling, both the large and the mini arrays are static platforms that are deployed over several weeks at a time. This long-term duration allows non-intrusive observation and measurement of fish sounds related to a variety of behaviors. The short home-range of some fish species [134], and the static nature of the large and mini arrays, mean these platforms may only sample sounds from a small set of individuals. If this is an issue, carrying out short deployments at different locations may be preferable to performing a series of deployments at the same location. The mobile array can sample several individuals over a larger spatial area but can only record for a few hours. The mobile array is more intrusive than the two other arrays which tends to more often elicit aggressive behaviors. Consequently, the mobile array may sample a more restricted set of acoustic behaviors. As demonstrated in section 4.4, all arrays can successfully attribute sounds to individual fish and therefore measure the temporal and frequency characteristics of sound emitted by specific fish species. The large array provides the most accurate localization and is consequently the preferred platform for estimating source levels. Apart from infrequent cases, where the fish sits inside the array (e.g., Figure 4.13), the localization from the mini and mobile arrays is typically not accurate enough in range to allow accurate estimation of source levels. Figure

4.17 illustrates the constraints, strengths, and weaknesses of each audio/video array. When used in unison, these platforms can cover many different habitats, species, and logistical constraints.

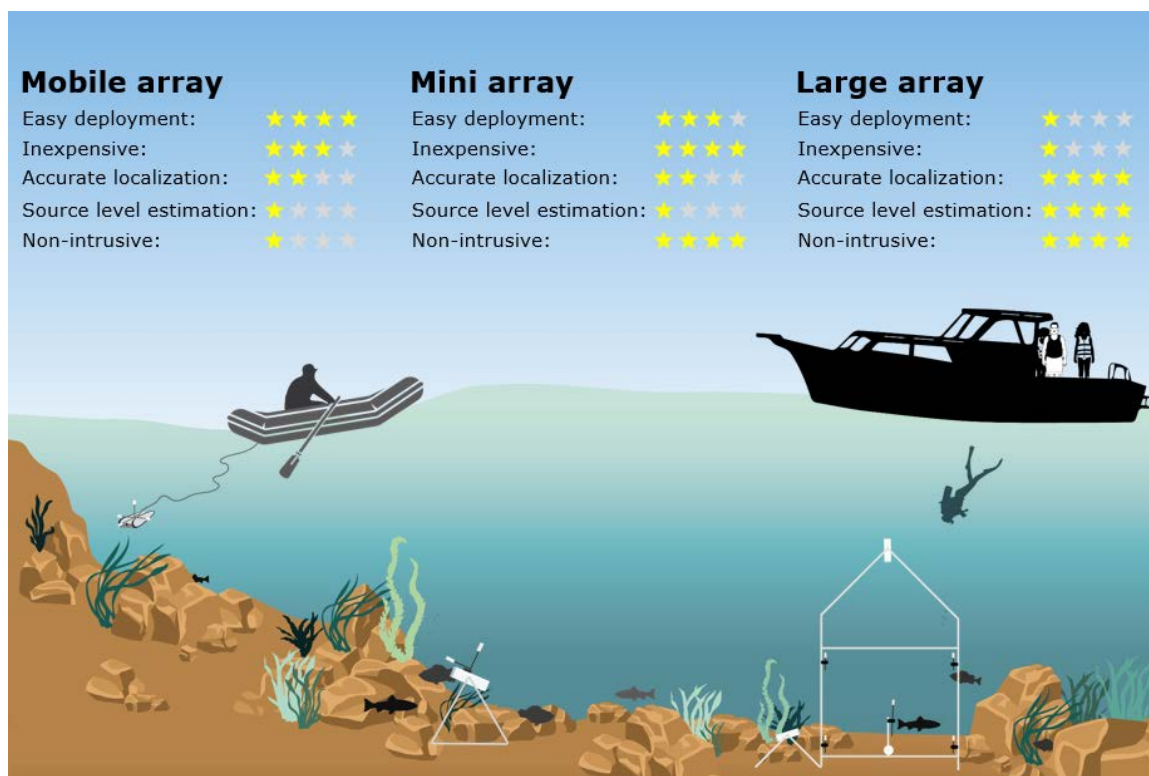


Figure 4.17: Illustration of the different constraints, strengths, and weaknesses of each audio/video array proposed in this study

All three audio/video arrays presented in this study can be further developed to broaden the variety of habitats they can be deployed in and to collect longer-term datasets. The deployment duration of the large and mini arrays was limited by the memory limitations of the video camera. Recent field tests using larger memory storage in the FishCam (i.e., 400 GB SD card) show that the autonomy of the array could be extended up to 19 days. For even longer-term observations, it is possible to integrate multichannel acoustic recorders and video cameras to existing cabled observatories where storage and power are not a limitation [135, 136]. Since the beginning of this study, production of the Trident underwater drone, which was used for the mobile array, was discontinued. A number of other capable low-cost remotely operated vehicles, such as the open source BlueROV2 (Blue Robotics), are available and could be used for the mobile array. While there is already considerable interest

in using passive acoustics for monitoring fish in coastal areas, there is also a growing interest in exploring sounds produced by fish in deeper habitats [36, 137]. Due to the low light conditions in the deep ocean, the design of our arrays would need to be modified to sample in such environments. External LED lights could be added to the FishCam on the array and could be controlled via its onboard single board computer [84]. Alternatively, low-light cameras could be used [138].

Lingcod have not previously been documented to produce sound. Kelp greenling (*Hexagrammos decagrammus*), which belong to the same family as lingcod (*Hexagrammidae*) and also do not have a swim bladder, have been reported to have muscles possibly responsible for sound production [139], but their sounds have not been recorded. A number of rockfish species have been reported to have sonic muscles [139] and some have been documented to produce sounds [26, 78, 140]. Sounds from quillback and copper rockfish have not been described in the peer-reviewed literature. However, a M.Sc. thesis from 2005 does provide some sound measurements from these two species in captivity [140]. The source levels we measured (i.e. 113 dB re 1  $\mu$ Pa) are consistent with the source levels measured for other species of rockfish (i.e., 103–113 dB re 1  $\mu$ Pa [78]). Note that the sound measurements presented in this study aim at illustrating the type of information that can be measured with the audio/video arrays. A comprehensive description of the variability of the sounds from each species based on the analysis of the entire dataset we collected will be the object of a future study.

The source levels and temporal and spectral measurements of fish sounds are key to monitor fish using passive acoustics. They are required to estimate how far fish sounds can be detected and to investigate if fish species can be discriminated acoustically. We show that such information can be reliably measured *in situ* in a variety of habitats. We trust the open-source design we propose will help researchers catalog and characterize fish sounds in other parts of the world.

## 4.6 Summary

Cataloging fish sounds in the wild is important for making passive acoustics a viable tool for monitoring fish. Compared to lab studies, identifying fish sounds in the field allows to record and characterize sounds from fish under a larger variety of behaviors. However, it requires specialized equipment that can acoustically localize and visually record fish in different habitats. In this study, we designed and tested three portable

audio/video platforms capable of identifying species-specific fish sounds in the wild: a large array, a mini array, and a mobile array. The large and mini arrays are static autonomous platforms that can be deployed on the seafloor and record audio and video for up to two weeks. They use multichannel acoustic recorders (AMARs and SoundTrap) and low-cost FishCam video recorders mounted on PVC frames. The mobile array also uses a multichannel acoustic recorder (SoundTrap), but mounted on an underwater drone with built-in video, which allows remote control and real-time positioning in response to observed fish presence. Fish sounds were localized in three-dimensions for all arrays and matched to the fish positions in the video data. The hydrophone configuration of the large array was defined using a simulated annealing optimization process which allowed this platform to have the most accurate acoustic localization (i.e., uncertainties  $< 50$  cm for fish located up to 3 m from the center of the array). The mini and mobile arrays were able to resolve well the bearing and elevation angles of the fish, but were less accurate at resolving the range. The large array is the most expensive and, with its larger footprint, is well adapted to identify fish sounds in relatively flat habitats. The mini array is the least expensive, easy to deploy, and well adapted to rough/uneven seafloor. The mobile array can only record for a few hours but is well suited for identifying sounds from fish between boulders and in crevasses.

We deployed these three platforms at four locations off the east coast of Vancouver Island, British Columbia, Canada, and collected a total of 1214 hours of audio/video data. From the analysis of a subset of these data, we identified, for the first time, sounds from quillback rockfish (*Sebastes maliger*), copper rockfish (*Sebastes caurinus*), and lingcod (*Ophiodon elongatus*). In addition to measuring temporal and spectral characteristics (i.e., pulse frequency, pulse repetition rate, and duration) of sounds for each species, we estimated mean source levels for lingcod and quillback rockfish sounds (115.4 and 113.5 dB re 1  $\mu$ Pa, respectively). We also show that identifying fish sounds in the wild without performing acoustic localization (i.e., with a single omnidirectional hydrophone and camera) could lead to assigning sounds to the wrong fish species.

## 4.7 Acknowledgments

Data collection in the field was made possible thanks to all the volunteers from the Juanes Lab at the University of Victoria, Dr. Heloise Frouin-Mouy (NOAA), Dr. Dana

Haggarty (DFO), Dr. Sarah Dudas (DFO), and Scott Trivers. We would like to thank James Pilkington (DFO) for providing the acoustic projector, and Marie Taureau for producing the illustration in Figure 4.17. Thanks to Philippe Frouin for helping with the design of the PVC frame for the large array. National Geographic and OpenROV provided the Trident underwater drone through the Science Exploration Education (S.E.E.) Initiative. All SCUBA divers involved in the deployment and recovery operations were scientific divers certified by the Canadian Association for Underwater Science (CAUS). This research is supported by the Natural Sciences and Engineering Research Council (NSERC) Canadian Healthy Oceans Network (CHONe) and its partners: DFO and INREST (representing the Port of Sept-Iles and City of Sept-Iles), JASCO Applied Sciences, and NSERC. X.M was also funded by an NSERC Postgraduate Scholarship and a Mitacs Accelerate Fellowship. Field expenses and equipment costs were funded by an NSERC Discovery grant, the Liber Ero Foundation, and CFI/BCKDF funding.

## Chapter 5

# Automatic detection and classification of fish sounds in British Columbia

For this chapter, I designed and implemented all the analysis and processing scripts of this study in Python, and created and documented the supporting open-source packages ecosound and FishSound Finder. Dr. Stephanie Archer made the majority of the manual annotations from the Northumberland Channel Rockfish Conservation Area, Emie Woodburn and Courtney Evans manually annotated fish sounds from Hornby Island and Mill Bay, and I annotated fish sounds from the Delta node. Dr. Dana Haggarty and Dr. Sarah Dudas collected the data from the Northumberland Channel Rockfish Conservation Area and I collected the data in the field at Mill bay and Hornby Island. I wrote the paper with editorial assistance from Dr. Francis Juanes and Dr. Stan Dosso.

### 5.1 Abstract

Many species of fish around the world have been reported to be soniferous. The types of sounds fish produce vary among species and regions but consist typically of low-frequency ( $< 1$  kHz) pulses and amplitude-modulated grunts. These sounds can potentially be used to monitor fish non-intrusively and could complement other more traditional monitoring techniques. However, the significant time required for human analysts to manually label fish sounds in acoustic recordings does not yet allow passive

acoustics to be used as a viable tool for monitoring fish. In this paper, we propose an approach to automatically detect fish sounds in acoustic recordings from British Columbia, Canada. First, acoustic transients are detected based on a measure of local variance on the spectrogram. Second, each detected transient is represented by a set of 45 features characterizing the sound distribution in time and frequency domain. Third, extracted features are presented to a binary classifier to label the sound as “fish” or “noise”. We tested 5 different classification algorithms (classification and regression trees, gradient-boosted decision trees, random forest, linear discriminant analysis, and logistic regression) and evaluated their performance on a dataset of more than 96,000 manually-annotated fish and noise sounds from 5 different locations off Vancouver Island and collected over all seasons of the year. The random forest classifier with 50 trees performed best with an  $F$  score of 0.84 (Precision = 0.82, Recall = 0.86). We show that the proposed approach can efficiently analyze long-term datasets and make passive acoustics viable for fish monitoring. We provide an open-source implementation of the fish detector along with detailed documentation.

## 5.2 Introduction

Over 800 species of fish worldwide are known to be soniferous [8, 9]. Fishes can produce sound incidentally while feeding or swimming (e.g., [12, 141]) or intentionally for communication purposes [13, 14]. For example, the temporal and spectral characteristics of fish sounds can convey information about male status and spawning readiness to females [15], or about male body condition [16]. It has been speculated that some species of fish may also emit sound to orient themselves in the environment (i.e., by echolocation [17]). As is the case for marine mammal vocalizations, fish sounds can typically be associated with a specific species and sometimes to specific behaviors [14, 18]. Several populations of the same species can have different acoustic dialects [19]. Consequently, it may be possible to use the characteristics of recorded fish sounds to identify which species of fish are present in an environment, to infer their behavior, and in some cases potentially identify and track a specific population [20]. Among the approximately 400 known marine fish species frequenting the waters off British Columbia, Canada, only 22 have been reported to be soniferous to date [10]. It is believed that many more species produce sounds, but their repertoires have not yet been identified. Several ongoing efforts aim to identify and characterize sounds from more fish species in British Columbia [25], but many fish sounds still remain unknown

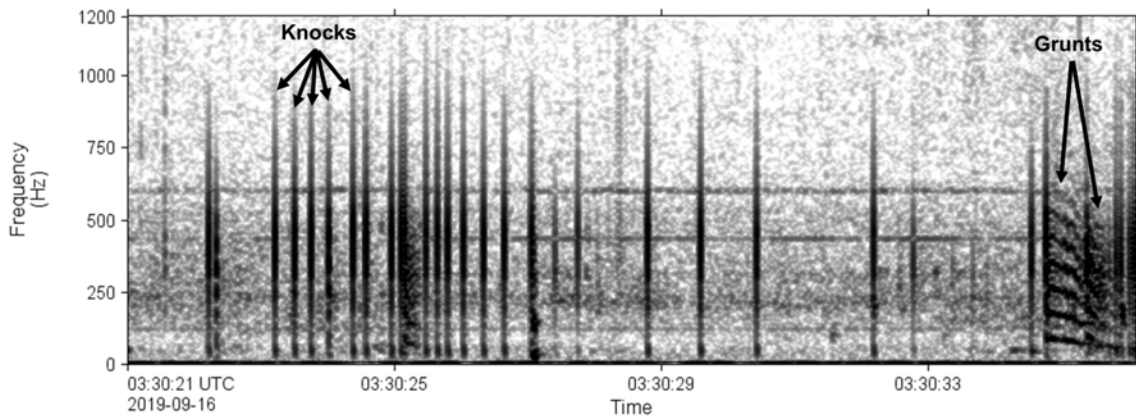


Figure 5.1: Spectrogram of unknown fish knock and grunt sounds recorded off Hornby Island, British Columbia (1.95 Hz frequency resolution, 0.128 s time window, 0.012 s time step, Hamming window). *Knocks* are the short pulses and *grunts* the longer sounds with an harmonic structure.

(e.g., Figure 5.1).

Passive acoustic monitoring (PAM) of fish cannot only provide presence/absence information, but in some cases it can also be used to estimate the relative abundance of fish in an environment. By performing a simultaneous trawl and passive acoustic survey, Gannon and Gannon [56] found that temporal and spatial trends in densities of juvenile Atlantic croaker (*Micropogonias undulatus*) in the Neuse River estuary in North Carolina could be identified by measuring characteristics of their sounds in acoustic recordings (i.e., peak frequency, received levels). Similarly, Rowell et al. [57] performed passive acoustic surveys along with diver-based underwater visual censuses at several fish spawning sites in Puerto Rico and demonstrated that passive acoustics could predict changes in red hind (*Epinephelus guttatus*) density and habitat use at a higher temporal resolution than previously possible with traditional methods. More recently, Rowell et al. [58] measured sound levels produced by spawning Gulf corvina (*Cynoscion othonopterus*) with simultaneous density measurements from active acoustic surveys in the Colorado River Delta, Mexico, and found that the recorded levels were linearly related to fish density during the peak spawning period. While passive acoustics shows great promise for monitoring fish populations, it is still largely limited by knowledge gaps about the vocal repertoire of many fish species.

The manual detection of fish sounds in passive acoustic recordings is typically performed aurally and by visually inspecting spectrograms. This is time-consuming and laborious task, with potential biases which depend on the experience and the

degree of fatigue of the operator. Therefore, developing efficient and robust automatic detection and classification for fish sounds in passive recordings can have great value. Detector performance depends on the complexity and diversity of the sounds being identified. It also depends on the acoustic properties of an environment, such as the characteristics of the background noise. Many methods have been developed to automatically detect and classify marine mammal sounds in acoustic recordings (e.g., [59–63]). However, much less work has been carried out on automatic detectors for fish sounds, and what has been done is restricted to a small number of fish species. Early studies used energy-based detection methods ([65–67]). In the last few years, more advanced techniques have been investigated. Ibrahim et al. [68], Malfante et al. [69], and Noda et al. [70] applied supervised classification techniques typically used in the field of automatic speech recognition to classify sounds from multiple fish taxa. Sattar et al. [72] used a robust principal component analysis along with a support vector machine classifier to recognize sounds from the plainfin midshipman (*Porichthys notatus*). Urazghildiiev and Van Parijs [73] developed a detector for Atlantic cod (*Gadus morhua*) grunts. Lin et al. [74, 75] investigated unsupervised techniques to help analyze large passive acoustic data sets containing unidentified periodic fish choruses. While results for these latest studies show real promise, the techniques developed have only been tested on small acoustic data sets and still need to be tested on larger and more diverse data to confirm their efficiency. Therefore, further developments of automatic fish sound detectors and classifiers are necessary to make PAM practically effective.

The objective of this study is to develop an automatic fish sound detector that can be used to analyse efficiently large passive acoustic datasets collected in British Columbia. Given the limited information about species-specific sounds, the detector developed is aimed at targeting all fish sounds, which include knocks and grunts below 1 kHz (Figure 5.1).

## 5.3 Methods

### 5.3.1 Data Set

A data set composed of 97,331 sound annotations was used to train and test the detection and classification algorithms. The data contain 30,340 fish sounds manually annotated and 66,991 non-fish sounds (also referred to as noise in this paper) that

Table 5.1: Fish and noise annotations used to develop the fish sound classifier.

<b>Location</b>	<b>Acoustic recorder</b>	<b>Deployment date</b>	<b>Fish annot.</b>	<b>Noise annot.</b>	<b>Total annot.</b>
Mill Bay	AMAR	Aug. 2019	3,699	24,330	28,029
Hornby Isl.	AMAR	Sept. 2019	18,195	105	18,300
Delta node	AMAR	Sept. 2014	831	0	831
NC-RCA in	SoundTrap	Oct. 2018	6,677	8,434	15,111
NC-RCA in	SoundTrap	Jan. 2019	0	8,036	8,036
NC-RCA in	SoundTrap	Apr. 2019	0	6,742	6,742
NC-RCA in	SoundTrap	Aug. 2019	0	2,353	2,353
NC-RCA out	SoundTrap	Oct. 2018	938	1,844	2,782
NC-RCA out	SoundTrap	Dec. 2018	0	5,047	5,047
NC-RCA out	SoundTrap	Apr. 2019	0	10,100	10,100
<b>Total:</b>			<b>30,340</b>	<b>66,991</b>	<b>97,331</b>

were semi-automatically selected (Table 5.1). The fish sound annotations were performed by five different analysts using the software Raven v1.6 (Cornell University, Ithaca, NY) and PAMlab v8.4.2 (JASCO Applied Sciences, Dartmouth, NS). The annotation process consisted of manually drawing time-frequency boxes around each fish sound identified on the spectrogram (Figure 5.2a). To capture a spread of fish sounds characteristics, noise conditions, and recording platforms, annotations were performed from recordings collected at five different locations off Vancouver Island, British Columbia (Mill Bay, Hornby Island, Ocean Network Canada’s Delta node in the Fraser River delta, and inside and outside the Northumberland Channel Rockfish Conservation Area - RCA), on a total of ten different deployments, and from three different recording devices (Table 5.1, Figure 5.3).

Noise annotations were performed semi-automatically. First, sections of audio recordings not containing any fish sounds were identified by an analyst. Then, the detector (Section 5.3.2) was run on the selected recordings to automatically define the time and frequency boundaries of all acoustic transients (Figure 5.2b). Recordings used to create this noise data sets were chosen so it would include a large variety of sounds such as noise from vessels, moorings, surface waves, and invertebrates (Table 5.1).

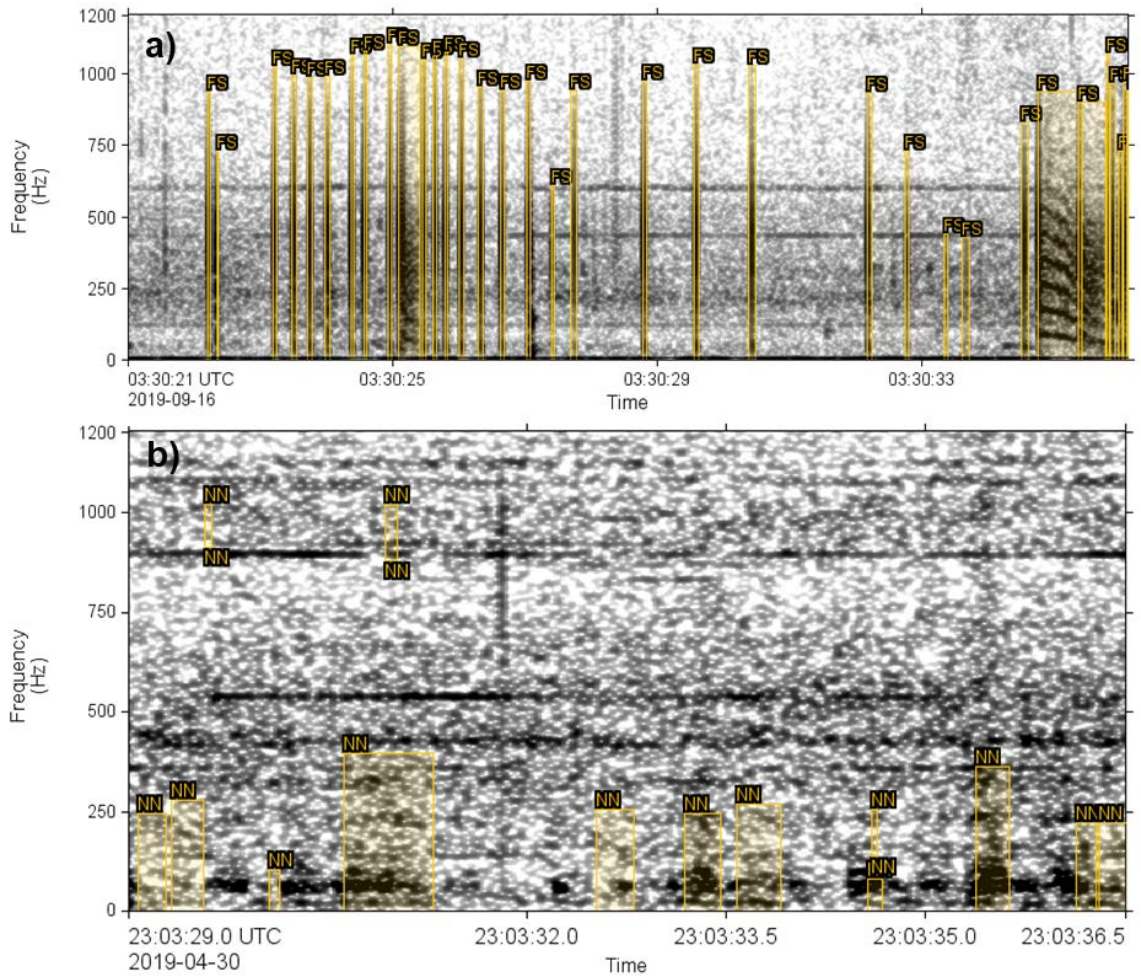


Figure 5.2: Example of fish (a) and (b) noise sounds manually annotated with PAM-lab. The yellow boxes indicate the time and frequency boundaries of the sound defined by the analyst (a) or the detector (b) on the spectrogram (1.95 Hz frequency resolution, 0.128 s time window, 0.012 s time step, Hamming window). “FS” and “NN” indicate annotated fish and noise sounds, respectively.

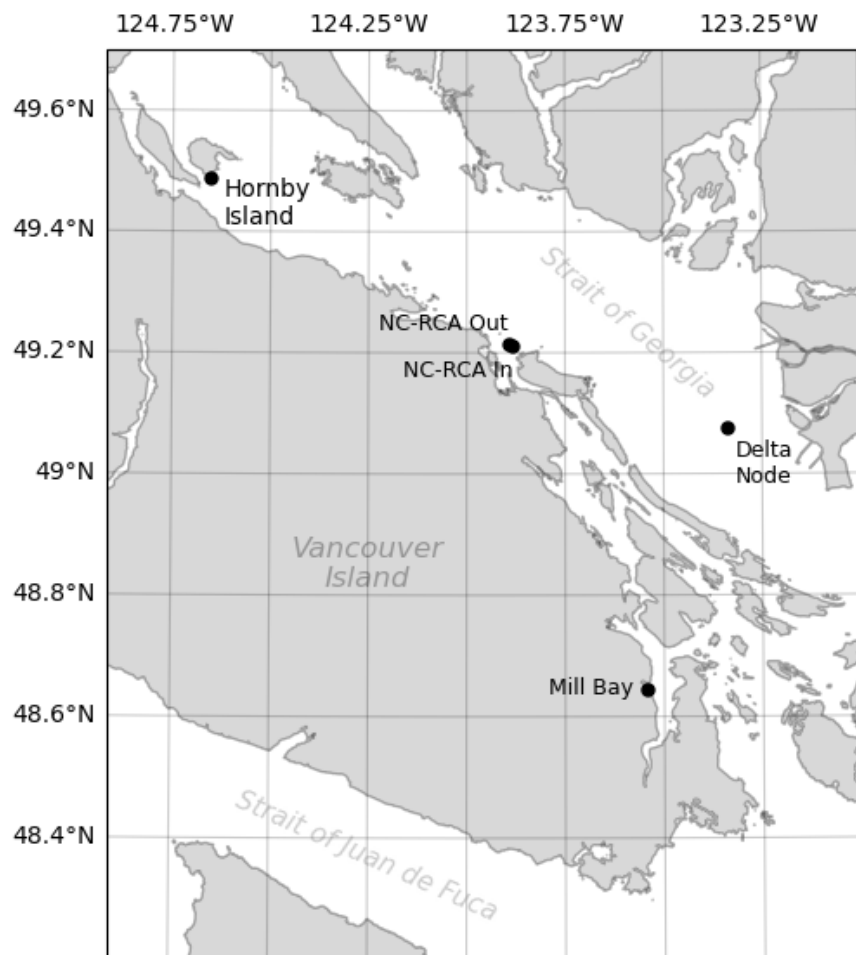


Figure 5.3: Map of the sampling locations. Black dots indicate the location of the acoustic recorders that were used to create the manually annotated fish and noise sound data sets. NC-RCA In and NC-RCA Out indicate recorders deployed inside and outside the Northumberland Channel Rockfish Conservation Area, respectively.

### 5.3.2 Detection

Detection was performed by first calculating and denoising the spectrogram and then by segmenting the denoised spectrogram to identify acoustic transients. Figure 5.4 shows the outcome of each processing step.

#### Spectrogram Calculation and Denoising

The spectrograms were calculated using 0.0625 s long frames with time steps of 0.01 s and 0.0853 s long FFTs. This resolution was selected as it could represent well the different types of fish sounds (i.e., grunts and knocks). Given that all fish sounds of interest in this study have frequencies below 1 kHz, the spectrogram was truncated to only keep frequencies from 0 to 1 kHz. Magnitude values were squared to obtain energy and expressed in decibels. To improve the signal-to-noise ratio of fish sounds and attenuate tonal sounds from vessels, the spectrograms were equalized using a median filter, calculated with a sliding window, for each row (frequency) of the spectrogram. The equalized spectrogram,  $\hat{S}[t, f]$ , at each time bin,  $t$ , and frequency bin,  $f$ , was calculated as:

$$\hat{S}[t, f] = S[t, f] - S_{med}[t, f], \quad (5.1)$$

where  $S[t, f]$  is the original spectrogram and  $S_{med}[t, f]$  is the median spectrogram calculated as:

$$S_{med}[t, f] = \text{median}(S[t-k, f], S[t-k+1, f], \dots, S[t, f], \dots, S[t+k-1, f], S[t+k, f]), \quad (5.2)$$

where the median is calculated on a window centered on the  $t^{\text{th}}$  sample and has a duration of  $2k+1$  bins. Figure 5.4a shows the equalized spectrogram. Here, we choose a median window equivalent to a 3 s duration ( $k = 150$ ), which removes constant tonal components from vessels without removing the longer grunting sounds from fish.

#### Segmentation

Once the spectrogram was calculated and equalized, it is segmented by calculating the local energy variance on a two-dimensional (2D) kernel of size  $\Delta T \times \Delta F$ . The resulting matrix  $S_{var}$  (Figure 5.4b) is defined as

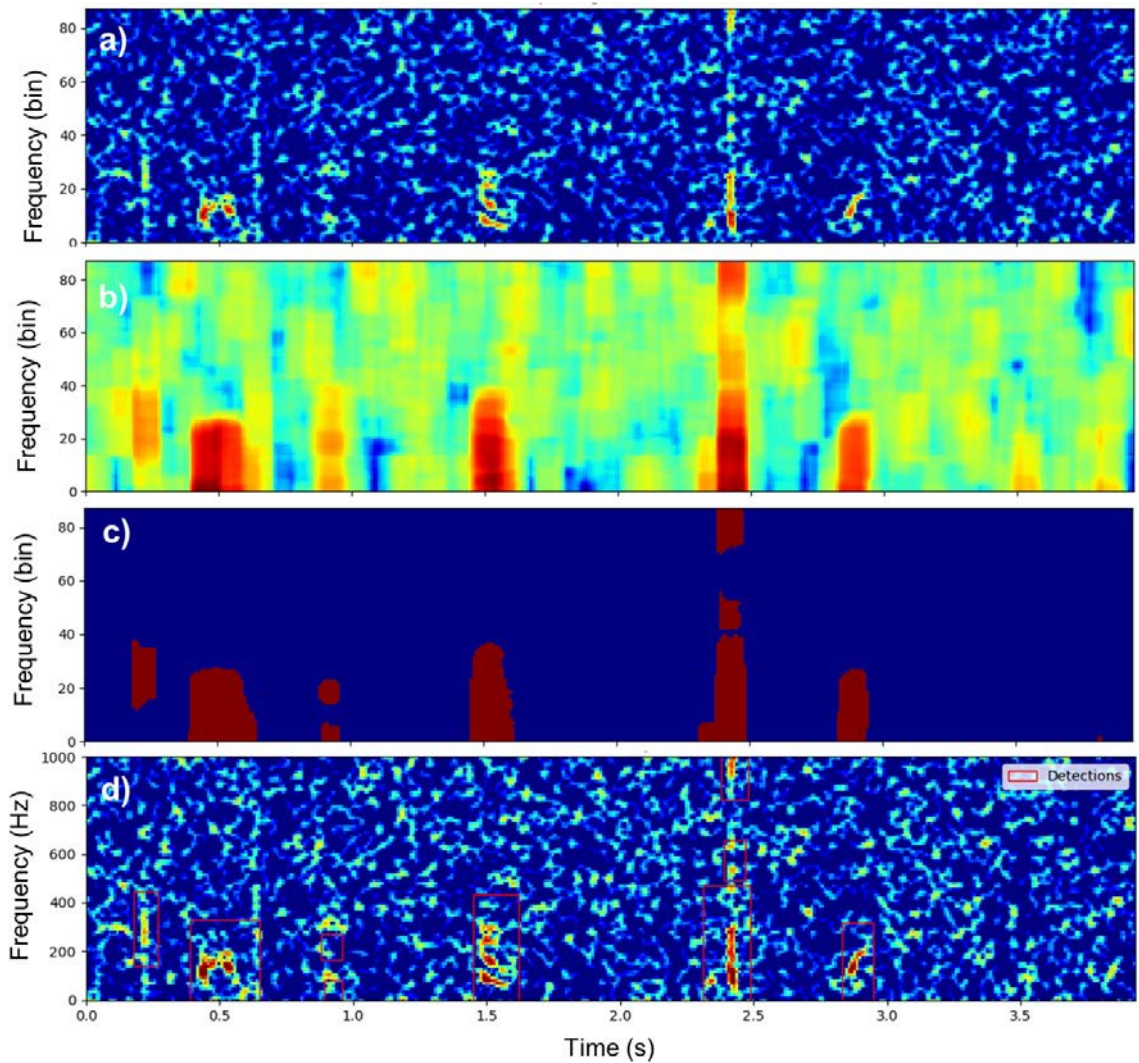


Figure 5.4: Illustration of the detection process on a recording containing three fish sounds. a) Equalized spectrogram  $\hat{S}[t, f]$ , b) local variance matrix  $S_{var}[t, f]$ , c) binarized spectrogram, d) result of the detection process. Red boxes indicate the time and frequency boundaries of each detected event. Fish sounds are at  $t = 0.2$  s,  $t = 1.5$  s, and  $t = 2.8$  s.

$$S_{var}[t, f] = \frac{1}{(\Delta T \Delta F) - 1} \sum_{i=t-\frac{\Delta T}{2}}^{t+\frac{\Delta T}{2}} \sum_{j=f-\frac{\Delta F}{2}}^{f+\frac{\Delta F}{2}} |\hat{S}[i, j] - \mu|^2, \quad (5.3)$$

where  $\mu$  is the mean over the 2D kernel:

$$\mu = \frac{1}{(\Delta T \Delta F)} \sum_{i=t-\frac{\Delta T}{2}}^{t+\frac{\Delta T}{2}} \sum_{j=f-\frac{\Delta F}{2}}^{f+\frac{\Delta F}{2}} \hat{S}[i, j]. \quad (5.4)$$

In this study, the number of time and frequency bins of the kernel are chosen to be equivalent to 0.1 s and 300 Hz, respectively. Bins of the spectrogram with a local variance less than 10 were set to zero and all the other bins were set to one (Figure 5.4c). Bounding boxes of contiguous bins in the binarized spectrogram are then defined using the outer border following algorithms described in [117]. These bounding boxes define acoustic events of interest (red rectangles in Figure 5.4d) and will be used in the next steps to determine whether they are fish sounds or not. To speed up the classification process, all detected acoustic events shorter than 50 ms or with a bandwidth smaller than 40 Hz were discarded. Figure 5.4 illustrates the detection process on an acoustic recording containing three fish sounds.

### 5.3.3 Feature extraction

Each detection was represented by 45 features calculated from the (equalized) spectrogram, the spectral envelope, and the temporal envelope of the detected events (Figure 5.5, Table 3). The spectral envelope is the sum of the spectrogram energy values for each frequency (Figure 5.5b). The temporal envelope is the sum of the spectrogram energy values for each time step (Figure 5.5c). The spectral and temporal envelopes were normalized to 1 and interpolated to have a resolution of 0.1 Hz and 1 ms, respectively (red dots in Figure 5.5b,c). Spectrogram features were extracted based on a time-frequency box that contained 95% of the energy of the initial detection (white box in Figure 5.5a). Table 5.2 describes all features calculated to represent the detections. Features were normalized before being used for classification, so all have a mean of 0 and a variance of 1. These features were selected as they showed to successfully represent animal sounds in a number of studies (e.g., [61, 142, 143]).

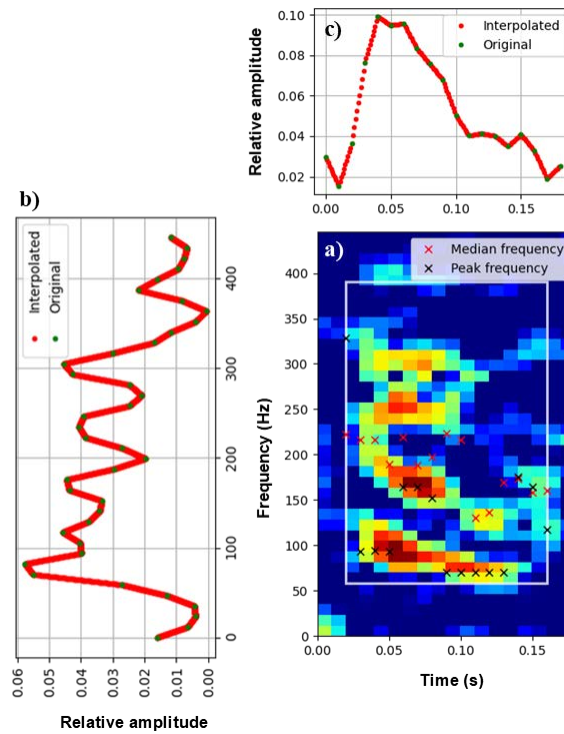


Figure 5.5: Extraction of features. a) Spectrogram of a fish detection. Red and black crosses denote the median and peak frequency of each time slice of the spectrogram, respectively. The white box indicates the 95% energy area over which the spectrogram features were calculated. b) Spectral envelope of the detection. c) Temporal envelope of the detection.

Table 5.2: Description of the features calculated for each detection.

#	Feature	Units	Description	Calculated from
F1	Peak frequency	Hz	Frequency of highest amplitude peak	Spectral envelope
F2	Frequency bandwidth	Hz	Maximum frequency – Minimum frequency	Spectral envelope
F3	Frequency bandwidth 90%	Hz	F8 – F5	Spectral envelope
F4	Frequency – percentile 5	Hz	Frequency at which cumulative energy reaches 5% of total energy	Spectral envelope
F5	Frequency – percentile 25	Hz	Frequency at which cumulative energy reaches 25% of total energy	Spectral envelope
F6	Frequency – percentile 50	Hz	Frequency at which cumulative energy reaches 50% of total energy	Spectral envelope
F7	Frequency – percentile 75	Hz	Frequency at which cumulative energy reaches 75% of total energy	Spectral envelope
F8	Frequency – percentile 95	Hz	Frequency at which cumulative energy reaches 95% of total energy	Spectral envelope
F9	Frequency bandwidth 50%	Hz	F7 – F5	Spectral envelope
F10	Spectral asymmetry	None	$(F5+F7-2F6)/(F5+F7)$ [144]	Spectral envelope
F11	Spectral concentration	Hz	Difference of maximum and minimum frequencies in cumulative sum of ranked amplitude values [144]	Spectral envelope
F12	Frequency-standard deviation	Hz	Standard deviation of spectral envelope (about the mean)	Spectral envelope
F13	Frequency-kurtosis	None	Kurtosis of spectral envelope	Spectral envelope
F14	Frequency-skewness	None	Skewness of spectral envelope	Spectral envelope
F15	Spectral entropy	bits	Shannon entropy of the spectral envelope [145]	Spectral envelope
F16	Spectral flatness	None	Tends to 1 for noisy signal and to 0 for pure tone signal [146]	Spectral envelope
F17	Spectral roughness	None	Total curvature of the spectral envelope [147]	Spectral envelope
F18	Centroid frequency	Hz	Frequency of center of mass in spectral envelope	Spectral envelope
F19	Overall frequency peak	Hz	Frequency of maximum amplitude value in spectrogram	Spectrogram
F20	Median frequency mean	Hz	Mean of median frequencies calculated for each time slice of spectrogram	Spectrogram
F21	Median frequency-standard deviation	Hz	Standard deviation of median frequencies calculated for each time slice of spectrogram	Spectrogram
F22	Spectral entropy – mean	bit	Mean of Shannon entropy calculated for each time slice of spectrogram	Spectrogram
F23	Spectral entropy – standard deviation	bit	Standard deviation of Shannon entropy calculated for each time slice of spectrogram	Spectrogram
F24	Mean frequency shift	Hz	Mean of differences between median frequencies of consecutive spectrogram time slices	Spectrogram
F25	Fraction of upsweep frequency	%	Percent of time median frequency increases from one spectrogram time slice to the next [144]	Spectrogram
F26	Signal-to-noise ratio	dB	Calculated from ratio of maximum and 25th percentile energy values in spectrogram [144]	Spectrogram
F27	Time of energy peak	s	Time of highest amplitude peak	Temporal envelope
F28	Relative time of energy peak	%	Ratio of F27 and F29	Temporal envelope

Table 5.2 (continued): Description of the features calculated for each detection

#	Feature	Unit	Description	Calculated from
F29	Duration	s	Length of temporal envelope	Temporal envelope
F30	Time-percentile 5	s	Time at which cumulative energy reaches 5% of total energy	Temporal envelope
F31	Time-percentile 25	s	Time at which cumulative energy reaches 25% of total energy	Temporal envelope
F32	Time-percentile 50	s	Time at which cumulative energy reaches 50% of total energy	Temporal envelope
F33	Time-percentile 75	s	Time at which cumulative energy reaches 75% of total energy	Temporal envelope
F34	Time-percentile 95	s	Time at cumulative energy reaches 95% of total energy	Temporal envelope
F35	Duration 50%	s	F33 – F31	Temporal envelope
F36	Duration 90%	s	F34 – F30	Temporal envelope
F37	Temporal asymmetry	None	$(F31+F33-2F32)/(F31+F33)$ [144]	Temporal envelope
F38	Temporal concentration	s	Difference of maximum and minimum times in cumulative sum of ranked amplitude values [144]	Temporal envelope
F39	Time – standard deviation	s	Standard deviation of temporal envelop.	Temporal envelope
F40	Time-kurtosis	None	Kurtosis of temporal envelope	Temporal envelope
F41	Time-skewness	None	Skewness of temporal envelope	Temporal envelope
F42	Temporal entropy	Bits	Shannon entropy of a temporal envelope [145]	Temporal envelope
F43	Temporal flatness	None	Flatness of temporal envelope. Tends towards 1 for noisy signal and towards 0 for pure tone signal [146]	Temporal envelope
F44	Temporal roughness	None	Roughness of temporal envelope [147]	Temporal envelope
F45	Temporal centroid	s	Time of center of mass in temporal envelope	Temporal envelope

### 5.3.4 Classification

Features described in Section 5.3.3 were used to classify the detected events as either “fish” or “noise”. Six different types of supervised classification algorithms were investigated: Classification and regression tree, gradient boosted decision trees, random forest, linear discriminant analysis, logistic regression, and a dummy classifier used as a baseline.

#### Classification and Regression Tree

A classification and regression tree (CART) is a supervised algorithm that can be used for performing regression or classification tasks [148, 149]. In this study, we used CART for classification. The principle of this algorithm is to predict the class of an unknown sound by learning simple decision rules inferred from the data features. During the training phase, the algorithm splits the training data (root) iteratively

into subgroups (nodes) based on one of the features. The choice of the rule and feature at each split is based on a measure of entropy and is performed such that the purity of the resulting nodes is maximized (i.e., maximizing the number of data samples of the same class in each final node - also called leaf). The splits can be performed until all leaves are pure (i.e., only containing data samples from the same class), or until some conditions are met (e.g., until a minimum number of samples is in a leaf). Once the set of rules are defined in the training phase, they can be used for classifying an unknown data sample. The sample is run through the sets of conditions of the tree and the label of the leaf the unknown sample ends up in, defines its class. A probability of confidence is associated to the predicted class and is defined as the fraction of samples of the same class in the leaf of the unknown sample. For this study, splits of the CART were performed using the Gini impurity, and nodes were expanded until all leaves contained a minimum of two data samples.

### **Gradient Boosted Decision Trees**

Gradient boosted decision is a classification technique based on the concept of an ensemble. It performs classification not using a single decision tree (e.g., CART), but an ensemble(collection) of decision trees [150]. It starts by fitting an initial model to the data. Then a second model is fit on a modified version of the original data set that focuses on accurately predicting the cases where the first model performed poorly. The combination of these two models is expected to be better than either model alone. Then, this “boosting” process is repeated many times. Each successive model attempts to correct for the shortcomings of the combined boosted ensemble of all previous models. For this study, we used the XGBoost implementation of gradient boosted decision trees with a maximum tree depth of 6 and 100 trees [151].

### **Random Forest**

Random forest (RF) is also a classification technique based on the concept of an ensemble. A random forest is a collection of decision trees [152], where each tree is grown independently using binary partitioning of the data based on the value of one feature at each split (or node). When features measured from a sample or, in our case, a sound, are run through the random forest, each tree in the forest produces a classification and the sound is classified as the class that the greatest number of trees vote for. Randomness is injected into the tree-growing process in two ways: 1) each

tree in the forest is grown using a random subsample of the data in the training data set and 2) the decision of which feature to use as a splitter at each node is based on a random subsample of all features [152]. Each tree is grown to its maximal size. Using an ensemble of trees with splitting features chosen from a subset of features at each node means that all important features will eventually be used in the model. In contrast, a single decision tree is limited to a subset of features (unless the number of features is small or the tree is large) and can be unstable (small changes in the data set can result in large changes in the model; [153]). The ensemble decision approach has been shown to result in lower error rates than can be achieved using single decision trees (e.g., [154]). In this study, we tested random forest models with 5 (RF5), 10 (RF10), 30 (RF30), and 50 (RF50) trees. For all these test models, the random subset of features used for each splits was set to 6.

### **Linear Discriminant Analysis**

A linear discriminant analysis (LDA) classifier consists of defining a linear decision boundary generated by fitting class conditional densities to the data and using Bayes' rule (Section 2.6.2 in [155]). It assumes that features for each class have a normal distribution and all have the same variance. In this study, we fitted the model to the data using singular value decomposition. This method is attractive given that it returns a closed-form solution that is straightforward to compute and has no hyper-parameters to tune. The LDA algorithm is well known and serves as a reference for the other more advanced algorithms used in this work (i.e., gradient boosted trees, and random forest).

### **Logistic Regression**

Logistic regression (LR) is a linear model for binary classification. It is similar to linear regression (which is not used for classification), but instead of fitting a line to the data, fits a sigmoid (also called logistic) function that allows the best separation of two classes [155]. In this study, the logistic function parameters were estimated using the LBFGS algorithm using an L2 norm penalty [156]. As for the LDA, LR is a well-known algorithm for binary classification (which is our case here: fish versus noise) and serves as a reference for the other more advanced algorithms.

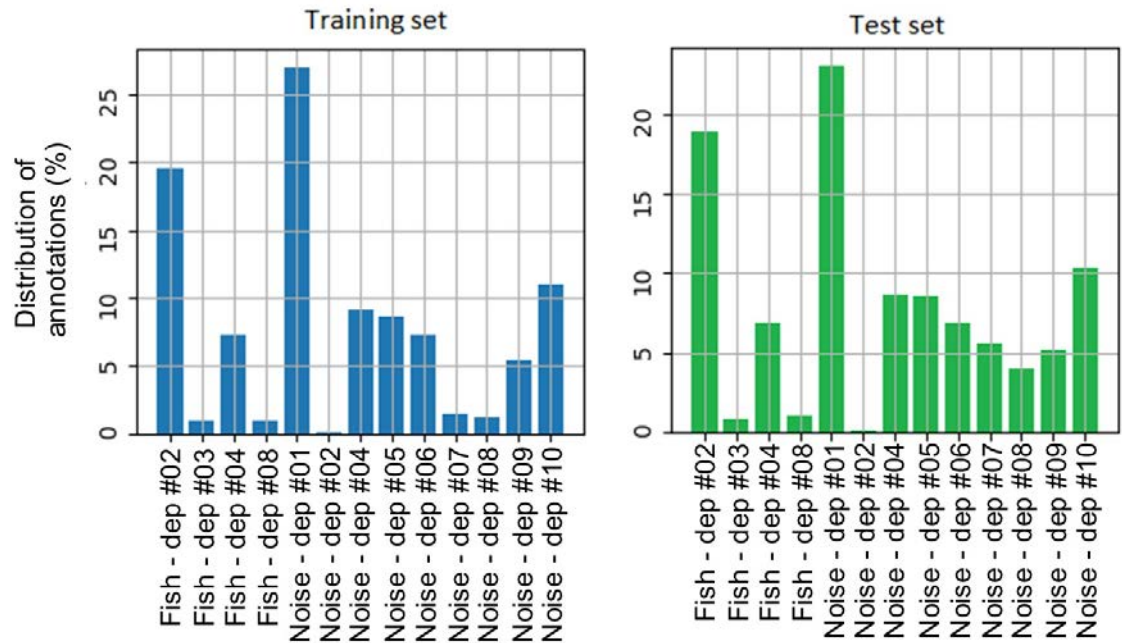


Figure 5.6: Description of the data used in the training and testing data sets. Labels on the x-axis indicate the class of the annotations (i.e., fish or noise) followed by the identification of the deployment they were from (see Table 5.1).

### Dummy classifier

Finally, we used a dummy classifier as a baseline for other classification methods. It consisted of classifying all unknown data as being fish.

### 5.3.5 Experimental Design

Classification models were trained and tested by dividing the data set of annotated sounds (Section 2.1.1) into two subsets. One was composed of 75% of the entire data set and was used to train the classification models, tune their hyperparameters, and identify which one performed best (blue bars in Figure 5.6). The other one, representing 25% of the entire data set, was used to evaluate the performance of the selected model. These two subsets were carefully defined so that they did not share annotations made from the same hour of recording, had the two classes (fish and noise) equally represented, and had a similar representation of all deployments (Figure 5.6). Data used for testing the performance of the classification were never used for training the models.

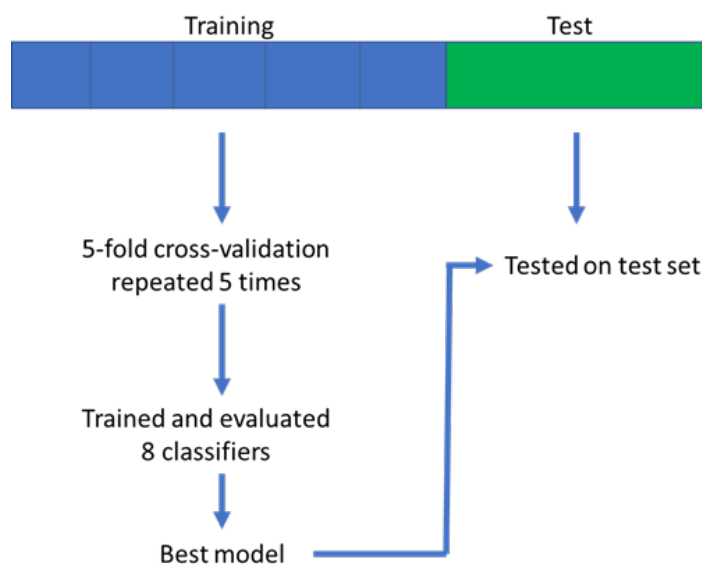


Figure 5.7: Illustration of the protocol used to train and evaluate the performance of the different classification models.

The different classification methods were evaluated on the training set using a five-fold cross-validation strategy. Folds were defined by splitting the training into 5 subsets. As done previously for creating the training and test sets (Figure 5.1), folds were defined so that they did not share annotations made from the same hour of recording, had the two classes (fish and noise) equally represented, and had a similar representation of all deployments. Each classification method was evaluated five times by, in turn, using data from four folds for training the model and data from the remaining fold for evaluating its performance. This cross-validation procedure was repeated five times by shuffling the training data before redefining the folds. Consequently, each classification algorithm was trained and evaluated 25 times. The performance of each algorithm was represented by the mean and variance of its classification performance over the 25 cross-validations. At the end of the cross-validation process, a total of 200 models were trained and evaluated (i.e., 8 algorithms  $\times$  25 cross-validations). The algorithm that performed best during the training phase was retrained using the entire training set and was tested on the test data set. Figure 5.7 illustrates the training and testing procedure.

Given that the annotated data were imbalanced (i.e., many more noise annotations than fish annotations, Table 5.1), two resampling methods were adopted before the training of each model. First, the Tomek links method was used to under-sample the majority class (here noise). It consists of identifying pairs of nearest neighbors

in a data set that have different classes and then removing the examples from these pairs belonging to the majority class. This has the effect of reducing the number of samples in the majority class, while making the decision boundary in the training data set less noisy or ambiguous [157]. Second, the synthetic minority over-sampling technique (SMOTE) was used to over-sample the minority class (here fish). It consists of artificially creating new data points by selecting examples of the minority class that are close in the feature space, drawing a line between them, and drawing a new data point as a point in the feature space along that line [158]. These two methods were used to obtain a balanced data set (i.e., equal number of fish and noise samples) before training the classification models.

### 5.3.6 Performance

The decisions generated from the classifiers can be categorized as follows:

- True positives ( $TP$ ): A signal of interest being correctly classified as such;
- False positives ( $FP$ ): Noise classified as a signal of interest (i.e., a false alarm);  
and
- False negatives ( $FN$ ): A signal of interest that is classified as noise (i.e., missed).

To calculate the numbers of  $TP$ s,  $FP$ s, and  $FN$ s, the manual annotations of fish sounds, which are considered true results, were compared with the automated classifications. To assess the performance of the detectors, precision ( $P$ ) and recall ( $R$ ) metrics were calculated based on the numbers ( $N$ ) of  $TP$ s,  $FP$ s, and  $FN$ s, as:

$$P = \frac{N_{TP}}{N_{TP} + N_{FP}}, \quad R = \frac{N_{TP}}{N_{TP} + N_{FN}}, \quad (5.5)$$

where  $P$  measures exactness and  $R$  measures completeness. For instance, a  $P$  of 0.9 means that 90% of the detections classified as fish sounds were in fact fish sounds but says nothing about whether all sounds in the data set were identified. An  $R$  of 0.8 means that 80% of all fish sounds in the data set were correctly classified, but says nothing about how many classifications were wrong. Thus, a perfect classifier would have  $P$  and  $R$  equal to 1. Neither  $P$  nor  $R$  alone can describe the performance of a detector/classifier on a given data set; both metrics are required. The  $F$  score is also used to quantify classifier performance. The  $F$  score measures the accuracy of

the detector and varies from 0 to 1, where an  $F$  score of 1 corresponds to a perfect detector. It is defined as

$$F_{\beta} = (1 + \beta^2) \frac{PR}{\beta^2 P + R}, \quad (5.6)$$

where  $\beta$  is the relative weight between the recall and precision. A  $\beta$  of 2 means the recall has double the weight of the precision. Conversely, a  $\beta$  of 0.5 means the recall has half the weight of the precision. In this work, it was considered that  $P$  and  $R$  were equally important, so the unweighted  $F$  score was used (i.e.,  $\beta = 1$ ).

All classifiers used in this study provide classification results (i.e., “fish” or “noise”) as well as a confidence of classification comprised between 0 and 1. The latter can be used to adjust the sensitivity of a classifier. Accepting classification results with a low confidence will lead to detecting more fish sounds (i.e., high recall), but will also generate more false alarms (low precision). Conversely, only accepting classification results with a high confidence will lead to detecting fewer fish sounds (low recall), but will also result in fewer false alarms (high precision). Therefore, the precision, recall, and  $F$  score were calculated separately for confidence values going from 0 to 1 in increments of 0.02.

### 5.3.7 Implementation

The fish sound detection and classification algorithms described in this paper were implemented in Python 3.8 using the open source library ecosound [124]. Ecosound is a python toolkit with several building blocks that help develop automatic detectors and classifiers and deploy them at large scale (from single workstations to computer clusters). It relies on the Python libraries pandas [125], NumPy [126], scikits-learn [127], Dask [128], and xarray [129], and is compatible with annotations from the bioacoustics software Raven (Cornell University, Ithaca, NY), and PAMlab (JASCO Applied Sciences, Dartmouth, NS). The fish sound detector/classifier has been released as the standalone python package FishSound Finder [159]. Both ecosound and FishSound Finder are released under the BSD-3-Clause License and can be installed using the Python Package Index (pypi.org).

## 5.4 Results

Figure 5.8 and Table 5.3 show the performance results of the classification models on the training data set via cross validation. The dummy classifier (baseline) had a mean precision of 0.343, recall of 1.00, and  $F$  score of 0.498. The LDA classifier performed the worst compared to the other algorithms, with a mean precision of 0.64, recall of 0.795, and  $F$  score of 0.70. The LR classifier also did not perform well, with performance results close to those obtained with LDA. RF and gradient boosted trees (XGBoost), which are the more-complex ensemble-based algorithms, performed substantially better (i.e., with an  $F$  score increased by at least 5%) than LDA and RF.

Increasing the number of trees in the RF classifier from 5 to 30 increased the  $F$  score by approximately 5%. However, training RF models with more than 30 trees did not substantially improve the performance. The RF classification model with 50 trees performed best, with a mean precision of 0.75, recall of 0.845, and  $F$  score of 0.792.

Most classifiers performed best when used with a confidence threshold between 0.5 and 0.6 (Figure 5.8). Performance of the RF classification model with 50 trees calculated on the test data set was slightly higher than the one calculated by cross-validation on the training data set, with a precision of 0.82, recall of 0.86, and  $F$  score of 0.84 (Table 5.4). Noise samples were well classified on the test data set, with an  $F$  score of 0.94.

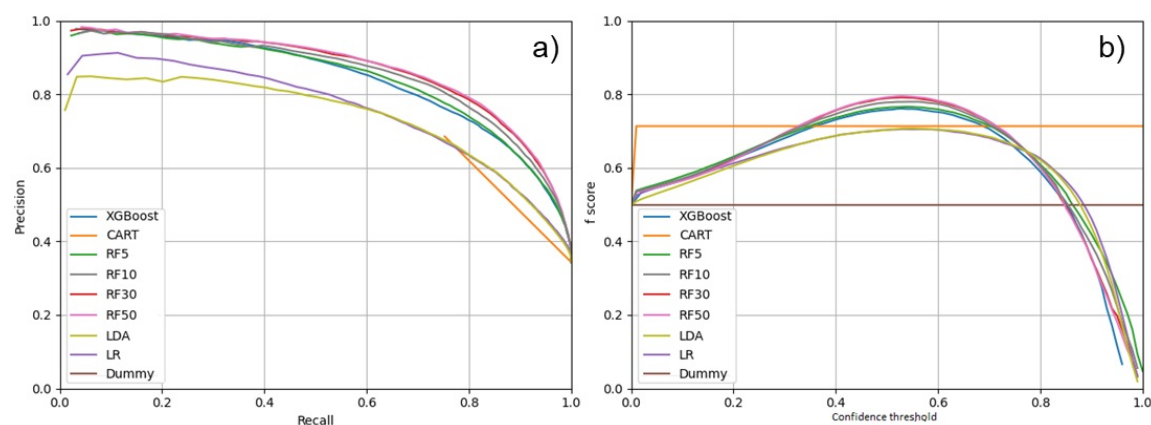


Figure 5.8: Performance of the fish sound classifier on the training data set: a) Average precision and recall and (b) average  $F$  score calculated over all confidence thresholds for the eight (plus the dummy baseline) classification models tested.

Table 5.3: Performance of all the classification models on the training data set for a confidence threshold of 0.5 (mean  $\pm$  standard deviation). RF5, RF10, RF30, and RF50 correspond to the RF models trained with 5, 10, 30, and 50 trees, respectively.

	<b>XGBoost</b>	<b>CART</b>	<b>RF5</b>	<b>RF10</b>	<b>RF30</b>	<b>RF50</b>	<b>LDA</b>	<b>LR</b>	<b>Dummy</b>
<b>Precision</b>	0.70 $\pm$ 0.13	0.68 $\pm$ 0.12	0.72 $\pm$ 0.11	0.73 $\pm$ 0.11	0.75 $\pm$ 0.11	0.75 $\pm$ 0.11	0.64 $\pm$ 0.17	0.64 $\pm$ 0.15	0.34 $\pm$ 0.13
<b>Recall</b>	0.83 $\pm$ 0.05	0.04 $\pm$ 0.71	0.83 $\pm$ 0.04	0.83 $\pm$ 0.04	0.84 $\pm$ 0.04	0.84 $\pm$ 0.04	0.79 $\pm$ 0.08	0.79 $\pm$ 0.08	1.00 $\pm$ 0.00
<b>F score</b>	0.76 $\pm$ 0.09	0.71 $\pm$ 0.08	0.76 $\pm$ 0.08	0.72 $\pm$ 0.08	0.79 $\pm$ 0.07	0.79 $\pm$ 0.07	0.70 $\pm$ 0.14	0.70 $\pm$ 0.12	0.50 $\pm$ 0.15

Table 5.4: Performance of the random forest model with 50 trees on the test data set for a confidence threshold of 0.5. This model was trained using the entire training data set.

Class	Precision	Recall	F score	# sounds
Fish	0.82	0.86	0.84	6758
Noise	0.95	0.93	0.94	17666

## 5.5 Discussion and conclusion

The methods developed here for automatically detecting fish sounds show satisfactory performance results on the dataset of manually annotated recordings. Ensemble-based classifiers (RF and XGBoost) performed better than other more traditional methods (LDA, LR). The  $F$  score we obtained with the RF classifier with 50 trees ( $F$  score = 0.84) is comparable to the *Sciaenidae* sound detector described in [160] ( $F$  score = 0.86), but lower than the generic fish detector in [69] ( $F$  score = 0.9). Noda et al. [70] obtained an  $F$  score of 0.98 in automatically classifying sounds from 128 fish species. The latter study was based on a small dataset of sounds recorded in tanks and did not include classification of noise (i.e., non-fish) recordings. Several other fish sound detectors have been developed but focus on detecting periodic fish chorusing events rather than individual fish sounds (e.g., [74, 161]). The calculated performance of automatic detectors and classifiers depends strongly on the datasets used to both train and test the algorithms. Evaluating algorithms on small datasets (e.g., several hundred sounds collected over a few days), where noise conditions, fish species present, and recording platforms do not change or are very stable and predictable, can lead to high performance scores, which may not be representative of how these algorithms would behave when applied to large continuous passive acoustic datasets. The large dataset we use is comprised of more than 97,000 fish and noise sounds collected over 5 different sites (some, like Delta Node and NC-RCA-In, located in noisy vessel shipping routes), and spanning all seasons of the year, which provides confidence that the detectors characterized in this paper would behave similarly on long-term continuous datasets.

The methods developed here are target individual fish knocks and grunts which

are the most common sounds recorded in British Columbia (e.g., Figure 5.1). Longer continuous sounds from chorusing fish found in British Columbia, such as plainfin midshipman (*Porichthys notatus*) boat-whistles [162], would not be successfully detected with the proposed approach. The methods developed in this study target fish sounds in general without differentiating knocks from grunts. It may be useful to retrain the classification models to recognize these two sound types separately. Additionally, as more sounds from fish are identified, it would be useful to train the classification model to recognize specific species. This may require using different advanced methods such as deep neural networks [163–165]. While we tested the detector on a large dataset, we recognize that this only considered recordings off the east coast of Vancouver Island. Testing the detector in other parts of British Columbia will be part of future studies.

Based on the dataset collected at Hornby Island for this study, it takes approximately 48 hours of continuous work (equivalent of six 8-hour working days) for a human analyst to manually annotate one day (24 hours) of continuous recording. In comparison, the RF based detector described here (RF50) processes one day of continuous recording in approximately 4 hours and does not require human supervision. Using such automated algorithms for detecting and labeling fish sounds makes passive acoustics a more viable tool to help fish monitoring and marine conservation. Automatic detectors still require some level of manual analysis from human analysts to validate the detection results. However, several manual analysis methodologies [166] and software solutions [167, 168] can be employed to make this process more efficient.

To illustrate the potential of the proposed algorithm, we ran it on 2.5 months of acoustic data. Figure 5.9 shows the automatic detections from the RF50 detector that was run on continuous data collected in the Northumberland Channel Rockfish Conservation Area (see map in Figure 5.3) from April 10 to June 25, 2019. From these detection results, it is possible to quickly identify periods with (Figure 5.9a) and without (Figure 5.9c) fish sounds, which provides initial insights on the variation of the number of fish sounds over the entire deployment. Just using Figure 5.9b it is possible to observe three possible trends in the data: 1) The oblique patterns of elevated detections suggest that occurrence of fish sounds may correlate with tide cycles; 2) the number of fish sounds appears to increase gradually from April to June; and 3) more fish sounds were detected during the night than during the day. While a rigorous analysis is required to confirm these initial observations, this result illustrates

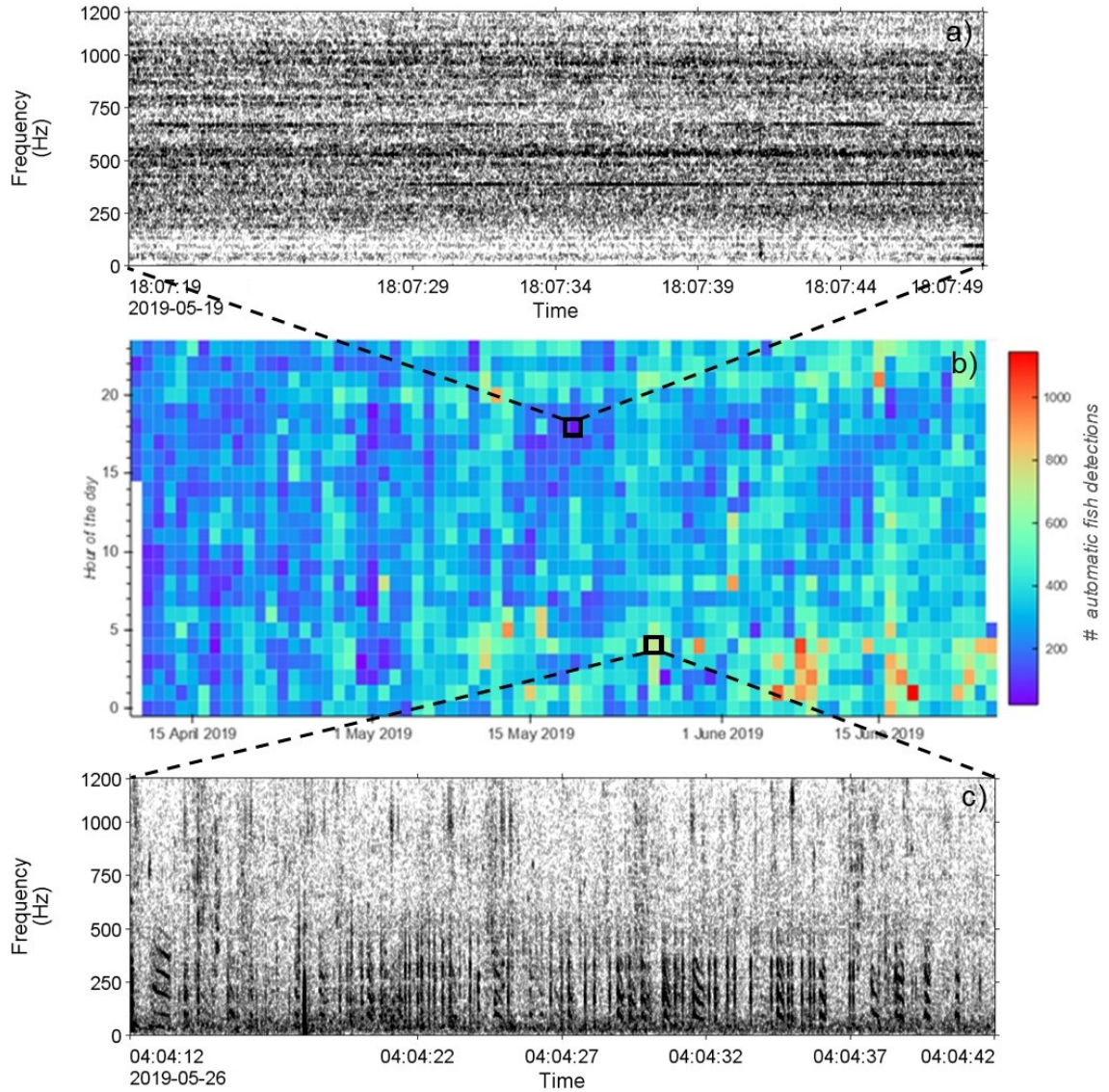


Figure 5.9: Automatic detection of fish sounds inside the Northumberland Channel RCA from April to June 2019. a) Spectrogram of a 30 s recording from May 19 with no fish sounds, b) heat map representation of the number of automatic fish detections per hour, c) spectrogram of a 30 s recording from May 26 with more than 60 fish sounds. Spectrogram resolution: 1.95 Hz frequency resolution, 0.128 s time window, 0.012 s time step, Hamming window.

how useful the automatic detector can be to quickly explore large datasets, formulate hypotheses, and answer practical conservation questions.

It has been known for a long time that fish produce sounds. However, the use their sounds in passive acoustic monitoring programs is still uncommon. One reason fish sounds are underused in marine conservation is because the analysis tools developed by engineers are rarely made accessible to other researchers in the marine conservation field. The software implemented in this paper is released under an open source license and is accompanied with a step by step tutorial showing how to use it [159]. Our hope is that it will be used, further tested, and improved by other researchers in the community.

## 5.6 Acknowledgements

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## Chapter 6

# Discussion and Perspectives

The overarching objective of this thesis was to make passive acoustics a more viable and accessible tool to monitor fish in their natural habitat. The work specifically consisted of developing and testing a set of methodologies to facilitate the identification of sounds that fish produce in their natural environment and make the analysis of large passive acoustic datasets more efficient. It involved the development of both hardware and software solutions. On the hardware side, a low-cost autonomous underwater camera capable of recording video for several weeks was developed. Three easy-to-build audio/video array designs were also proposed to catalog fish sounds in various habitats. On the software side, an open-source library was developed to automatically detect fish sounds, optimize hydrophone placement, and perform 2D acoustic localization. These solutions were tested in the field and enabled the description of sounds from fish that had not been reported to produce sound before.

Many of the audio/video methods developed in this thesis are based on ideas that were proposed several decades ago by founding researchers of the fish bioacoustics community [9]. For example, in 1968 Breder already use of acoustic localization to study fish sounds using an apparatus called the *audiogoniometer* [169]. Sprague and Luczkovich in 2004 [29], then Rountree and Juanes in 2010 [30] had used Remotely Operated Vehicles (ROVs) to simultaneously record audio and video to study fish. In 2015, Locascio and Burton [31] developed customized underwater audio and video systems to verify sources of fish sounds in Florida. Many of these methods are the foundation of what fish bioacoustics is today. However, due to the prohibitive costs, logistical constraints, and technical challenges, the specialized equipment required is not easy to use and accessible only to a small group of researchers. This thesis took advantage of recent advances in technology to transform these conceptual ideas into

practical tools that are relatively inexpensive, easy to replicate, and accessible to the research community and also potentially to interested citizen scientists. The recent democratization of small single-board computers, such as the Raspberry Pi, now allows us to relatively easily build sophisticated and fully customizable instruments at a low-cost, such as the FishCam described in Chapter 3 [170]. The recent advances of small ROVs have also played an important role in this thesis. Unlike the large ROVs used by Sprague and Luczkovich [29] and Rountree and Juanes [30], the underwater drone used for the mobile audio/video array in Chapter 4 was inexpensive ( $< \$3,000$  USD), easy to operate from a small boat or from shore, and quiet when not moving. The Trident was one of the first reliable underwater drones to become available on the market when this thesis started. Now, many more models and designs of underwater drones exist and have a variety of specialized capabilities (e.g., robotic arm, built-in echosounders). This will allow even further development and democratization of platforms such as the mobile array proposed in Chapter 4. Advances in passive acoustic recorder technology were also key in making two of the proposed audio/video arrays relatively inexpensive and compact. We showed that performing acoustic localization is highly recommended for identifying fish sounds in the wild. However this implies the use of autonomous multichannel recorders with at least four channels, which are typically more expensive than single-hydrophone systems. The four-channel Sound-Trap recorder used for the mini and mobile arrays was introduced in recent years and made acoustic localization possible at a reasonable cost and with a minimal footprint. New generations of low-cost ( $< \$100$  USD) open-source audio recorders such as the AudioMoth [104] are currently revolutionizing passive acoustic monitoring on land, and are expected to be adapted to the aquatic environment in the near future. This will likely result in making audio/video platforms for identifying fish sounds even more affordable and lightweight.

Fish have been known to produce sounds for a very long time, but their sounds have arguably been under-studied compared to more charismatic marine fauna (e.g., whales), despite the value of passive acoustics for fisheries management. This difference can be attributed partially to the technology that was not always available and affordable (as mentioned above), but also to the general lack of interest in this topic. Thanks to founding researchers in the field, substantial efforts have been made to educate funding agencies, research communities and the general public, which led to a increase of interest in fish bioacoustics in the last decade [9, 171–173]. Another reason that fish sounds have been under-studied, is the lack of accessibility and repro-

ducibility of tools that are being developed. Methods described in the peer-reviewed literature are not always made widely available, which prevent other research groups to easily replicate, expand, or improve proven techniques to other regions or habitats. This thesis contributed to addressing this by making all the proposed software and hardware solutions open-source. All the analysis performed during this thesis have been implemented in the python library ecosound. While further work is still needed on the implementation of the localization module and on the documentation, we trust that these efforts will help other research groups to replicate and improve the analysis methods that were implemented during this thesis [124] (Figure 6.1). The fish sound

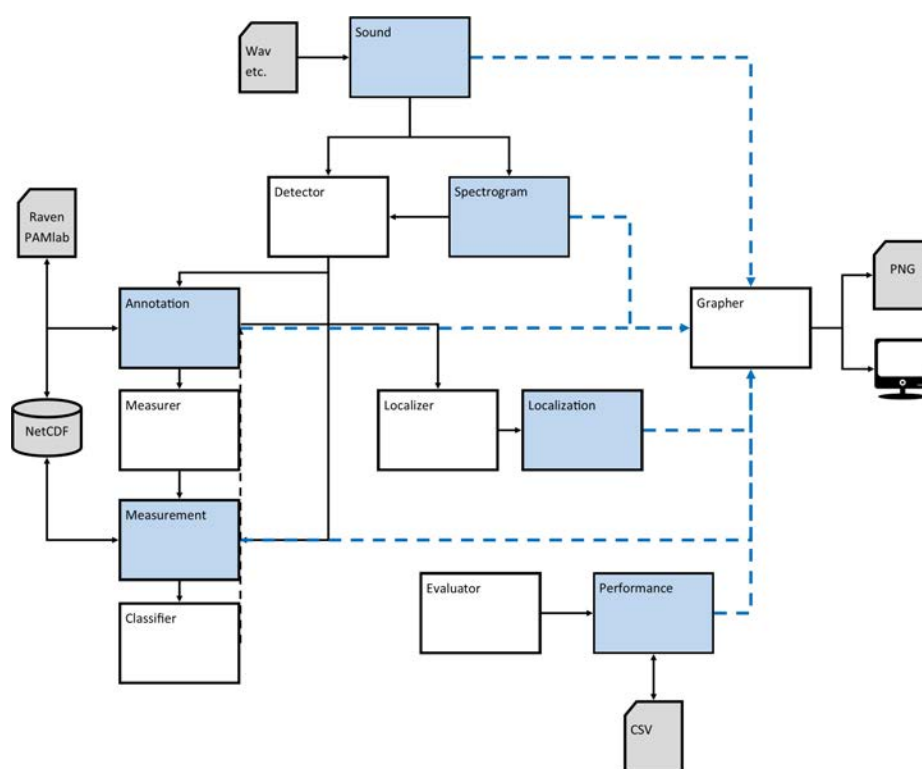


Figure 6.1: Conceptual diagram of the different modules in ecosound. Blue rectangles denote the ecosound base objects, and blue dashed arrows refer to visual representations.

detector and classifier developed in Chapter 5, based on ecosound, has been released as the open-source command-line software FishSound Finder which also includes a tutorial for users [159]. The software and hardware design of the FishCam described in Chapter 3 and Appendix A has been made available through the HardwareX publication which also includes detailed step-by-step building and operating instructions [84]. The mechanical schematics and building instructions of the audio/video arrays

and the acoustic localization scripts used in Chapter 4 have not been included in this thesis but will be included as supplementary material when this chapter is submitted for publication. The FishSound Finder software is currently being tested by organizations in Canada (DFO), United States (Louisiana Universities Marine Consortium), and Norway (Norwegian Institute for Nature Research). The FishCam is also currently being built and improved by several companies in British Columbia (ASL Environmental Science Inc., Cascadia Seaweed).

Fish sounds are being recorded in many places along the coast of British Columbia from Haida Gwaii to Southern Vancouver Island ([10, 81, 174], Lynn Lee pers. comm., Scott Veirs pers. comm.). This widespread interest shows the potential of passive acoustics for monitoring fish in British Columbia. However, many of these sounds are still not identified to specific species. This thesis has begun to address this knowledge gap for the Strait of Georgia by identifying sounds from quillback rockfish, copper rockfish, and lingcod. While several weeks of data have been collected with the audio/video arrays, only a subset were analyzed due to time-constraints. The sounds identified and described demonstrated the capabilities of the proposed arrays, but cannot fully describe the extend of the acoustic repertoire of these species. The analysis of the entire dataset will be performed in future work. A key constraint in making passive acoustic monitoring of fish successful and efficient in British Columbia will be the ability to discriminate sounds from these different species using a single hydrophone without video cameras. Time and frequency characteristics of the sounds identified in this thesis suggest that it may be possible to distinguish species based on the pulse frequency and duration (Figure 6.2). It is, however, important to consider

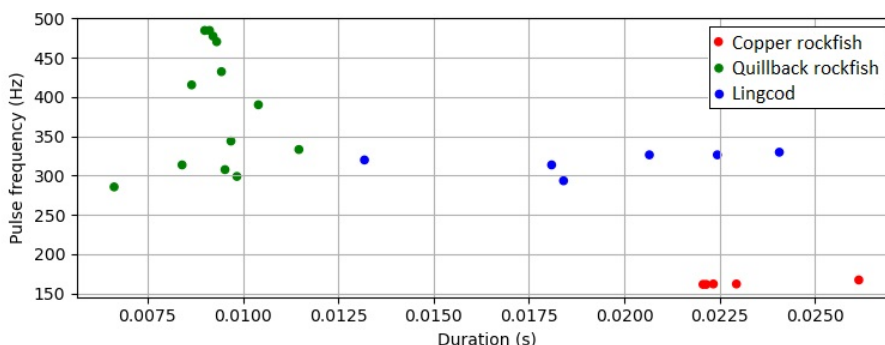


Figure 6.2: Pulse frequency and duration of the single pulse sounds identified in Chapter 4.

that this preliminary observation is only made from a small set of fish sounds. Al-

though several fish species produce sounds that have distinct frequency and temporal characteristics [82], fish sound properties can also fluctuate with behavior, water temperature and fish size [77, 175, 176]. Therefore, it will be important to analyze the entire dataset collected with the audio/video arrays to better describe the variability of sounds for each species to determine the validity of this initial observation. It will also be important to take into account other descriptors quantifying sound rhythm [177] and temporal context [178]. If passive acoustic species discrimination is confirmed, then automatic detection and classifications methods described in Chapter 5 can be adapted to target specific species.

Data from the large audio/video can provide estimates of the source level of the fish sounds. This information is key in designing passive acoustic monitoring programs as it helps to 1) define the distance over which fish sounds can be detected, 2) determine how many recorders are required for the area of interest, and 3) assess if passive acoustic monitoring is suitable for an area, given its ambient noise conditions. If we assume that fish sounds with a received level below the ambient noise are not detectable (i.e. detection threshold of 0 dB), and that sound waves spread spherically without absorption, then the maximum distance  $R$  at which fish sounds can be detected is estimated as

$$R = 10^{\frac{SL-NL}{20}}, \quad (6.1)$$

where  $SL$  is the source level, and  $NL$  is the noise level at the monitoring location. Table 6.1 shows the estimated detection ranges at the Mill Bay and Hornby Island locations where the large audio/video array was deployed (Figure 4.7, Table 4.1). The calculation was performed using a source level value of 113 dB re  $1\mu\text{Pa}$  (as measured in Table 4.2) and noise levels measured at the middle hydrophone of the large array between 20 and 1000 Hz (i.e., the frequency band of fish sounds) using the software PAMGuide [179]. Given that noise levels constantly fluctuate in time, the detection range was calculated for the minimum ( $L_{min}$ ) and maximum ( $L_{max}$ ) noise levels, as well as for the 5<sup>th</sup>, 50<sup>th</sup>, and 95<sup>th</sup> percentile levels ( $L_5$ ,  $L_{50}$ , and  $L_{95}$ , respectively). Detection range values in Table 6.1 show that at Hornby Island, fish sounds can be detected at up to 33 m under the quietest conditions ( $L_{min}$ ), but only up to 8 m for half of the time ( $L_{50}$ ). At Mill Bay, the detection range is less than 11 m under the quietest conditions and less than 2 m for half of the time. During the noisiest events ( $L_{max}$ ), typically when a boat is passing close by, fish sounds are only detectable

Table 6.1: Estimated detection range ( $R$ ) of fish sounds at Mill Bay and Hornby Island. Noise levels ( $NL$ ) were computed in the 20-1000 Hz frequency band every minute by averaging 120 1 s long Hann-windowed fast Fourier transforms overlapped by 0.5 s.

	<b>Mill Bay</b>		<b>Hornby Island</b>	
	NL (dB re $1\mu\text{Pa}$ )	R (m)	NL (dB re $1\mu\text{Pa}$ )	R (m)
$L_{min}$	92.6	10.5	82.6	33.0
$L_5$	102.2	3.5	87.2	19.6
$L_{50}$	107.9	1.8	95.0	7.9
$L_{95}$	116.1	0.7	105.1	2.5
$L_{max}$	146.9	0.0	130.1	0.1

over a few centimeters for both locations. These results illustrate well how source levels are needed to assess spatial coverage and define a monitoring plan. The Mill Bay site is near a marina and is noisier than Hornby Island, which results in smaller detection ranges. Consequently, developing a passive acoustic monitoring program for fish would likely require deploying more acoustic recorders at Mill Bay than at Hornby Island. Such analysis may also help define areas where passive acoustics is simply not suitable for conducting fish monitoring due to high noise conditions.

This thesis proposed methods to 1) identify and characterize species-specific fish sounds in the field, and 2) process large amount of passive acoustic data efficiently. These two aspects are key to transition passive acoustics from an experimental to an operational approach to monitor fish, and eventually lead to the definition of spatial and temporal occurrence of fish species in a given area. Fish density is particularly important for fisheries management or marine conservation [180]. Being able to count the number of fish and estimate their size allows ecologists to closely monitor fish biomass and estimate species recovery or fish stocks. In some cases, passive acoustics, can be used to estimate the relative abundance of fish in the environment [56–58]. There is also evidence that characteristics of sounds from some species of fish are correlated with their size [175]. While size and density estimation has not been tackled in this thesis, it will be an important research area to investigate in the near future.

We identified for the first time sounds from quillback rockfish (*Sebastes maliger*), copper rockfish (*Sebastes caurinus*), and lingcod (*Ophiodon elongatus*). These newly-identified sounds are of high interest for fish conservation in British Columbia since

quillback rockfish are designated as threatened by the committee on the status of endangered wildlife in canada (COSEWIC), and that the population of lingcod found in the Strait of Georgia is assessed under fisheries and oceans Canada's precautionary approach framework as *cautious*.

## Appendix A

# **FishCam User Manual: Instructions to build, configure and operate the FishCam**

This appendix details all the steps necessary to build, configure and operate the FishCam. To build the FishCam, it is recommended to follow instructions from sections A.2.1, A.2.2, A.2.3 and A.3.1 in that order. Once the FishCam is operational, the reader can refer to the other sections independently depending on specific needs. Many sections refer to FishCam parts using their part identification codes indicated in the first column of Table A.1.

## A.1 Bill of materials

Table A.1: Bill of material

Part ID	Description	Qty	Retailer	Website
<b>Camera system with battery pack:</b>				
P1	D-Cell rechargeable batteries. 10,000 mAh. 1.2V Ni-Mh	28	Amazon	<a href="https://tinyurl.com/wdzb47y">https://tinyurl.com/wdzb47y</a>
P2	ON/OFF rocker switch	1	BC-Robotics	<a href="https://tinyurl.com/yxy3gpv9">https://tinyurl.com/yxy3gpv9</a>
P3	Raspberry Pi zero W	1	Ameridroid	<a href="https://tinyurl.com/u4t3zfj">https://tinyurl.com/u4t3zfj</a>
P4	2 x 20 pin breakaway male header	1	BC-Robotics	<a href="https://tinyurl.com/wf9qndx">https://tinyurl.com/wf9qndx</a>
P5	Witty Pi Mini	1	UUGEAR	<a href="https://tinyurl.com/qogcnhw">https://tinyurl.com/qogcnhw</a>
P6	Camera adapter cable for Raspberry Pi Zero	1	Ameridroid	<a href="https://tinyurl.com/w2c67ut">https://tinyurl.com/w2c67ut</a>
P7	Raspberry Pi camera module v2 with wide angle lens	1	Blue Robotics	<a href="https://tinyurl.com/squjpac">https://tinyurl.com/squjpac</a>
P8	5V 2A Step-Up/Down regulator	1	BC-Robotics	<a href="https://tinyurl.com/rma6k6r">https://tinyurl.com/rma6k6r</a>
P9	200GB Ultra MicroSDXC UHS-I memory card	1	Amazon	<a href="https://tinyurl.com/ta3lclm">https://tinyurl.com/ta3lclm</a>
P10	M2.5 male + female hex nylon spacer, standoff, bolt, screw, nuts	1	Amazon	<a href="https://tinyurl.com/w5rcoqo">https://tinyurl.com/w5rcoqo</a>
P11	Micro JST 1.25MM 2-Pin male + female connectors	1	BC-Robotics	<a href="https://tinyurl.com/stcywc4">https://tinyurl.com/stcywc4</a>
P12	Assortment of electronics wires	1	Amazon	<a href="https://tinyurl.com/wej2ey4">https://tinyurl.com/wej2ey4</a>
P13	Set of male + female 2-pin Molex connectors	1	Amazon	<a href="https://tinyurl.com/vntm733">https://tinyurl.com/vntm733</a>
P14	Plastic egde protector	1	Amazon	<a href="https://tinyurl.com/teywd2r">https://tinyurl.com/teywd2r</a>
<b>Buzzer circuit:</b>				
P15	PCB board prototype	1	Amazon	<a href="https://tinyurl.com/uy6nyyo">https://tinyurl.com/uy6nyyo</a>
P16	PNP transistor 2N3904	1	Amazon	<a href="https://tinyurl.com/utboyov">https://tinyurl.com/utboyov</a>
P17	10 kOhm resistor	1	Amazon	<a href="https://tinyurl.com/w64pjlb">https://tinyurl.com/w64pjlb</a>
P18	9V battery	1	Amazon	<a href="https://tinyurl.com/wz8e49y">https://tinyurl.com/wz8e49y</a>
P19	9V battery holder	1	Amazon	<a href="https://tinyurl.com/qo39gtb">https://tinyurl.com/qo39gtb</a>
P20	Large Piezo Alarm - 3KHz	1	RobotShop	<a href="https://tinyurl.com/uy7j6up">https://tinyurl.com/uy7j6up</a>
<b>Internal frame:</b>				
P21	D cell battery spring and plate connectors	14	Digikey	<a href="https://tinyurl.com/tctpcm1">https://tinyurl.com/tctpcm1</a>
P22	OPTIX 0.08" x 8" x 10" clear acrylic sheet	1	Lowe's	<a href="https://tinyurl.com/tous2t8">https://tinyurl.com/tous2t8</a>
P23	3' 8-32 threaded rods	4	Lowe's	<a href="https://tinyurl.com/wtdj6a9">https://tinyurl.com/wtdj6a9</a>
P24	#8 washers	20	Lowe's	<a href="https://tinyurl.com/r8j9w3k">https://tinyurl.com/r8j9w3k</a>
P25	8-32 nuts	20	Lowe's	<a href="https://tinyurl.com/sdatntz">https://tinyurl.com/sdatntz</a>
P26	#8 lock washers	20	Lowe's	<a href="https://tinyurl.com/wh45wvt">https://tinyurl.com/wh45wvt</a>
P27	#8 cap nuts	2	Lowe's	<a href="https://tinyurl.com/rmwphm5">https://tinyurl.com/rmwphm5</a>
P28	Screw protector	2	Lowe's	<a href="https://tinyurl.com/uwv3994">https://tinyurl.com/uwv3994</a>
P29	5-mil 11"x17" laminated plastic sheet	1	Staples	<a href="https://tinyurl.com/uohs3a6">https://tinyurl.com/uohs3a6</a>
P30	2' x 4' virgin-grade PVC vinyl ceiling tile	1	Lowe's	<a href="https://tinyurl.com/tv3jrfq">https://tinyurl.com/tv3jrfq</a>
P31	Roll of 22-gauge metal hanger strap	1	Lowe's	<a href="https://tinyurl.com/w4ur3h5">https://tinyurl.com/w4ur3h5</a>
P32	4" x 0.1" miniature cable ties	4	Lowe's	<a href="https://tinyurl.com/qw14ura">https://tinyurl.com/qw14ura</a>
<b>Pressure housing:</b>				
P33	PVC design	1	Plumbing store	
P34	Watertight enclosure for ROV/AUV (4" Series)	1	Blue Robotics	<a href="https://tinyurl.com/tprcs4p">https://tinyurl.com/tprcs4p</a>
P35	4" x 4" x-2" tee fittings (ABS)	2	Lowe's	<a href="https://tinyurl.com/vry5t6j">https://tinyurl.com/vry5t6j</a>
P36	2" x 1-1/2" flush bushing fittings (ABS)	2	Lowe's	<a href="https://tinyurl.com/t7ynsf">https://tinyurl.com/t7ynsf</a>
P37	Slip PVC union fitting	2	Home Depot	<a href="https://tinyurl.com/wmd6koy">https://tinyurl.com/wmd6koy</a>
P38	Piece of 1-1/2" PVC tube schedule 40	1	Lowe's	<a href="https://tinyurl.com/sn6b3ql">https://tinyurl.com/sn6b3ql</a>
P39	6" stainless steel adjustable clamps	3	Lowe's	<a href="https://tinyurl.com/sf85pw5">https://tinyurl.com/sf85pw5</a>

## A.2 Build instructions

### A.2.1 Assembling the electronics

#### Main camera circuit

1. Solder the breakaway male header (P4) to the Raspberry Pi (P3, Figure A.1).

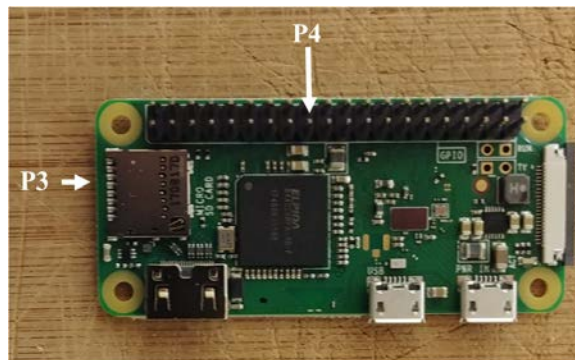


Figure A.1: Raspberry Pi zero with male header

2. Solder the micro JST male connector (P11) to the battery port of the Witty Pi (P5), circled in red below (Figure A.2).

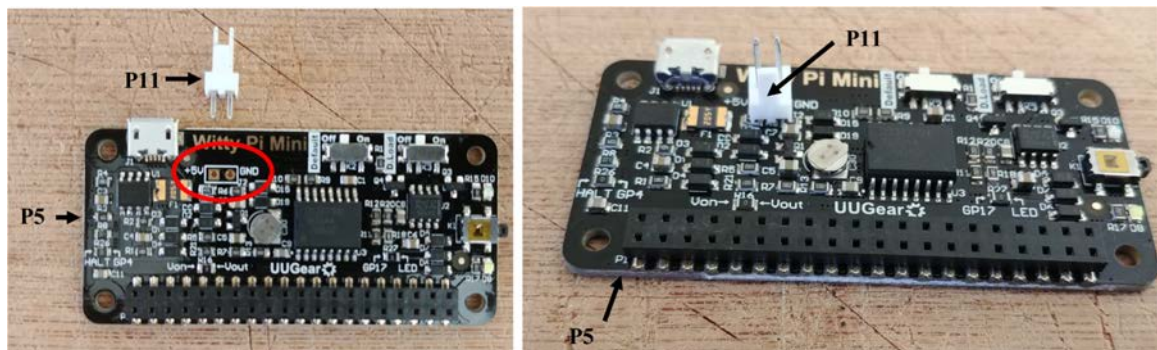


Figure A.2: Soldering of the JST male connector on the Witty Pi.

3. There are two switches on the Witty Pi board. Ensure that the switch *Default* is ON and *D-Load* is OFF.
4. Take four silicon mounts (P10) and insert them through each mounting hole of the Raspberry Pi (Figure A.3).

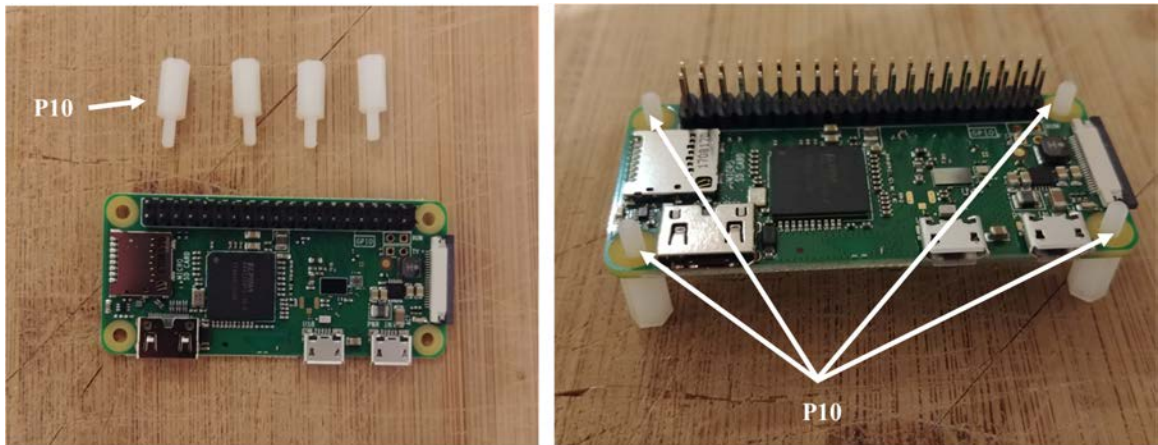


Figure A.3: Installation of the silicon mounts.

5. Take four more silicon mounts (P10) and screw them on top of the ones installed in step 4. Stack the Witty Pi on top of the Raspberry Pi via the male header (P4), and screw four silicon nuts on top of each mount to secure the two boards together (Figure A.4).

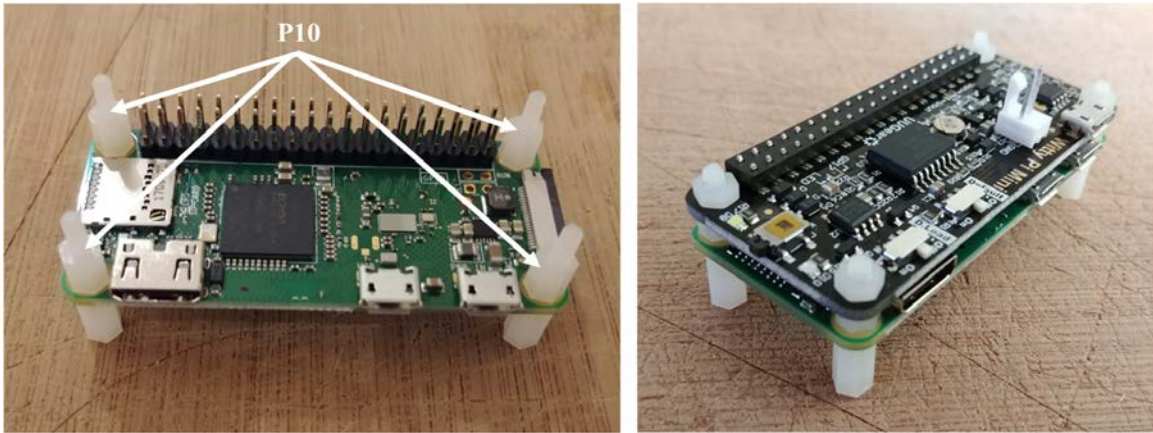


Figure A.4: Installation of Witty Pi.

6. Solder the two terminal blocks (in blue below, included with the regulator) to the input and output ports of the voltage regulator (P8, Figure A.5).
7. Cut and strip both ends of a red and a black wire (P12) about 10 cm long each. Solder one end of each wire to the micro JST female connector (P11). Ensure the red and black wires are connected to the JST connector in such a way that once the female connector is connected to the Witty Pi (via the male connector soldered in step 2), the red and black wires correspond to positive and negative ports of the Witty Pi. Connect the other end of the cables to the output of the voltage regulator via the terminal block (Figure A.6). Ensure the red and black wires are connected to the positive and negative outputs of the voltage regulator, respectively. Attach four silicon mounts (P10) to the mounting holes of the voltage regulator (Figure A.7).
8. Connect the voltage regulator (P8) to the Witty Pi (P5), using the micro JST connectors. Solder the Molex connector (P13), and the ON/OFF rocker switch (P2) to two wires going to the input block connector of the voltage regulator (Figure A.7).
9. Cut a small piece of plastic edge protector (P14) and drill two holes in it to attach the camera sensor (P7). Attach the camera sensor to the plastic edge

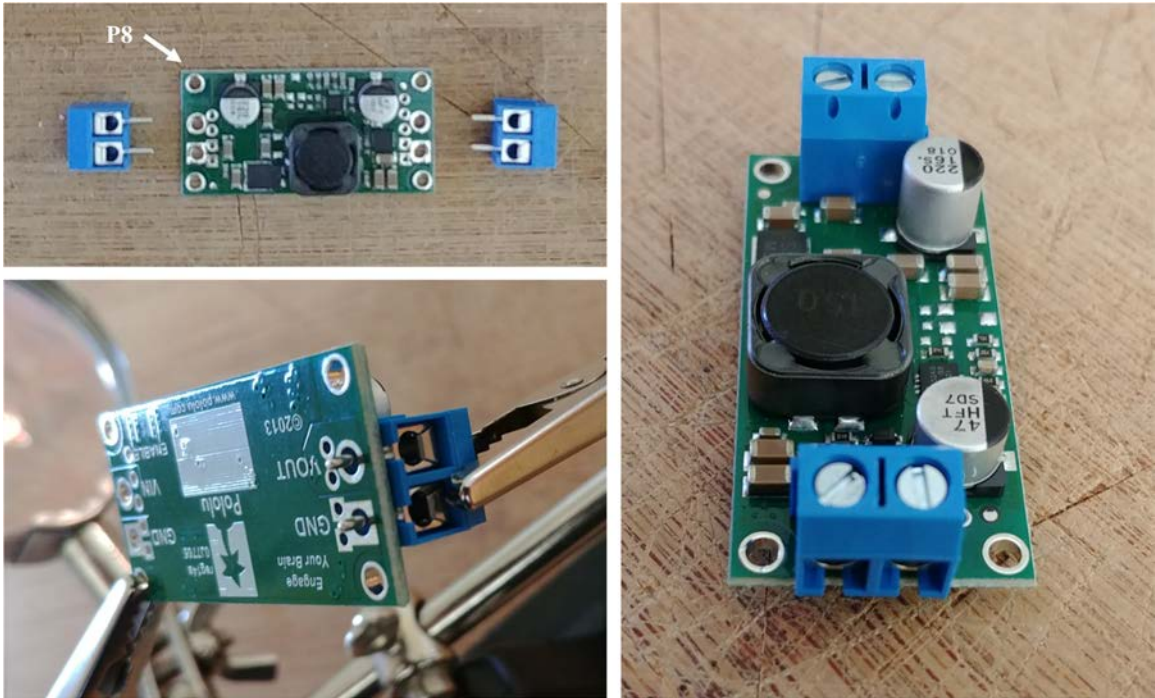


Figure A.5: Preparation of the voltage regulator.

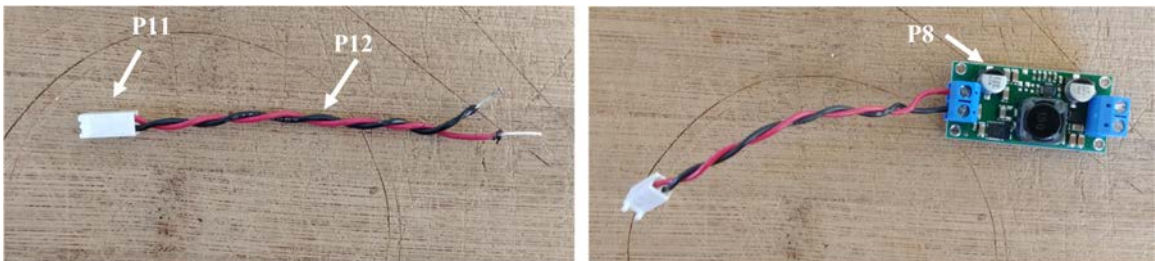


Figure A.6: Installation of the JST female connector.

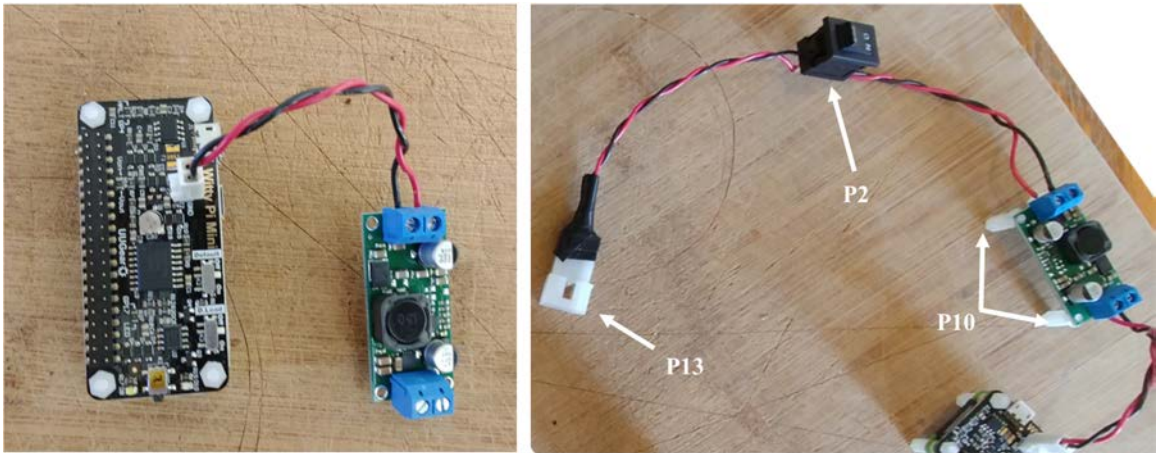


Figure A.7: Assembly of Molex Connector, ON/OFF switch, voltage regulator, and Raspberry Pi.

protector with nylon screws and nuts (P10). Connect the ribbon cable (P6) to the camera sensor (P7, Figure A.8).

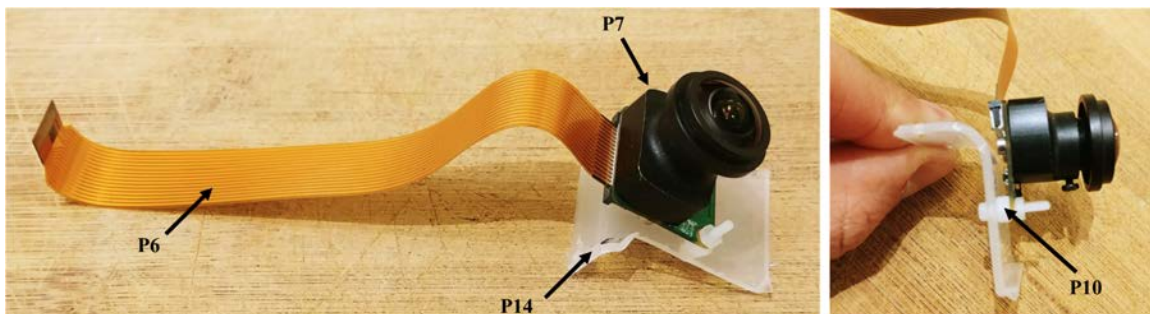


Figure A.8: Installation of the camera sensor on its mount.

### Buzzer circuit

The buzzer circuit is composed of a piezo alarm, a resistor, a transistor, and a 9V battery (Figure A.9). The circuit is connected to the pins GPIO-8 and Ground of the Raspberry Pi. The transistor acts as a switch that connects/disconnects the 9 V battery to the piezo alarm. When the GPIO-8 logic pin is turned ON via a Python script, a voltage of 3.3 V from the Raspberry Pi is delivered to the base of the transistor which closes the circuit and consequently turns ON the piezo alarm. The Python script controlling the buzzer circuit is described in section A.3.6. The steps to assemble the buzzer circuit are

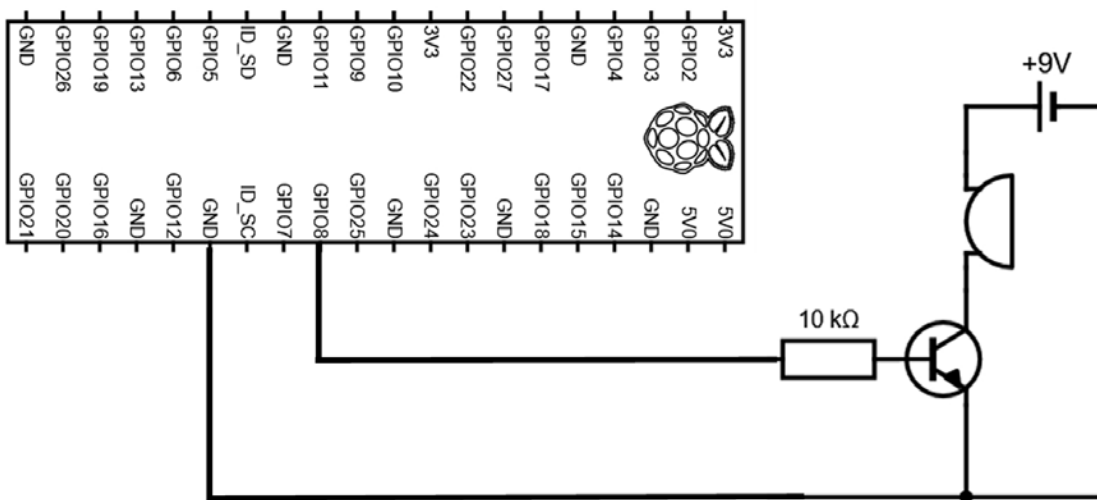


Figure A.9: Electronic diagram of the buzzer circuit.

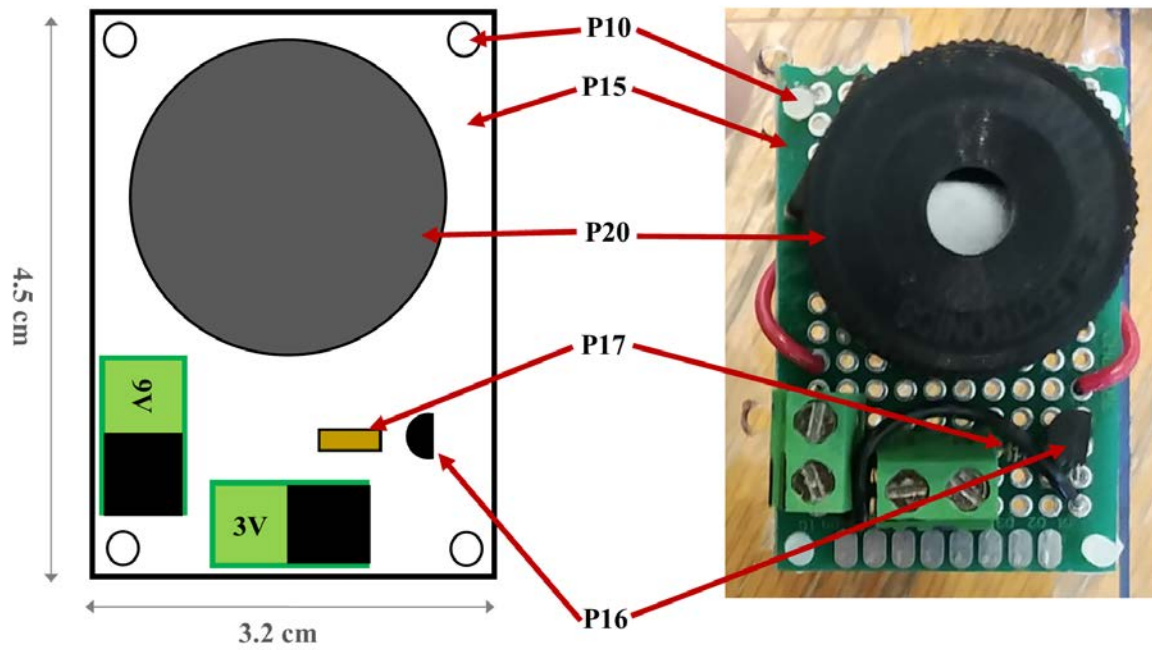


Figure A.10: Buzzer circuit.

1. Place and solder the electronic components P16, P17, and P20 on a 3.2 cm × 4.5 cm PCB prototyping board (P15) as shown in Figure A.10.
2. Solder electronics wires (P12) to connect the electronic components as shown in the electronic diagram in Figure A.9.
3. Attach four silicon mounts (P10) to each corner of the PCB board (Figure A.10)
4. Solder two wires to the battery holder (P19) and connect them to the “9 V” terminal block of the buzzer circuit (Figure A.11).
5. Connect two wires between the “3 V” terminal block of the buzzer circuit and the GPIO pins “GND” and “GPIO-8” of the Raspberry Pi. The male header pins (P4) on the Raspberry Pi should be long enough to have the wires directly soldered on them (Figure A.11)
6. The buzzer circuit is now connected to the Raspberry Pi and can be controlled via a Python script (see section A.3.6).

### **Assembly of the electronics on the mounting plate**

All the electronic components of the FishCam are attached to the mounting plate (P22) held in place by the aluminum rods of the internal frame. The diagram in Figure A.12 indicates the placement of each component. The blue symbols indicate components that are on the top-side of the mounting plate. Red symbols indicate components placed on the bottom-side of the mounting plate. Circles indicate mounting holes. The two bigger green circles indicate the holes for wires that connect the buzzer circuit to the GPIO pins of the Raspberry Pi and the ON/OFF switch to the input of the voltage regulator. The two holes denoted by the orange circles allow the attachment of an elastic band (dashed orange line) that holds down the ribbon cable of the camera sensor. The four pairs of yellow circles indicate holes that are used to attach the mounting plate to the aluminum rods of the internal frame (horizontal grey lines) using small cable ties (P32).

1. With an exacto knife, cut a mounting plate of dimensions 7.5 cm × 9.5 cm out of the clear acrylic sheet (P22).
2. With a marker pen, mark on the mounting plate the locations of the ON/OFF switch and all of the holes.

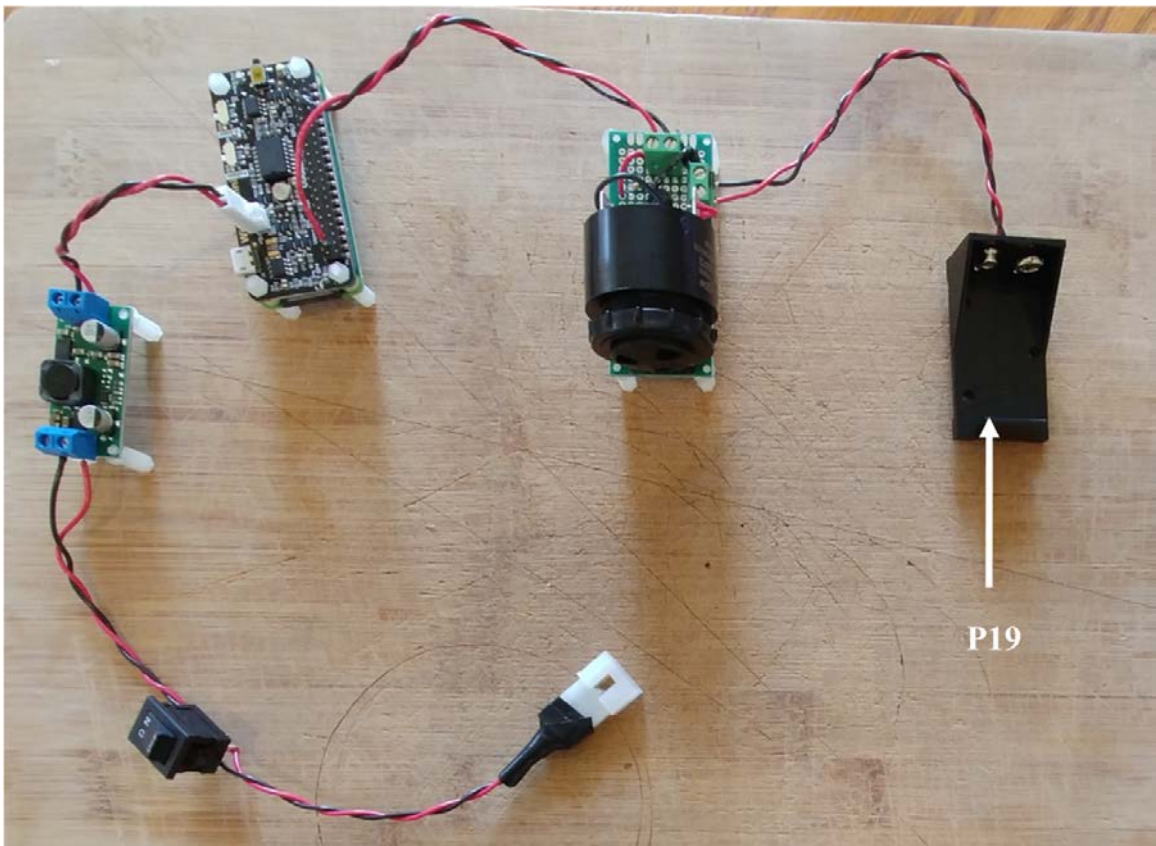


Figure A.11: Assembly of all the electronic components.

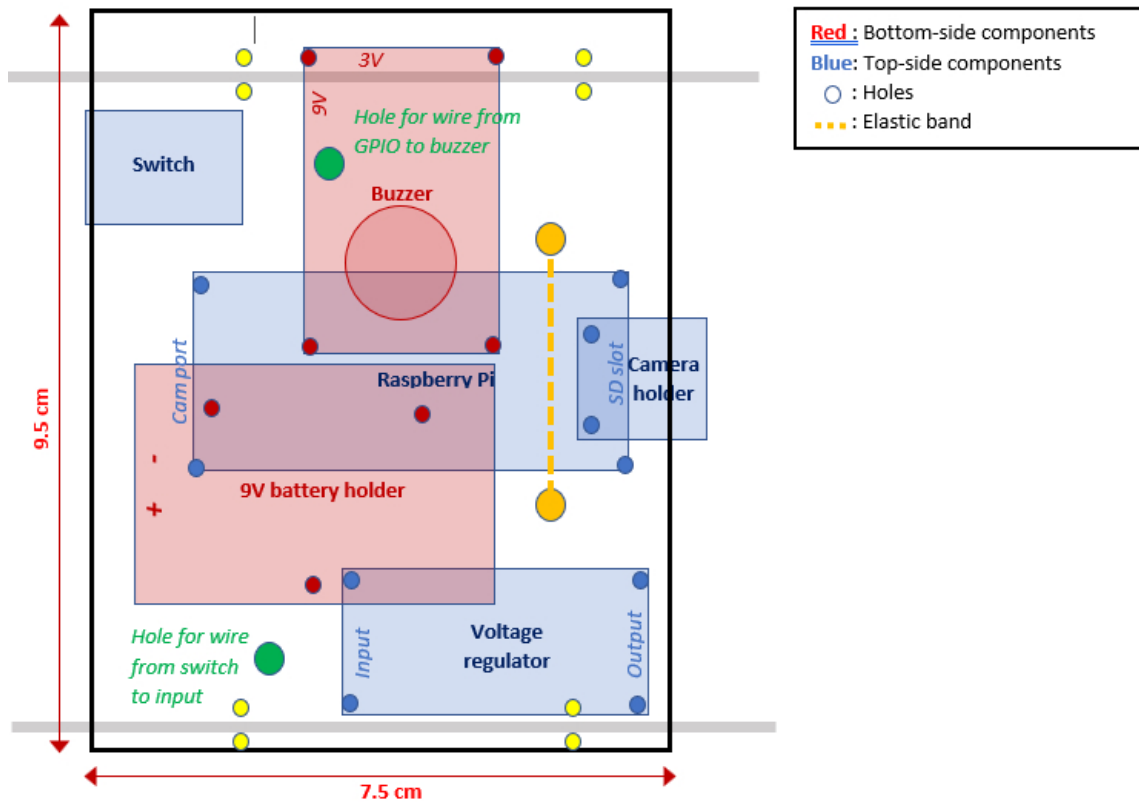


Figure A.12: Placement of the electronic components on the mounting plate.

3. Drill all the holes on the mounting plate with a drill and cut the rectangle of the switch with an exacto knife.
4. Tie a short elastic band through the holes indicated by the orange circles in the diagram above.
5. Temporarily disconnect all wires of the electronic components from the terminal blocks.
6. Attach the buzzer circuit and the 9 V battery holder on the bottom side of the mounting plate with the silicon screws (P10).
7. Attach the voltage regulator, the camera sensor (via the camera holder) and the Raspberry Pi on the top side of the mounting plate using the silicon screws (P10). The camera sensor should be placed such that the ribbon cable connector is on the top side of the mounting plate.
8. Connect the free end of the ribbon cable of the camera to the camera port of the Raspberry Pi. The cable must go underneath the Raspberry Pi and be held down by the elastic band.
9. Glue the ON/OFF switch to the mounting plate.
10. Reconnect all the wires to their respective terminal blocks (Figure A.13).

## **A.2.2 Building the internal frame**

The internal frame holds the battery pack and the electronic components in the 4" diameter tube of the pressure housing. The steps to assemble the internal frame are as follows.

1. Draw a disc of diameter 4" on the PVC vinyl tile (P30) and cut it out with an exacto knife (Figure A.14).
2. Use sand paper to smooth the edges of the disc (Figure A.15). Ensure it fits perfectly into the tube of the pressure housing (i.e. a 4" diameter tube).

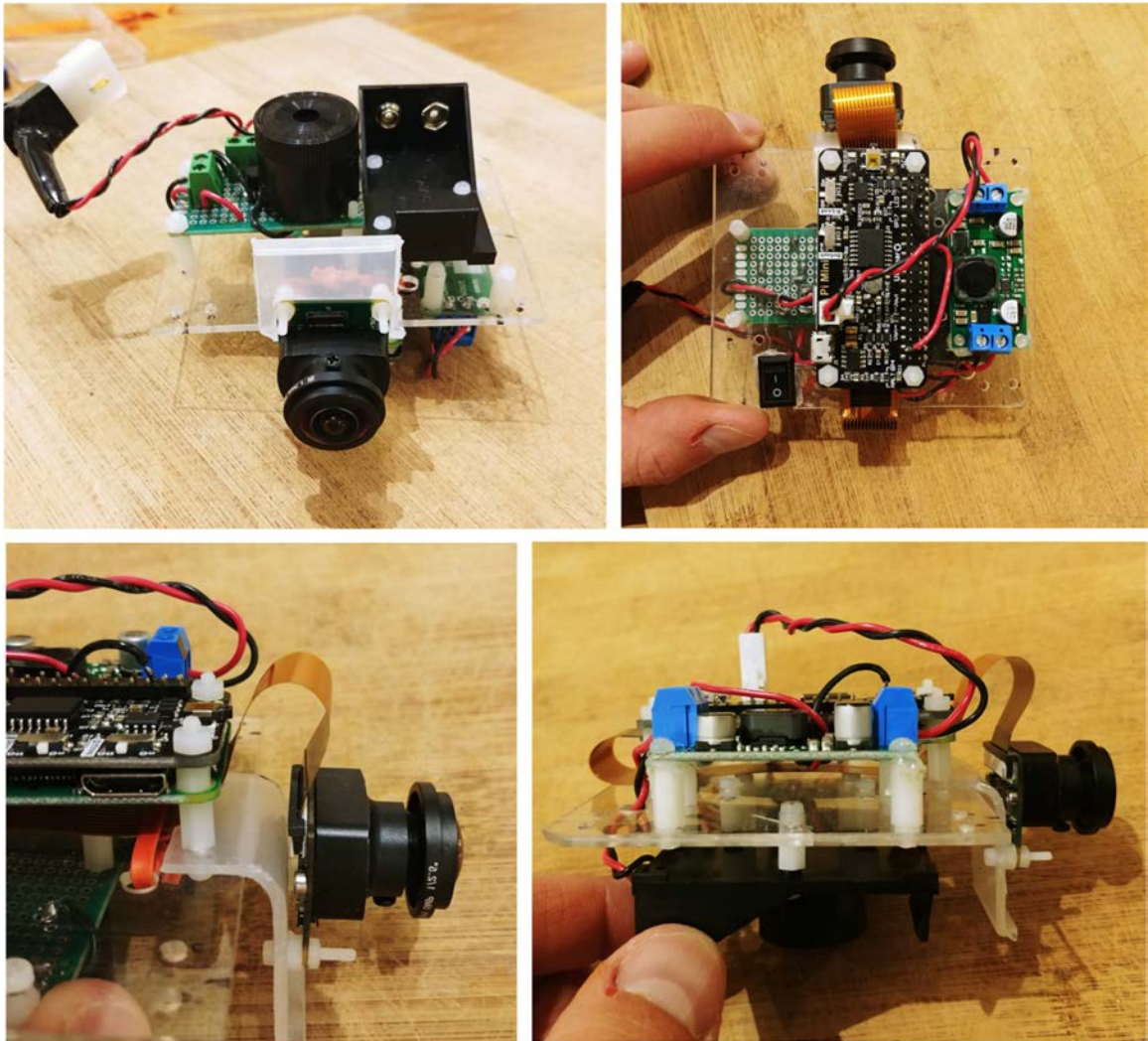


Figure A.13: Electronic components installed on the mounting plate.

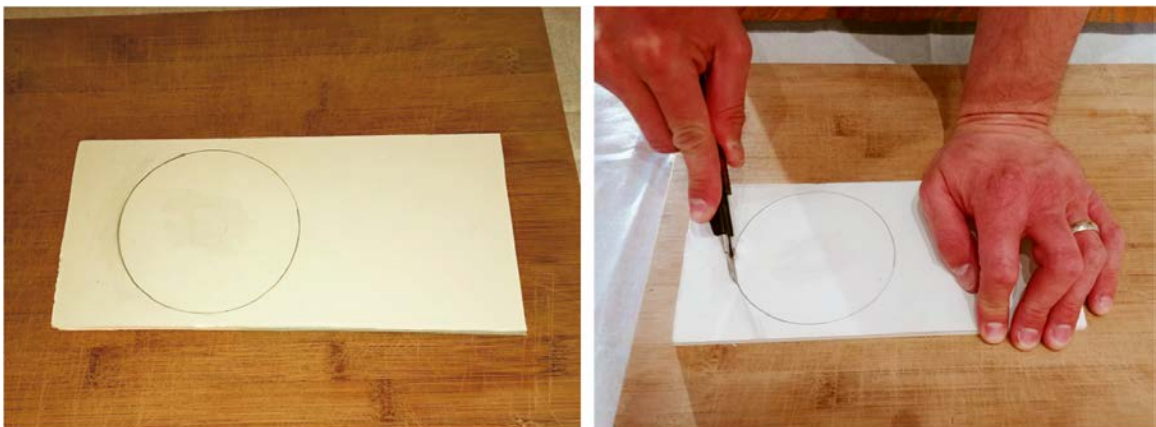


Figure A.14: Cutting of the discs from the PVC vinyl tile.



Figure A.15: Sanding edges of the discs.

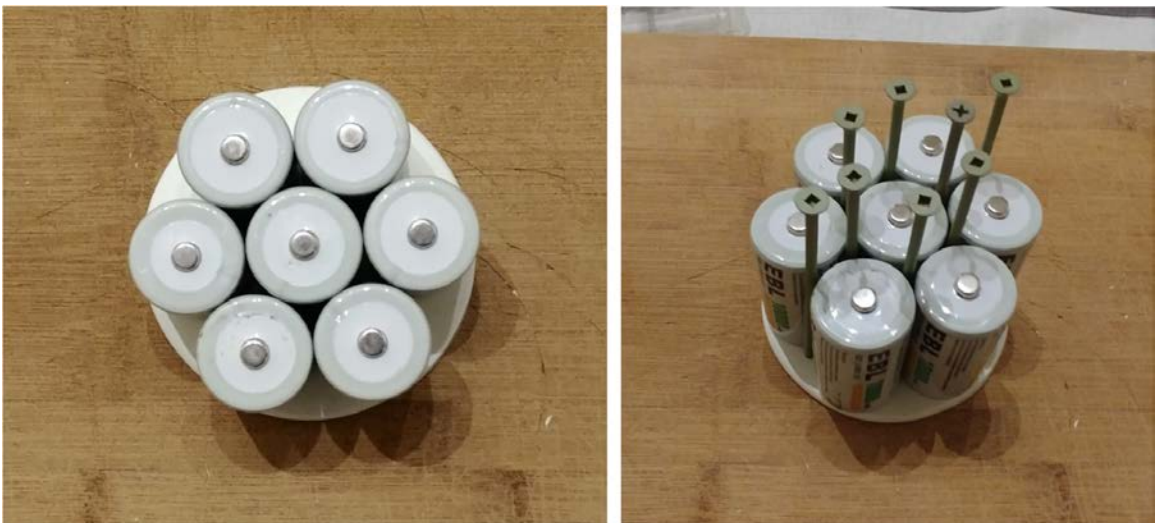


Figure A.16: Locating holes to drill on the discs.

3. Place seven D-cell batteries perfectly centered on top of the disk. Place eight nails (or screws) between the D-cell batteries as shown in Figure A.16.
4. Gently tap on the nails with a hammer to leave a mark on the disk. Remove the batteries and nails, and drill holes with a drill at each mark on the disk. These holes will be used for holding the rods. The two holes indicated with the green circles will be for the longer rods going up to the front-view window of the pressure housing. Drill two additional holes (indicated in red in the figure below and referred to as “wire holes” in the following steps) large enough to fit seven wires (Figure A.17).



Figure A.17: Drilling of the holes on the discs.

5. Repeat steps 1-4 twice to obtain three disks. The first disk, referred to as “positive disk”, will hold all the positive (+) battery connectors (P21 plates). The second disk, referred to as “negative disk”, will hold all the negative (–) battery connectors (P21 springs). The third disk, referred to as “connector-free disk”, will serve as a structural component and will not have any connectors attached to it. The connector-free disk should not have any cable holes drilled into it (i.e. red-labeled holes depicted in the figure above).
6. Solder red wires to the seven positive battery connectors (P21 plates), glue them to the positive disk, and pass the free end of the wires through one of the wire holes (Figure A.18). The red wires should be  $\sim 40$  cm long.

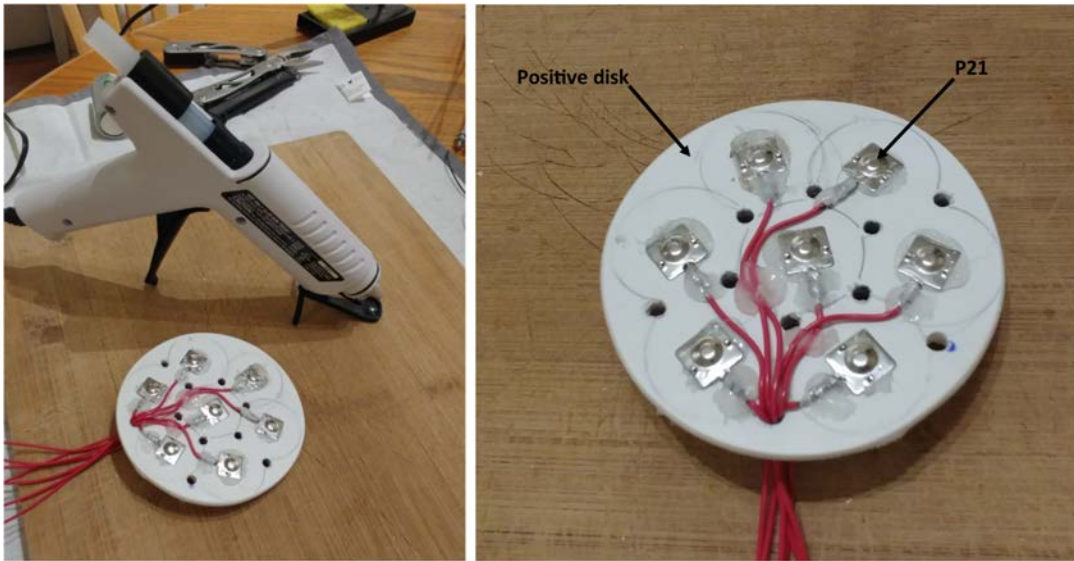


Figure A.18: Battery connectors glued to the discs.

7. Repeat step 6, but this time using black wires and the negative battery connectors (P21 springs) on the negative disk. The black wires should be  $\sim 15$  cm long.
8. With a metal saw cut six 28.6 cm (11.25 inch) rods (P23), and two 40 cm rods (Figure A.19).



Figure A.19: Cutting of the metal rods.

9. Attach all the rods to the connector-free disk using washers (P24), lock-washers (P26) and nuts (P25) on both sides. For one of the central rods, the washers, lock-washers and nuts should only be used on the external side of the disk, so it can be easily removed when installing the D-cell batteries. The longer 40 cm rods should be in the outside holes (depicted in green in the figure of step 4) and the short ones should be in the central holes. b) Pass the red wires of the positive disk through the second wire hole, then slide the disk through the rods and stack it on top of the connector-free disk (Figure A.20).
10. Slide the negative disk through the rods with the spring connectors facing the plate connectors of the positive disk, and secure it to the rods using the washers (P24), lock-washers (P26) and nuts (P25) on both sides. As for the positive disk, one of the central rods should have washers, lock-washers and nuts on one side only to be able to remove the rod easily when installing the D-cell batteries. Use four D-cell batteries between the central rods to ensure the distance between the positive and negative disks is appropriate (i.e. long enough to fit four D-cell batteries, but short enough to maintain the D-cell batteries tightly in place).

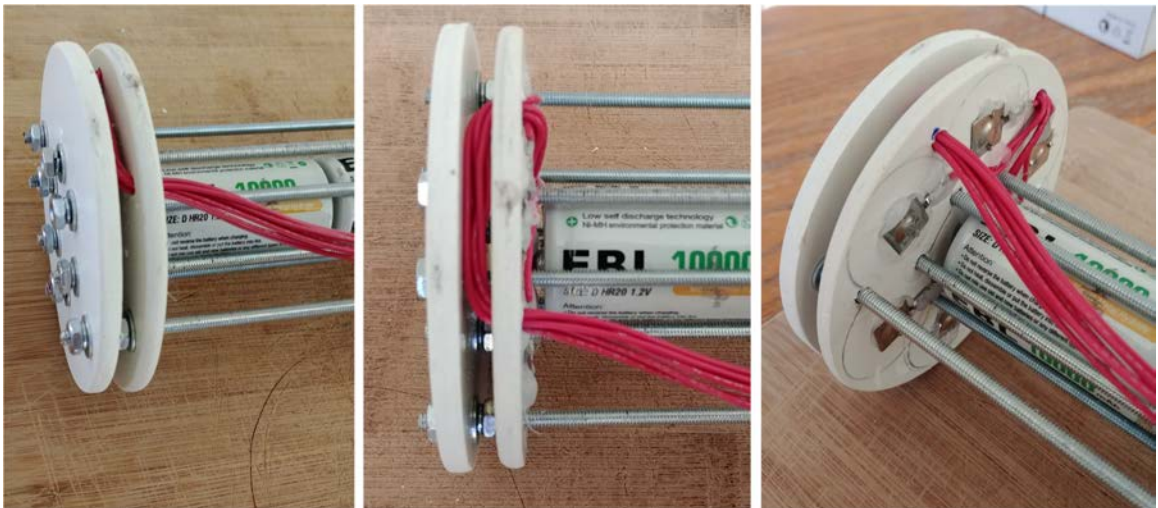


Figure A.20: Assembly of the rods and discs.

Finally, pass the red wires from the positive disk through the remaining wire hole of the negative disk (Figure A.21).

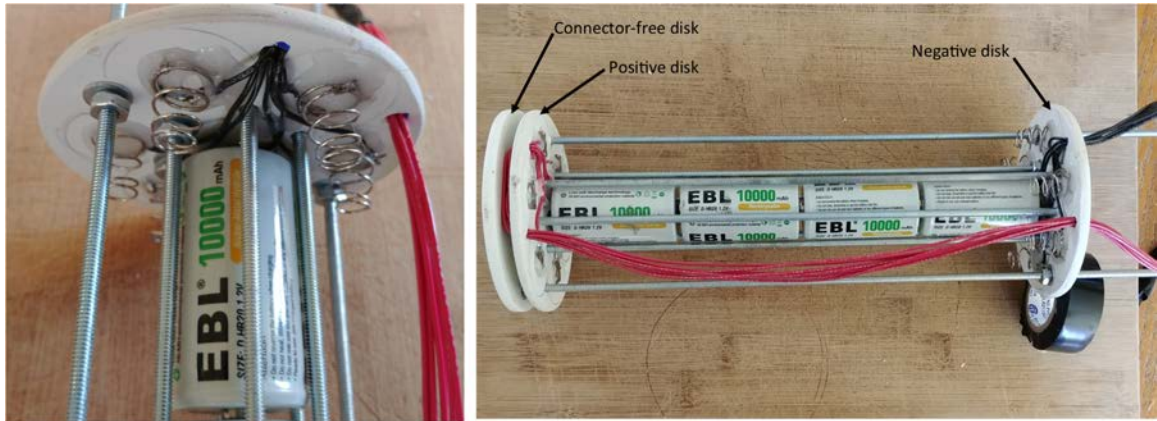


Figure A.21: Assembly of the negative discs.

11. Solder the free end of the red and black wires to the Molex battery connector (P13, Figure A.22).

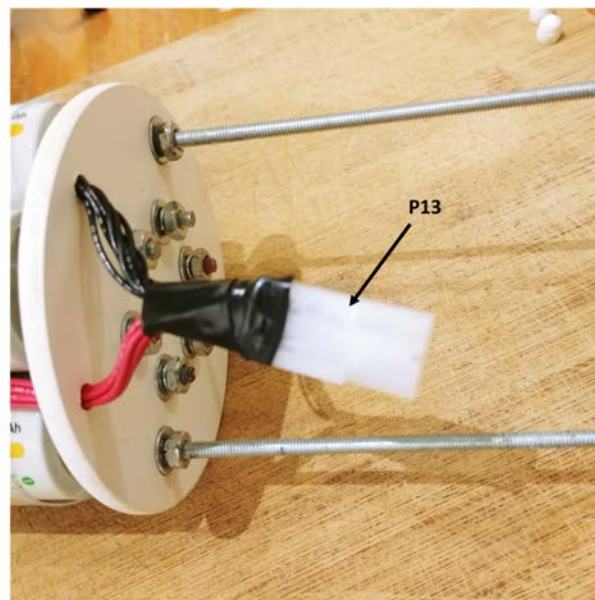


Figure A.22: Soldering of the Molex connector.

12. Cut a small piece of metal hanger strap (P31), bend it as a finger handle and attach it to the outside of the connector-free disk with the washers (P24), lock-washers (P26) and nuts (P25, Figure A.23).



Figure A.23: Attachment of the handle.

13. Slide the mounting plate with the electronics through the rods (Figure A.24).

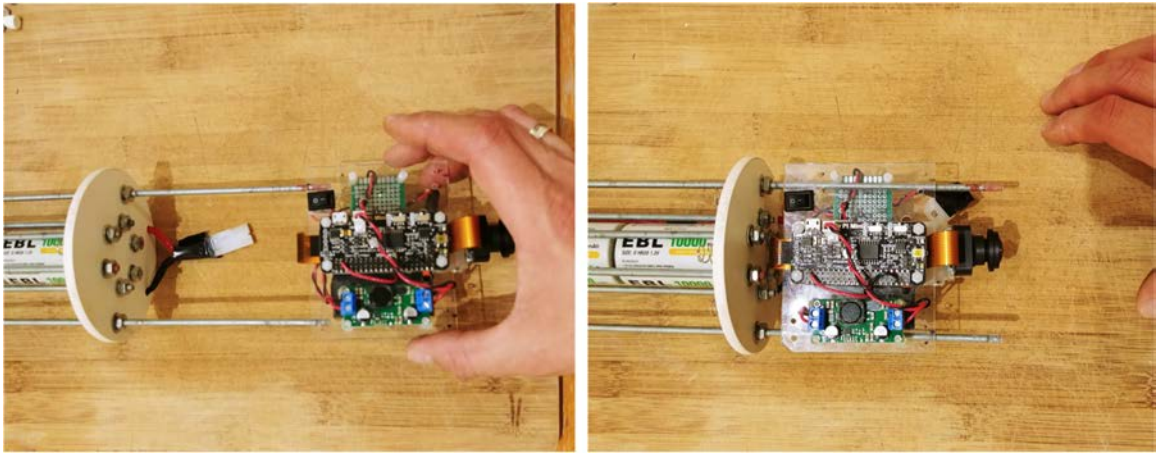


Figure A.24: Assembly of the electronics with the internal frame.

14. Secure the mounting plate to the rods with four small cable ties (P32) and connect the board to the battery pack with the Molex battery connectors (P13, Figure A.25).

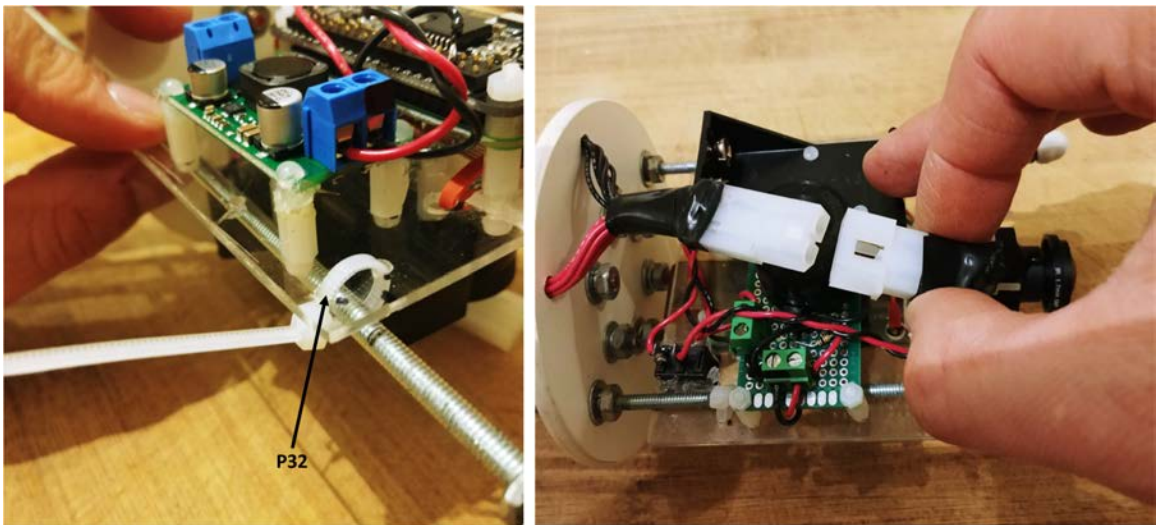


Figure A.25: Connection of the electronics to the battery pack.

15. Add a nut (P25), lock-washer (P25), and cap nut (P27) to the end of the two long rods and cover the cap nut with a screw protector (P28, Figure A.26).
16. The inside of the FishCam is now assembled (Figure A.27).

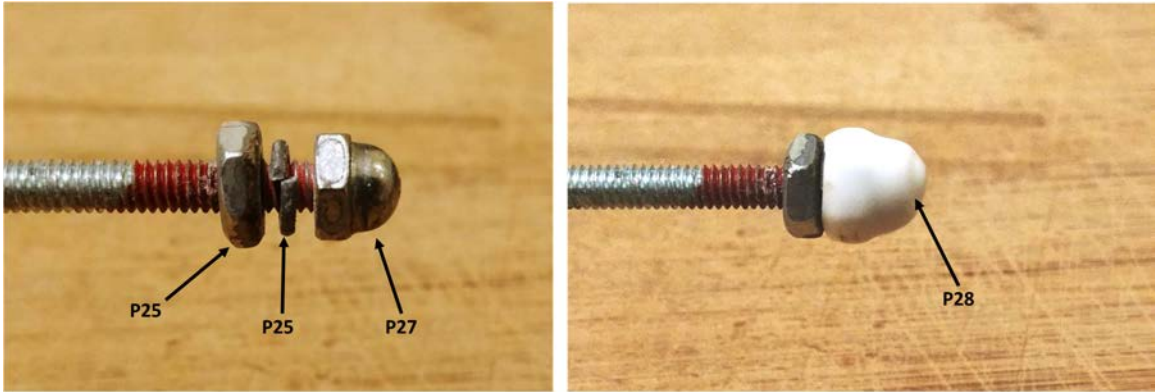


Figure A.26: Assembly of the cap nut and screw protector.

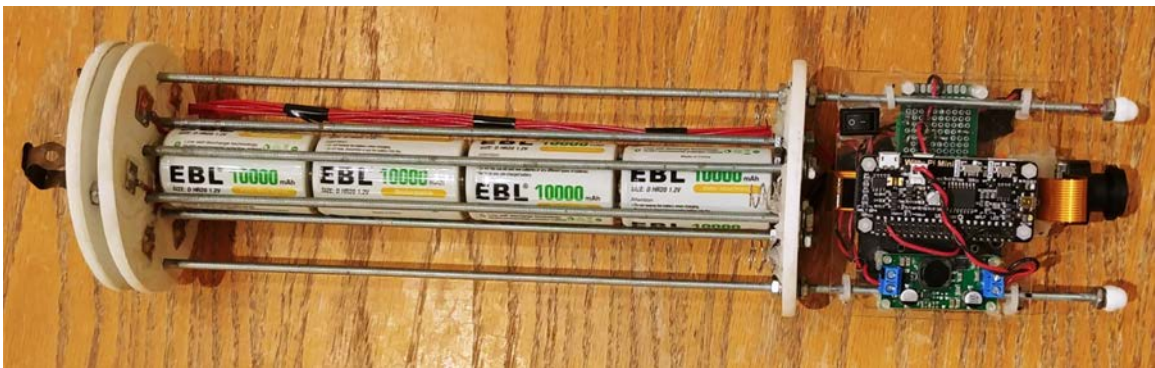


Figure A.27: Inside of the Fishcam fully assembled.

### A.2.3 Building the pressure housing and external attachment

All the instructions to build the custom PVC housing are in the supplementary material of Bergshoeff et al. [98]. The off-the-shelf 4" pressure housing can easily be ordered from the Blue Robotics website (<https://bluerobotics.com/store/watertight-enclosures/4-series/wte4-asm-r1/>).

The external attachment for the FishCam is assembled as follows.

1. Using a saw, cut an ABS 4" × 4" × 2" tee fitting (P35) in half, lengthwise (Figure A.28).



Figure A.28: Cutting of the tee fitting.

2. Glue a 2" to 1-1/2" flush bushing fitting (P36) to the tee fitting using PVC cement (Figure A.29).
3. a) Cut a small piece of 1-1/2" PVC pipe (P38) and attach it to the PVC union fitting (P37) using PVC cement. b) Attach the PVC union to the tee fitting using PVC cement (Figure A.30).
4. Repeat steps 1-3.
5. Place the two halves of tee fittings on either side of the pressure housing and secure with three adjustable stainless steel collars (P39, Figure A.31).



Figure A.29: Installation of the bushing on the tee fitting.



Figure A.30: Installation of the PVC union fittings.



Figure A.31: Installation of PVC attachments to the pressure housing of the Fishcam.

#### A.2.4 Installing the D-cell batteries

This section describes the steps to install the D-cell batteries in the FishCam.

1. Test with a voltmeter that all the D-cell batteries are properly charged.
2. Unscrew the end of one of the central rods (the one with no bolts on the inside of the negative disk) and slide it out. Insert the four D-cell batteries in the central battery stack. Slide the rod back and secure it with the bolts and nuts (Figure A.32).
3. Wrap the laminated plastic sheet (P29) around the rods and hold it in place with your hand.
4. Slide the plastic sheet down towards the positive-disk and insert the first row of 6 batteries.

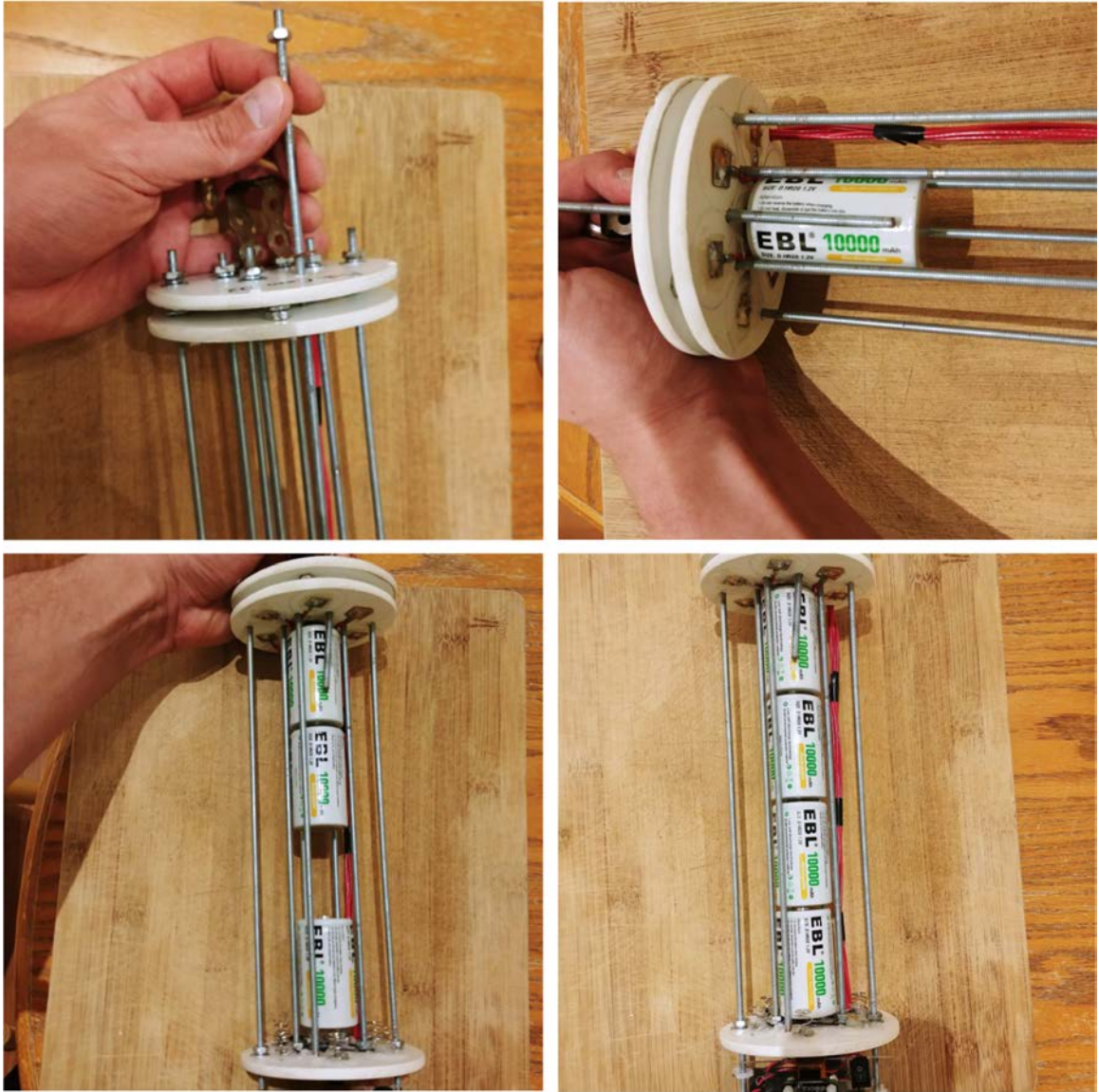


Figure A.32: Installation of the D-cell batteries in the central stack.

- Slide the plastic sheet slightly up and insert the second row of batteries. Repeat this process for the third and fourth rows of batteries.
- Hold the plastic sheet tightly around the battery pack with your hand and use electrical tape to keep it in place. At this point the batteries should be well secured and not moving (Figure A.33).

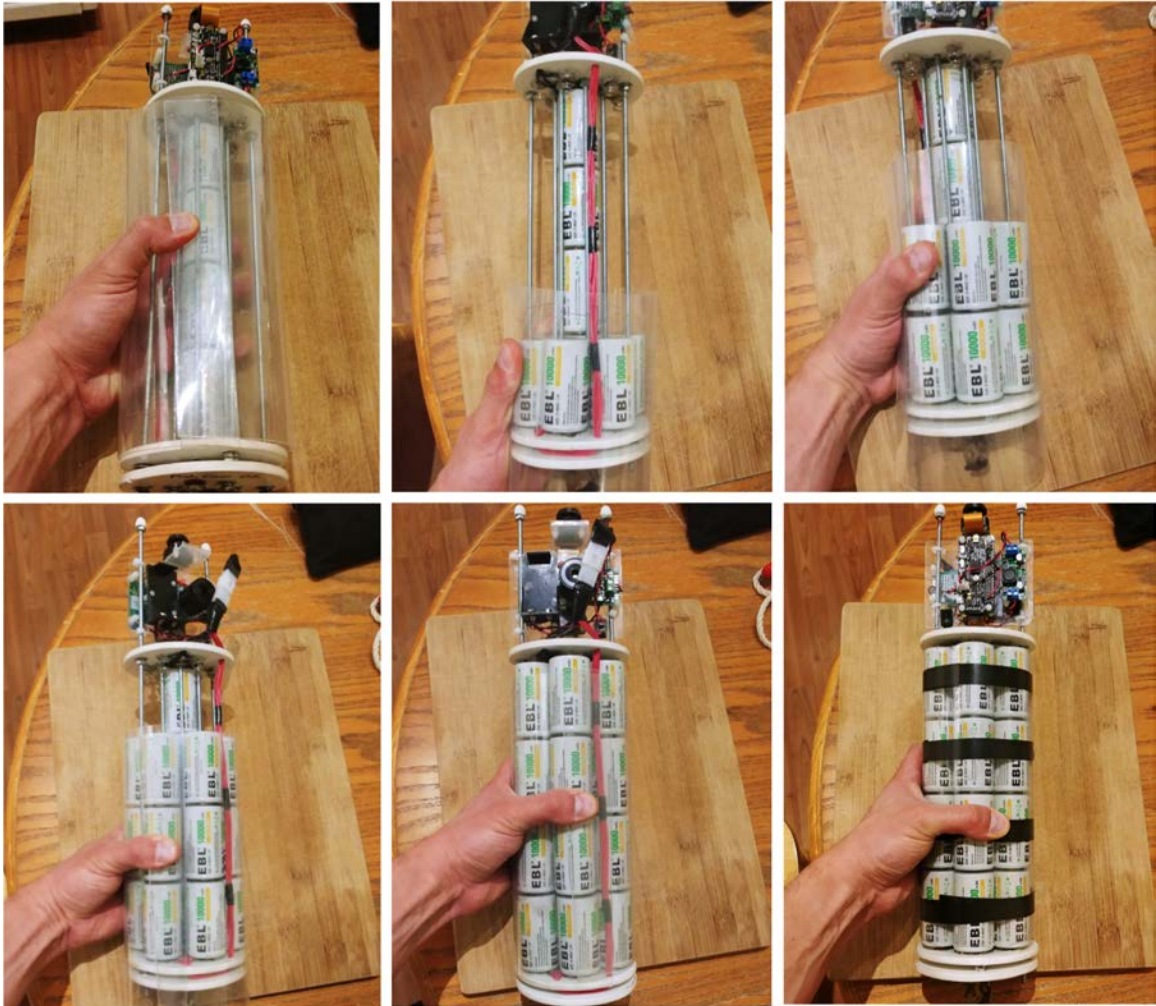


Figure A.33: Installation of the rest of the D-cell batteries.

- Measure the voltage of each 4-battery stack with a voltmeter. All stacks should have the same voltage value.

## A.3 Operation instructions

This section describes how to set the FishCam to start recording when it is powered ON and how to adjust the video settings (frame rate, ISO, resolution, etc). For these instructions you need to have the FishCam started and have access to the FishCam OS either directly via a mouse, keyboard and monitor, or remotely via an SSH connection (see section A.3.7).

### A.3.1 Installing the software suite

Setting up the software requires both a computer and the FishCam. It is assumed here that the computer is using a recent version of the Microsoft Windows operating system (OS). Using a computer with a different OS is possible but is not documented here. It is also assumed that a Wifi connection with access to the internet is available. Steps 1-6 are performed on the Windows computer, and steps 7-14 are performed on the FishCam itself. For all these steps, it is recommended to power the FishCam via the power USB port of the Raspberry Pi using an external power supply (i.e. not the D-cell battery pack).

1. Take the microSD card (P9) and connect it to your computer.
2. Use free software such as *SD Card Formatter* to format the microSD card.
3. Download the image (.img) file *Raspbian Buster with Desktop* from the Raspberry Pi website (<https://www.raspberrypi.org/downloads/raspbian/>).
4. Copy the Raspbian image to the microSD card using free software such as *Win32 Disk Imager*.
5. Once Raspbian is installed on the microSD card it will appear on the computer as two separate drives *boot* and *rootfs*. On the *boot* drive, open the file *config.txt* with a text editor (e.g. Notepad) and add the line *dtoverlay=pi3-disable-bt* at the end of the file. Save and close the text editor. This step turns off the Bluetooth capabilities of the Raspberry Pi to save power. Ignore this step if you need to use the Bluetooth.
6. Eject the microSD card from the computer and insert it in the FishCam's Raspberry Pi.

7. Connect a mouse, keyboard and monitor to the Raspberry Pi and turn the power on. This step will require a micro-USB to USB adapter, a USB hub, and a mini-HDMI to HDMI adapter. The Raspberry Pi will boot and the graphical interface of Raspbian will appear on the monitor.
8. Upon the first start, a window will appear with instructions to configure the OS. Follow all the instructions to set up the country, password, wifi connection, and updates.
9. If not already done, connect to your Wifi network using the Wifi icon at the top right of the screen.
10. Open a terminal window and type the following commands:
  - (a) Ensure the OS is up to date:
    - **sudo apt-get update**
    - **sudo apt-get upgrade**
  - (b) Install Python 3:
    - **sudo apt-get install python3**
  - (c) Install the python picamera library:
    - **sudo apt-get install python3-picamera**
  - (d) Install the python GPIO library:
    - **sudo apt-get install python3-rpi.gpio**
  - (e) Install the crontab job scheduling tool:
    - **sudo apt-get install cron**
  - (f) Install Git to download the FishCam scripts from GitHub:
    - **sudo apt-get install git**
  - (g) Download the FishCam scripts from GitHub:
    - **cd /home/pi/Desktop/**
    - **git clone https://github.com/xaviermouy/FishCam.git .**  
(notice the “ . ” at the end)
    - A folder named “FishCam” should now be on the Desktop and have the scripts to run the FishCam.

- (h) Install the WittyPi software:
  - **wget http://www.uugear.com/repo/WittyPi2/installWittyPi.sh**
  - **sudo sh installWittyPi.sh**
  - When prompted, type **y** to remove *fake-hwclock*, and **n** to not install Qt5
- 11. Restart the Raspberry Pi to apply all the changes.
- 12. Once Raspbian has restarted, open a terminal window and type **sudo raspi-config** to configure the Raspberry Pi:
  - (a) If not done already, change your user password in the menu **Change User Password**.
  - (b) In the menu **Network Options** select **Hostname**, and change it to **fishcam01**. Other hostnames can be chosen, but it has to be explicit enough to be easily identifiable on a network.
  - (c) In the menu **Change Boot Options** and **Desktop/CLI**, select **Desktop Auto Login**.
  - (d) To set FishCam time to UTC, in the menu **Localisation Options**, select **Change Time Zone**, then **None**, and **GMT**.
  - (e) In the menu **Interfacing Options** set the **Camera (CSI camera interface)** and **SSH connection** to **enabled**.
  - (f) Ensure the entire microSD card is used by selecting **Expand Filesystem** in the menu **Advanced Options**.
  - (g) Select **Finish** to exit raspi-config.
- 13. At this point all the necessary software are installed.
- 14. Optional: shut down the Raspberry Pi, take the microSD card out and connect it to your computer. Use free software such as *Win32 Disk Imager* to take an image of the microSD card with all the software installed. The image file created may be used to set up another FishCam without having to go through all the installation steps described above.

### A.3.2 Automatic start of the recordings

The crontab job scheduler is used to start acquiring video when the FishCam is powered ON (i.e. rebooted). Open a terminal window and type the following commands:

1. Edit the job schedule by typing: **crontab -e**
2. The first time you run crontab you will be prompted to select an editor. Choose Nano by pressing Enter.
3. Once the schedule is open in Nano:
  - (a) Scroll down with the down-arrow key to the bottom of the document and type  

```
@reboot sh /home/pi/Desktop/FishCam/script/camStartup.sh &
```
  - (b) Save the changes by pressing CTRL + O, then press Enter to confirm.
  - (c) Exit Nano by pressing CTRL + X.
4. Verify that the schedule has been saved:  
**crontab -l**
5. Close terminal

### A.3.3 FishCam ID

If you are using several FishCams, it may be useful to assign a unique ID to each of them. This ID will be used at the beginning of the filename of each video being recorded. To modify the FishCam ID:

1. Go to the folder **/home/pi/Desktop/FishCam/script/**
2. Open the file **FishCamID.config** with a text editor.
3. Type the FishCam ID, save, and close the text editor. By default FishCam ID is set to *FishCam01*.

### A.3.4 Camera settings

All the camera settings are defined in the python script `captureVideo.py` located in the folder `/home/pi/Desktop/FishCam/script/`. To change the settings, open the script `captureVideo.py` with a text editor and adjust the parameters defined in the function `initVideoSettings()`. For more information about the different parameters, refer to the documentation of the `picamera` library [101].

---

```
def initVideoSettings():
    videoSettings = {
        'duration': 300,          # files duration in sec
        'resolution': (1600,1200),
        'frameRate': 10,        # frame rate fps
        'quality': 20,          # 1 = best quality, 30 poorer quality
        'format': 'h264',       # 'h264', 'mjpeg'
        'exposure': 'night',    # 'auto', 'night', 'backlight'
        'AWB': 'auto',          # 'auto', 'cloudy', 'sunlight'
        'sharpness': 0,         # integer between -100 and 100, auto: 0
        'contrast': 0,          # integer between -100 and 100, auto: 0
        'brightness': 50,       # integer between 0 and 100, auto: 0
        'saturation': 0,        # integer between -100 and 100, auto: 0
        'ISO': 400,             # auto: 0
        'vflip': False
    }
    return videoSettings
```

---

### A.3.5 Configuring the duty cycles

The duty cycles of the FishCam are configured with the software provided with the Witty Pi and installed in section A.3.1. In this section, it is assumed that the FishCam is connected to the internet via Wifi.

1. Create a Witty Pi schedule script (i.e. `.wpi` file) to define the ON and OFF sequence needed and save it in the folder `/home/pi/WittyPi/schedules/`. The name of the `.wpi` file should be explicit and self-explanatory (e.g. `textitschedule_fishcam_fall_UTC.wpi`). Examples of schedule scripts can be found in the folder `textbf/home/pi/Desktop/FishCam/wittypi_schedules/`. For more information on how to define ON/OFF sequences, refer to the Witty Pi user manual.

2. Open a terminal window:
  - (a) Ensure the clock of the FishCam is at the correct time by typing the command **date**. If the date and time displayed are not correct, make sure that the FishCam is connected to the internet. The time should be updated automatically once an internet connection is established.
  - (b) Start the Witty Pi software:  
`sudo sh /home/pi/WittyPi/wittyPi.sh`
  - (c) Select menu item **3: Synchronize time**.
  - (d) Ensure the system clock and the RTC clock at the top of the menu options show the same time. If not, re-run the time synchronization.
  - (e) Select menu item **6: Choose schedule script**, and choose the name of the schedule script you created at the first step.
  - (f) Select menu item **8: Exit**
3. The duty cycles are now activated and the FishCam will start to turn ON and OFF based on the schedule defined.

**Note: The witty Pi mini cannot remember the time (RTC) without power for more than 17 hours. Consequently, the time synchronization and schedules should be defined no more than 17 hours before the deployment of the FishCam.**

### A.3.6 Configuring the buzzer

The beeping sequence of the buzzer can be defined by editing the file `/home/pi/Desktop/FishCam/script/runBuzzer.py` with a text editor. The five parameters below can be adjusted:

- **beep\_dur\_sec**: Duration of a single beep in seconds.
- **beep\_gap\_sec**: Duration of the silence between beeps in seconds.
- **beep\_number**: Number of beeps in a sequence.
- **number\_beep\_sequences**: Number of sequences.
- **gap\_btw\_sequences\_sec**: Duration of the silence between sequences.

Figure A.34 illustrates the different parameters that are used to define the beeping sequences.

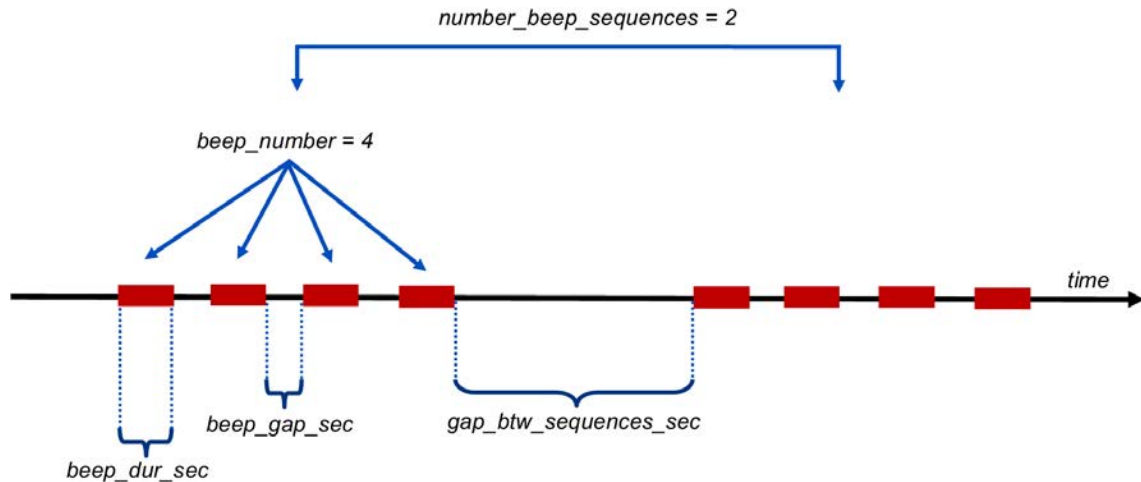


Figure A.34: Illustration of the parameters defining the beeping sequences of the buzzer.

### A.3.7 Accessing the FishCam wirelessly

While connecting the FishCam to a keyboard, mouse and monitor is needed at the start to install the required software, it is not a necessity thereafter. Changing the configuration and operating the FishCam can be done wirelessly via a mobile device or a regular computer. The easiest way to do this is to create a local network by turning a cell phone into a Wifi hotspot. This works equally well on Android or Apple devices, but the steps to follow using the Android 9 OS on a LG G6 phone are given here.

#### Creating a Wi-fi hotspot

1. On your Android mobile device go to the **System** menu, then **Network & internet**, **Tethering**, and **Wi-fi hotspot**.
2. Choose **Set up Wi-fi hotspot** and enter the Wi-fi name and password of your choice then save. In the example below, the name of the Wi-fi hotspot is *xraspi* (Figure A.35).
3. Turn ON **Wi-fi Sharing** and finally turn ON the Wi-fi hotspot on your phone.

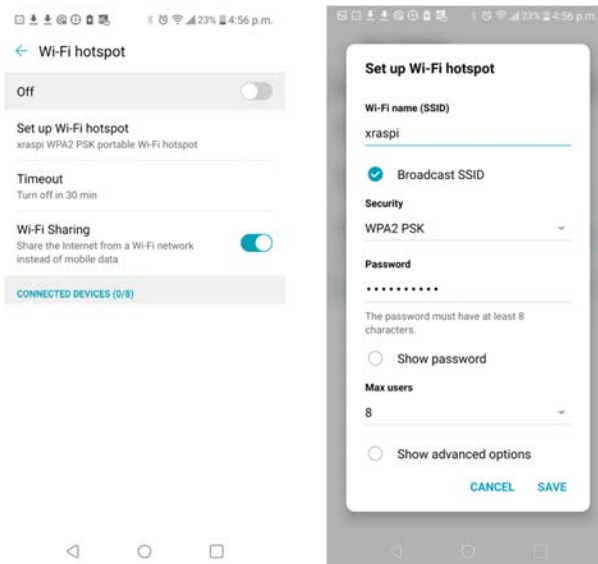


Figure A.35: Setting up a Wi-fi hotspot.

4. Connect the FishCam to a keyboard, mouse and monitor and turn it on. This will be the last time you will need to connect the FishCam to a monitor.
5. Once started, click on the Wi-fi icon at the top right of the screen, select your Wi-fi hotspot (here xraspi) and enter the corresponding password (the one set in step 2). Now the FishCam should connect to this network automatically if it is available. To ensure this is actually the case, before taking off the keyboard, mouse and monitor, you can reboot the FishCam and confirm that it connects automatically to the Wi-fi hotspot.
6. At this point all the keyboard, mouse, and monitor can be disconnected.

### Controlling the FishCam from a mobile device

Several phone applications exist to control the Raspberry Pi. Here we provide instructions for the free application RaspController by Ettore Gallina.

1. Turn ON the Wi-fi hotspot on your cell phone.
2. Turn ON the FishCam.
3. On you cell phone go in the menu **System**, then **Network & internet**, **Tethering**, and **Wi-fi hotspot**.
4. After a moment the FishCam should appear in the “Connected Devices” section. Note the FishCam’s IP address (in the example in Figure A.36, the IP address is 192.168.43.95)
5. Start the application RaspController on your phone, add a device (+ sign at the top right), then enter the IP address and password (the one defined in section A.3.1) of the FishCam and save.
6. Once connected to the FishCam, you can browse through the folders and files via the **File Manager** menu, open a terminal window via the menu **Shell SSH**, and monitor the FishCam resources via the menu **Cpu, RAM, Disk monitoring** (Figure A.37).
7. Through the File Manager, it is possible to monitor that everything is working properly by verifying that video files are being recorded in the folder `/home/pi/Desktop/FishCam/data/`, verify their size, and read the logs to ensure all



Figure A.36: FishCam connected to the wi-fi hotspot.

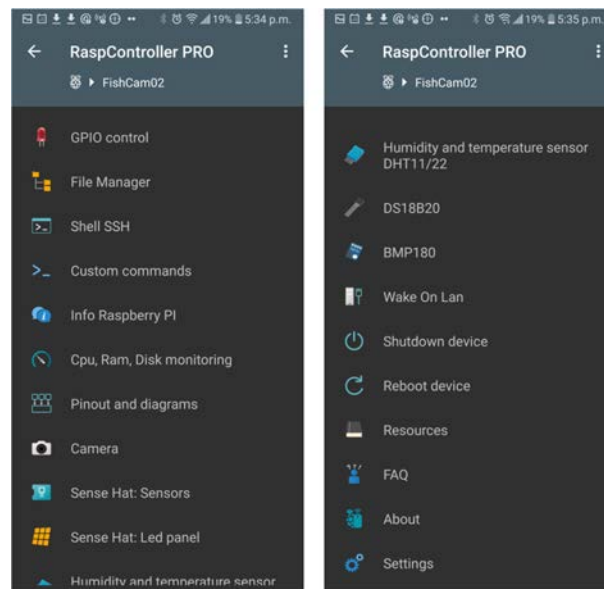


Figure A.37: RaspController's interface to control and monitor the FishCam.

processes started properly and that there are no errors (Figure A.38).

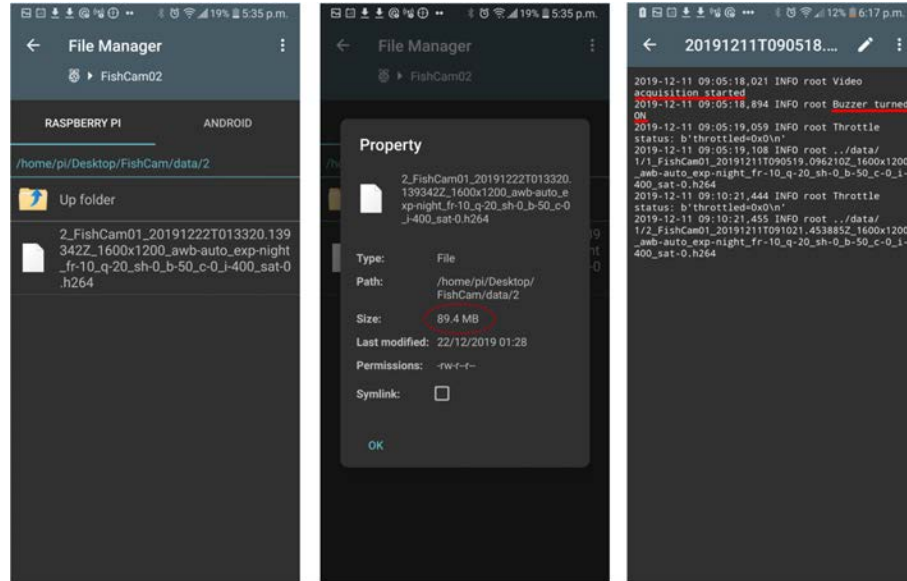


Figure A.38: Monitoring the status of the data acquisition.

## Controlling the FishCam from a computer

The FishCam can also be accessed wirelessly from a computer. While there are several ways to do this, here we provide instructions using a Wi-fi hotspot (section A.3.7).

1. Turn ON the Wi-fi hotspot on your cell phone.
2. Connect to the Wi-fi hotspot on your computer.
3. Turn ON the FishCam.
4. On your cell phone go into the **System** menu, then **Network & internet**, **Tethering**, and **Wi-fi hotspot**.
5. After a moment the FishCam should appear in the “Connected Devices” section. Note the FishCam’s IP address.
6. On your computer, use a SFTP client such as FileZilla to connect to the FishCam and browse through the folders and files. You will need to connect using the FishCam’s IP address, username (i.e. “pi”), password (the one defined in section A.3.1) and using the port 22.

7. On your computer, use an SSH client such as Putty to access the terminal console of the FishCam. You will also need to connect using the FishCam's IP address, username, password, use port 22 and an SSH connection type.

### A.3.8 Downloading data from the FishCam

The fastest way to download the data from the FishCam, is to take the microSD card out, connect it to a computer, and copy the **data** and **logs** folders to the computer. It is also possible to connect wirelessly to the FishCam from a computer and transfer the data via a SFTP client software (see section A.3.7). It is recommended to download both the **data** folder, which contains all the video files, and the **logs** folder, which contains information about the start time of the buzzer sequences and any errors that may have occurred during the data acquisition.

**Data folder:**

/home/pi/Desktop/FishCam/data/

**Logs folder:**

/home/pi/Desktop/FishCam/logs/

### A.3.9 Pre-deployment checklist

In order to minimize failures, it is recommended to go through the following steps before deploying the FishCam in the field.

- D-cell batteries at least 1.4 V.
- All wires properly connected and undamaged.
- Camera ribbon connector properly attached/not loose.
- Camera lens clean and free of debris.
- Buzzer's 9 V battery connected.
- O-rings of the pressure housing clean and greased with lube.
- Front-view window clean.
- Time on the FishCam's clock is correct (check with the command "date" in an SSH terminal).

- RTC of the WittyPi is synchronized and ON/OFF schedule is operational.
- Buzzer rang after power turned ON.
- Files recording properly (check number of files recorded, file sizes and logs via SSH).

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