

# Effect of oxidation on the optical properties of $\text{Zn}_3\text{N}_2$ powders

by

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B.Sc., University of Tehran, 2007

A Thesis Submitted in Partial Fulfillment

Of the Requirements for the Degree of

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## **Supervisory Committee**

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## Abstract

### Supervisory Committee

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Zinc nitride is currently attracting research interest because of its potential for novel electronic and photonic properties. In this thesis the optical properties of  $Zn_3N_2$  powders have been investigated by photoluminescence (PL) and diffuse reflectance spectroscopy (DR) measurements. The micro structure and composition of zinc nitride were assessed by scanning electron microscopy (SEM) and powder X-ray diffraction (PXRD). Measurements of PL, PXRD and DR were carried out on zinc nitride powder samples with different oxygen-nitrogen (O/N) ratios. Photoluminescence spectroscopy of the zinc nitride powder samples allows us to find the optical bandgap of the samples. To the best of our knowledge, this is the first report on the low temperature photoluminescence of zinc nitride powder. This showed us how the band gap energy depends on temperature. The diffuse reflectance measurement let us determine a direct bandgap of 1.12eV for  $Zn_3N_2$  powders and the PL measurements also demonstrated emission at the same photon energy. In this work, the effect of oxidation on the optical properties has been investigated. The surface oxidation of  $Zn_3N_2$  powders and the oxygen-nitrogen (O/N) ratio were detected through PXRD scans. Our measurement show that the optical bandgap energy shifts to lower energy due to the oxygen incorporation. The reduction of the  $Zn_3N_2$  bandgap by oxygen incorporation can be explained by a resonant interaction between the extended states of the conduction band of  $Zn_3N_2$  and localized oxygen states near the conduction band edge. Additionally, the thermal nitriding process was carried out on the oxidized  $Zn_3N_2$  powders to vary the O/N ratio which increased the bandgap energy. From our result, the optical bandgap of the  $Zn_3N_2$  powders is estimated to be  $\sim 1.2$  eV which decreases by small amount of oxygen contamination due to exposure to air. Powder XRD measurements of thermal oxidation of  $Zn_3N_2$  indicated that the oxidation of these powders is slow at room temperature.

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## **Dedication**

To Haleh, my best motive

and

My family for their unconditional love and support



## 1. Introduction

Zinc nitride is one of the group II-V semiconductors which are much less studied materials than III-V semiconductors. For this reason, most of its electronic and photonic properties need further investigation in detail. Zinc nitride is an excellent choice for mass production in the electronic industry because of its non toxic nature and low materials cost. In order to use zinc nitride in optoelectronic devices [1], photovoltaic and sensor applications [2], we need to get accurate information about its fundamental properties such as its optical bandgap. Until now, different bandgap values between 0.85eV [3] to 3.4eV [4] have been reported. The reported values of the bandgap vary depending on the growth techniques and conditions for synthesizing  $Zn_3N_2$ , which makes its bandgap a controversial issue. Recently, the effect of oxygen on bandgap energy of  $Zn_3N_2$  has been received special attention from researchers. However the effect of oxygen contamination on the optical properties of  $Zn_3N_2$  is still unclear.

Zinc nitride powder was first synthesized by Juza and Hahn in 1940; it has remained a relatively unstudied material for the past 50 years [5]. Partin *et al.* [6] refined the  $Zn_3N_2$  structure from powder diffraction data for the first time. Zinc nitride has a black color and the antibixbyite structure. Futushara et al. [7] investigated the optical properties of  $Zn_3N_2$  thin films produced by reactive RF magnetron sputtering which had a direct bandgap of 1.23eV. Furthermore recent theoretical calculations such as density-functional theory (DFT) have shown that  $Zn_3N_2$  is expected to have a small bandgap in the range of 0.9-1.2 eV [8, 9]. If the bandgap is in the 1.1-1.4 eV range, zinc nitride can be considered as a promising material for solar cell applications. Zinc nitride has been prepared in different nanostructures with different reported bandgap values, including nanoneedles ( $E_g=2.7$  eV) [10], hollow structures ( $E_g=2.8$  eV) [11], and zinc nitride nanocrystals ( $E_g=1$ eV) [12]. Also, thin films of  $Zn_3N_2$  have been produced by a variety of the

methods including pulsed laser deposition [13], metal organic vapour deposition [14], and molecular beam epitaxy [15]. Lately, single crystal  $Zn_3N_2$  films have been grown by plasma-assisted molecular beam epitaxy with an optical bandgap around 1.25–1.28 eV [16]. Therefore after multiple studies, the exact bandgap value of  $Zn_3N_2$  is still a subject of debate since many different bandgap values have been reported.

In this study, some optical and micro-structure properties of the zinc nitride powder have been investigated. The scanning electron microscopy (SEM) and powder x-ray diffraction (PXRD) methods were used to find the structural properties and chemical composition of  $Zn_3N_2$  powders. Information about shape, size, and surface composition could be obtained from these methods as well as information about the presence of oxygen impurities. The optical bandgap of  $Zn_3N_2$  has been determined through different methods. To the best of our knowledge, no report about low temperature photoluminescence of  $Zn_3N_2$  powder has been published. In this work, the bandgap of zinc nitride powder was found using photoluminescence measurements (PL) at both low temperature and room temperature. Also the diffuse reflectance (DR) measurements were used as an additional method to obtain the optical band gap value. In addition, some UV-Vis spectroscopy measurements have been done on  $Zn_3N_2$  powder to support our DR results. PL, DR and PXRD measurements were used to investigate the bandgap and structure of  $Zn_3N_2$  as a function of the oxygen-nitrogen ratio. To explore the effect of oxygen contamination on the bandgap of zinc nitride, thermal nitriding experiment was utilized to change the O/N ratio within  $Zn_3N_2$  structure. Finally, in order to explore the oxidation stability of  $Zn_3N_2$  powder on exposure to air, thermal oxidation has been studied.

## 2. Theory of measurement techniques

### X-Ray Powder Diffraction (PXRD)

X-ray powder diffraction is a widely used method for determining the composition of unknown polycrystalline materials. When the surface of a crystalline powder is exposed to an X-ray beam, the scattered X-ray beam produces a diffraction pattern which is characteristic of the structure. In powder X-ray diffraction, the diffraction pattern of the powder gives the information about the atomic arrangement in the crystalline solid and the value of the lattice parameter. All crystalline materials have particular diffraction patterns. So we can use the International Center for Diffraction Data (ICDD) database of diffraction patterns to identify any unknown compound. In addition, the purity of the sample can be estimated from the diffraction pattern if there is any crystalline impurity in the material.

$$n\lambda = 2 d_{hkl} \sin \theta \quad (2-1)$$

Eq.2-1 is the Bragg's condition required for diffraction. The diffraction pattern has a peak when constructive interference occurs due to reflection from different layers. Diffraction patterns are plotted as an absolute intensity vs. the  $2\theta$  angle of the detector. To analyze powder XRD data, we need to know the relation between the Miller indices and diffraction peaks. Therefore, we first determine which Miller indices (hkl) cause diffraction peaks for the crystal structure. The space between parallel planes of atom is defined as  $d_{hkl}$  which can be found by using the observed peak position within Bragg's condition, where  $\theta$  is the angle of incidence of X-rays,  $n$  is an integer and  $\lambda$  is the wavelength. [17]

## **Photoluminescence (PL)**

Photoluminescence (PL) in semiconductors is a promising way to get important information on the optical bandgap and electronic property of the material. Photoluminescence occurs when an optical source excites the surface of a material with a monochromatic light beam with a photon energy higher than the bandgap energy. Then electrons absorb the photon energy and move from the valence band to the conduction band and leave behind electron-hole pairs in the conduction and valence bands. The density of excited carriers differs according to the intensity of incident photons. The excited carriers release their stored energy by transferring from the conduction band to the valence band through recombination. This recombination can be radiative by emitting photon energy or non-radiative by defect or surface recombination. The radiation caused by recombination is called photoluminescence. If we have a pure material, band to band luminescence will occur through recombination of an electron in the CB with a hole in the VB. This radiative transition can give valuable information about the band structure. If there are impurity levels inside the forbidden band gap, electrons and/or holes can get trapped by these impurities. The recombination that happens through defect levels gives rise to low energy luminescence emission or non-radiative recombination without photon emission. Sometimes, the concentration of impurities is large enough and the energy is close to the band edge. In this case the impurities can alter the bandgap. All vacancies, interstitials or substitutional impurities may cause deep level defects in semiconductors [18] [19]. On the other hand, it's not easy to ascribe the observed PL spectra peak to specific impurities or defects. Figure 2.1 shows the difference between radiative band to band recombination and defect non-radiative recombination.

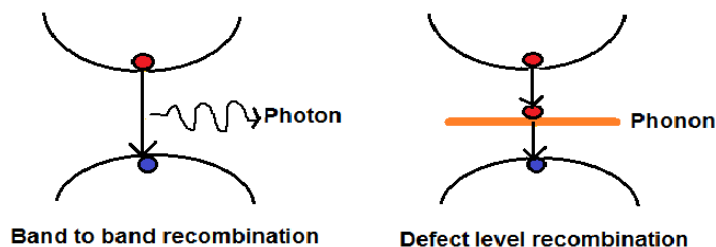


Figure 2-1. Comparison between radiative band to band recombination and non-radiative defect recombination

A PL spectrum plots the emission intensity vs. wavelength. To change the intensity as a function of wavelength to intensity as a function of energy, we can use the basic equation of photon energy which is  $E = h\nu$  to find the following equation:

$$I_E(E) = I_\lambda \left( \frac{hc}{E} \right) \frac{hc}{E^2} \quad (2-2)$$

where  $I_E(E)$  is final PL spectrum as intensity per unit energy. In this study, we measured the PL spectrum of zinc nitride to get information about its bandgap and electronic properties which has not been investigated before in powder samples.

### Low temperature photoluminescence

One of the standard ways to analyze the impurities is PL measurements at low temperature because PL measured at low temperature has sharp and strong spectral lines. This is particularly important in the case of band edge PL, in order to separate the band to band PL from the PL associated with shallow impurities [17].

### Diffuse reflectance spectroscopy (DR)

Diffuse reflectance spectroscopy (DR) is a well-known technique in the analysis of the optical properties of powdered samples. When the light beam goes into powder sample, it can be reflected off the surface of a particle or transmitted through a particle, or absorbed. In powder samples, diffuse reflection means reflecting the incident light at many angles rather than at just

one angle as in the case of specular reflection. The material is assumed to be uniform, isotropic, non-fluorescent and non-glossy to be able to scatter the light [20]. Also, the scattering and absorption of light in the material change depending on the color of the material. Figure 2.2 shows the principle of near infrared (NIR) diffuse reflectance spectroscopy. The diffuse reflectance of a powder is highly dependent on the absorption coefficient and is low for strongly absorbing materials.

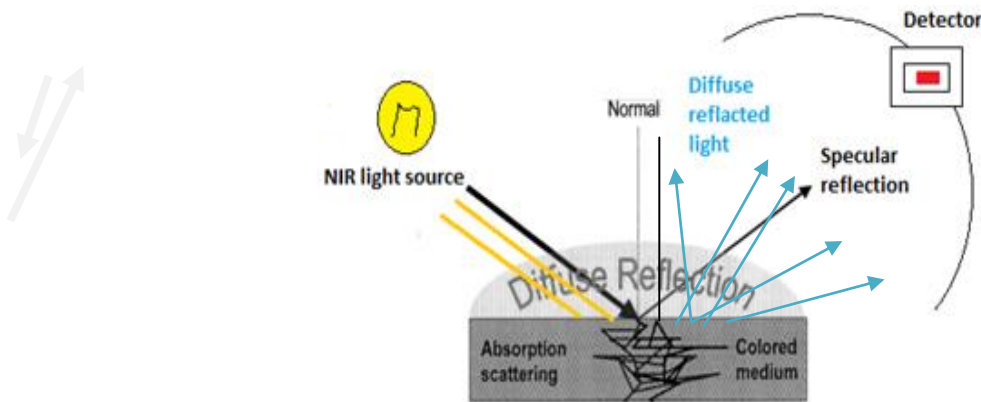


Figure 2-2. The schematic of the principle of diffuse reflectance spectroscopy

### Absorption of powder sample

There are several theories for calculating the optical properties of a material, from DRS data. The simplest practical method was defined by Kubelka and Munk in 1931. According to KM theory, the most straightforward measurement that provides the information about the absorption coefficient of a powder is diffuse reflectance spectroscopy. [21]

Kubelka and Munk assumed that the light can travel only upward and downward through the layer. The symbols  $I(x)$  and  $J(x)$  represent the downward and upward flux of light, respectively which can change as they propagate through a layer of thickness  $dx$  of material by absorption and scattering processes [22][23]. Then we can write a differential equation for both fluxes as follows:

$$dI/dx = -(k + s)I + sJ \quad (2-3)$$

$$dJ/dx = (k + s)J - sI \quad (2-4)$$

The quantities  $s$  and  $k$ , in units of  $\text{cm}^{-1}$ , are called the Kubelka-Munk scattering and absorption coefficients. By defining  $a=(s+k)/s$ , we can rewrite the equations more simply:

$$dI/sdx = -aI + J \quad (2-5)$$

$$dJ/sdx = aJ - I \quad (2-6)$$

Reflectance from the sample is similar to the transmittance in the Beer-Lambert law and is defined by the following equation:

$$R(x) = J(x)/I(x) \quad (2-7)$$

by combining equations of 2-5, 2-6 and 2-7, we can get a single differential equation:

$$dR/(R^2 - 2aR + 1) = sdx \quad (2-8)$$

after integration by using  $x=0$  and  $x=x$  limits, we get

$$sx = \{[1/(p - q)] \ln \{(R - p)/(R - q)\}\} \quad (2-9)$$

where  $p$  and  $q$  are roots of the equation  $R^2 - 2aR + 1=0$   $\{p = a + \sqrt{a^2 - 1}, q = a - \sqrt{a^2 - 1}\}$ .

$R_\infty$  is defined as the reflectance of an infinitely thick layer of the sample which means no light goes through this layer.  $R_\infty$  approaches  $q$  when  $x \rightarrow \infty$ . This leads us to the Kubelka-Munk equation  $F(R_\infty)$  for the absorption. Solving for  $k/s$  in terms of  $R_\infty$  we find:

$$sR_\infty = [k + s - \sqrt{k(k + 2ks)}] \quad (2-10)$$

$$F(R_\infty) = (1 - R_\infty)^2 / 2R_\infty = k/s \quad (2-11)$$

By using Eq. 2-11, we can determine the ratio of  $k/s$  from one measurement of reflectance  $R_\infty$  and more information is required to get the values of  $k$  and  $s$  separately. To get  $R_\infty$  in DR measurement, we need to use the following formula: [24]

$$R_\infty(\lambda) = R_{\text{sample}}(\lambda) / R_{\text{reference}}(\lambda) \quad (2-12)$$

where  $R_{\text{reference}}$  is a white reflectance standard.

## Band gap energy calculation

Now we have a relation between absorption coefficient and diffuse reflectance. Next step is to find a relation between diffuse reflectance and bandgap energy. There is a famous equation in the case of a parabolic band structure for a direct bandgap semiconductor that relates the absorption coefficient to the band gap energy  $E_g$  [25]:

$$(\alpha h\nu)^2 = C1 (h\nu - E_g) \quad (2-13)$$

where  $\alpha$  is the linear absorption coefficient of the material,  $h\nu$  is the photon energy,  $E_g$  is the bandgap and  $C1$  is a constant. The scattering coefficient is considered to be constant in the KM equation and this is a good assumption as refractive index also is constant in this particular area. So now we can exchange  $\alpha$  with the ratio of  $F(R_\infty)$  to conclude this equation:

$$[F(R_\infty)h\nu]^2 = C2 (h\nu - E_g) \quad (2-14)$$

and then by plotting  $[F(R_\infty)h\nu]^2$  versus  $h\nu$ , we can easily determine the value of the band gap energy  $E_g$ .

## Scanning electron microscopy (SEM)

Scanning electron microscopy (SEM) is a technique for high-resolution imaging of the surface of a material. Using electrons for imaging is the principle behind a SEM machine while visible light is used in a light microscope. In a SEM system, a thermal or field emission source produces the beam of incident electrons above the sample chamber by heating a tungsten filament, or by a field emission cathode in vacuum. The energy of the incident electrons can be varied depending on the accelerating voltage. The electrons are directed on the surface of sample as a small beam by using electromagnetic lenses and scanning coils near the end of the column. When incident electrons penetrate a sample, secondary electrons are generated near the sample surface by

inelastic scattering. The emitted secondary electrons from an extremely small area are sensitive to specific information about topographic features of the sample surface. Therefore, SEM high resolution images are created by using the secondary electron signals which are detected by an electron detector. [44]

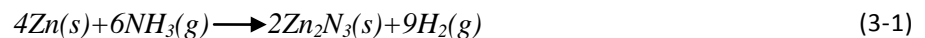
### 3. Experimental Methods

In this chapter, a description of all techniques and equipment that have been used in different experiments are provided.

#### Sample preparation

Zn<sub>3</sub>N<sub>2</sub> powders have been used for measurements in the PL, Powder XRD, and SEM setups. To do low temperature PL and DR measurements, all samples were in the form of a pressed pellet of powder formed with a pellet-forming die. Cylindrical pellets have 1cm diameter and about 0.5mm thick which made by applying a force of approximately 4 tons.

Zinc nitride powder with a purity of 99% was purchased from Alfa Aesar. According to G. Harris (personal communication, July11, 2016), this powder was synthesized by a nitridation reaction of Zn powder with NH<sub>3</sub> gas (flow rate 500 ml/min) at the nitridation temperature of 600 °C for 120 min. After this reaction solid zinc nitride was crushed to form a powder. The chemical reaction of the fabrication process is as follows:



Measurements of PL, PXRD and DR were carried out on different zinc nitride powder samples at room temperature. There are four Zn<sub>3</sub>N<sub>2</sub> powder samples (S1, S2, S3, and S4) with different oxygen contamination. S1 represents Zn<sub>3</sub>N<sub>2</sub> powder after one week exposure to air. S2 and S3

powders were exposed to air for one month and one year, respectively. S4 corresponds to the partially oxidized  $Zn_3N_2$  that was thermally nitrated.

### **Scanning electron microscopy**

SEM is used specifically to observe sample surface, shape and size at high magnification. We used the advanced microscopy facility of the University of Victoria (Hitachi S-4800) to record SEM images. We adjusted the SEM operation with an accelerating voltage of 1 kV to have resolution as small as  $5\mu m$ . Figure 3.1 shows the SEM (Hitachi S-4800). This system is equipped with two secondary electron detectors, a ring-type Yttrium Aluminum Garnet (YAG) backscattering detector and a Bruker Quantax EDX system for energy-dispersive x-ray spectroscopy (EDX).



Figure 3-1. SEM machine (Hitachi S-4800)

### **Photoluminescence setup:**

Photoluminescence (PL) in semiconductors gives valuable information about the electronic properties of materials, such as the optical bandgap energy and the efficiency with which the material emits light. Photoluminescence occurs when an excited electron in the conduction band recombines radiatively with a hole in the valence band. In this study, we did a large number of PL measurements at both room temperature and at low temperature. The room temperature setup

is shown in figure 3.2. The sample was excited by two different lasers as our excitation source. First one was a 532 nm green laser which produces 20ns pulses from a diode-pumped frequency doubled Nd:YLF laser. The photon energy is larger than the band gap energy of the samples. The average power of the laser was 1.5 mW, at a repetition rate of 2 kHz with a peak power density of  $10^4$  W/cm<sup>2</sup>. In another room temperature measurement, a 488 nm CW Ar-ion laser beam chopped at 2 kHz was used as an excitation source which had higher energy than the green laser. According to this optical setup, the emission light from the sample is collected by the first lens which is placed so that its focal point is on the illuminated spot. The second lens with the same f number as the first one, focused collimated emission light on the tip of the optical fiber. The optical fiber transferred the PL emission to a spectrograph from Acton Research (SpectraPro 3000) and then was detected by an InGaAs array detector from Roper Scientific (Model 7410-0003) which is cooled with liquid nitrogen. One of the most important factors in the PL measurements is using an optical filter to eliminate the second and higher order harmonics and laser stray light which can affect the measured PL intensity. To achieve this objective an 830nm cut off filter was placed after the lenses and before the optical fiber. Furthermore, for maximum signal collection, the NA (Numerical Aperture) of the fiber and the system of lenses has to be matched. The light-gathering ability of a lens totally depends on its f number which is defined by:  $f = 1 / 2NA$ . In addition, as a final correction of the PL spectra from the spectrometer, we measured the background signal in the dark and subtracted it from the PL spectrum. Also, we used p-doped GaAs a strong luminescent emitter whose bandgap is known as a reference sample to calibrate the optical setups. Additionally for PL measurement, a 345nm polarized He-Cd laser with 45 mW power was used. The laser stray light has been removed by using 550nm high pass

filter and a NIR PMT detector was utilized to detect the emission light in the range of 700-1400nm.

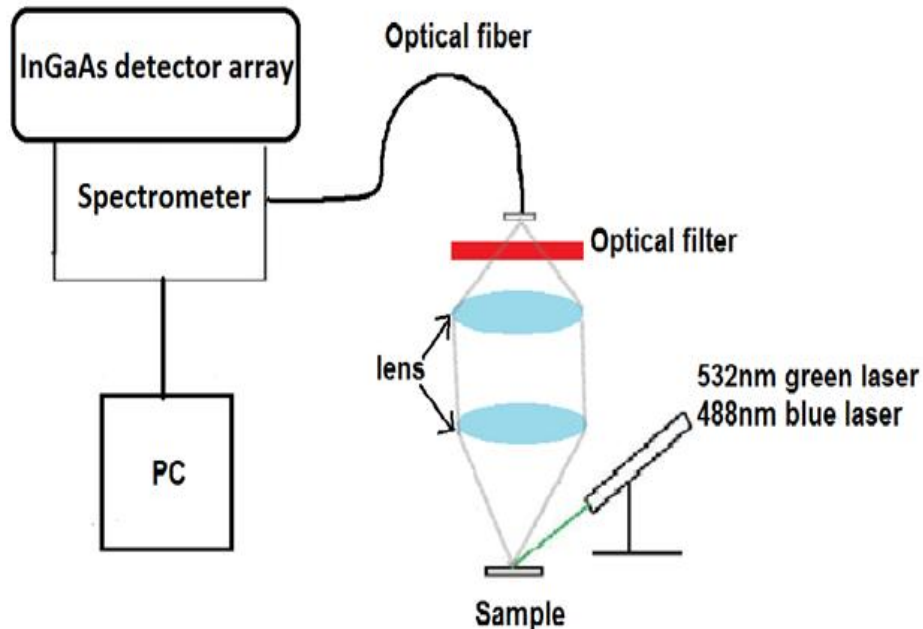


Figure 3-2. Photoluminescence setup

### **Low temperature Photoluminescence:**

Low temp PL measurements can be considered as a proper way to make a distinction between bandgap energy and defects. For the low temperature setup, we used an optical helium cooled cryostat (CS202AI-DMX-1SS) from Advanced Research Systems Inc with three quartz optical windows. The sample is placed on copper holder which can be cooled to different temperatures as low as 4K by using a closed cycle helium gas refrigerator. The schematic diagram of this setup is shown in figure 3.3. We used a vacuum pump and a turbo pump connected to a pumping line to evacuate the inside of the cryostat. To stabilize the temperature of the sample at different values, a heater is connected to the sample holder. This heater can control the cryostat temperature in the range from 10 K to 300 K.

In our laser setup, a 488 nm Ar-ion laser beam is chopped at 2 kHz and focused on the sample surface. This was the excitation source for photoluminescence spectroscopy. We mounted our sample on the copper pillar oriented so that there was 45 degrees between the excitation beam and the emission beam. We directed the laser beam on the surface of the sample through one of the optical windows on the cryostat system with a focusing lens. We collected the emission light from the sample through the other window with another lens. The long pass 527nm filter from Thorlabs is placed before optical fiber to avoid any of the blue laser excitation from reaching the detector. Lenses focused the radiation beam on the tip of an optical fiber which is connected to the spectrograph. After that, the transferred beam was detected by the InGaAs array detector which is cooled by liquid nitrogen.

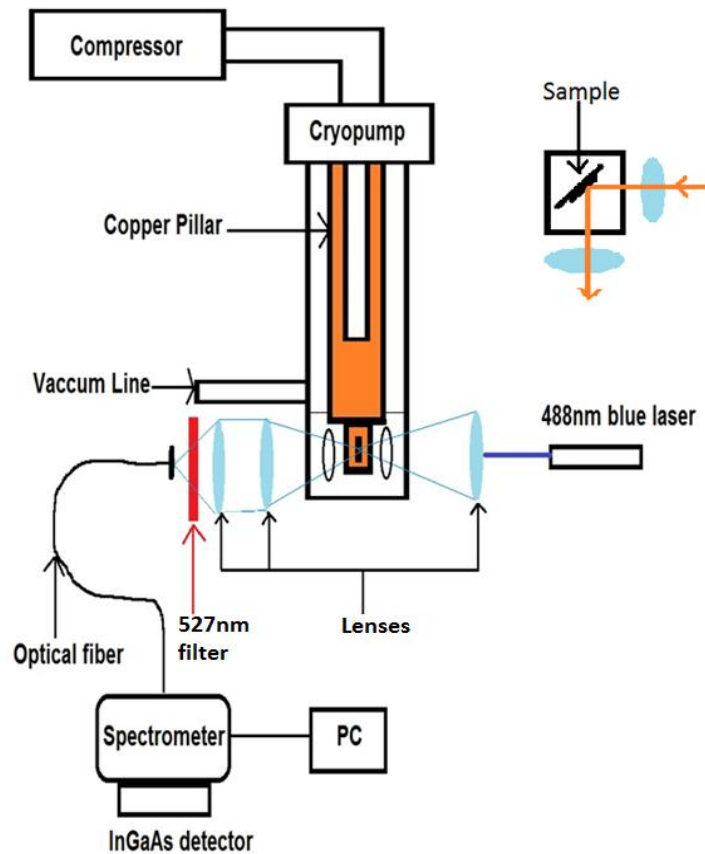


Figure 3-3. Low temperature photoluminescence setup

## **Diffuse Reflectance Spectroscopy (DRS)**

Diffuse reflectance spectroscopy is a classical optical method for measuring the absorption of powders. We built the optical setup shown schematically in figure 3.4 to obtain our samples reflectivity. A halogen lamp was used as a light source. The light beam was directed into the entrance slit of the monochromator (ARIES FF259 spectrograph) with a focusing lens. Light travelling from the lens was chopped with an optical chopper. The output beam of the monochromator was a single wavelength beam, which was filtered and then focused on the surface of the sample by another focusing lens. In our optical setup, the angle between the excitation beam and reflected beam was  $60^\circ$  to avoid any specular reflection.

The focusing lenses collected and collimated the reflected beam from our sample and centred it on the InGaAs detector (Model 2033). Also, a black barrier was used to block any stray light on the detector. We connected a lock-in amplifier to the detector to measure the output beam with minimal background noise. For correction of our spectra, the transfer function of our optical setup has been found and then our reflectance spectrum divided by that function to get a final reflectance spectrum. By doing this experiment in our optical setup, we can obtain  $R_\infty(\lambda)$  which was mentioned in the previous theory section. It is bounded between 0 and 1. We used spectralon as our reference in determining the transfer function with more than 95% reflectivity in the range of (250-2500nm) for diffuse reflectance measurements.

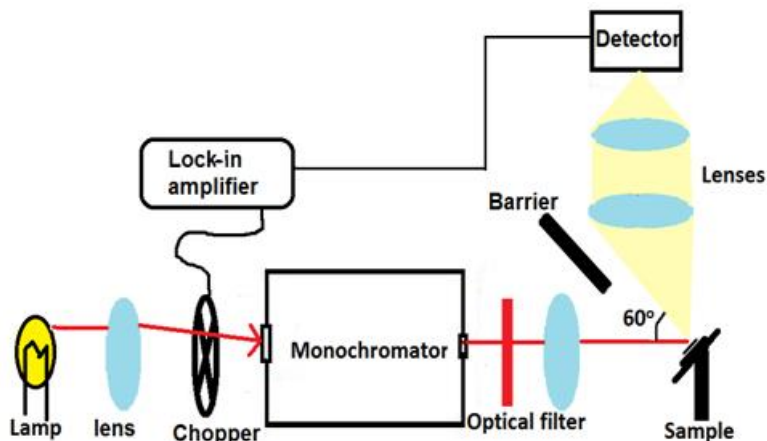


Figure 3-4. The schematic diagram of optical diffuse reflectance spectroscopy setup

### Powder X-ray Diffraction Setup

A powder X-ray diffractometer consists of three basic parts: an X-ray tube, a sample stage, and a detector as shown in figure 3.5. The X-ray beam is focused on the sample at some angle  $\theta$ , while the detector opposite the source reads the intensity of the diffracted X-ray, an angle  $2\theta$  away from the source path. First, in a cathode ray tube, X-rays are produced by heating a filament and then accelerating the electrons toward a Cu target. These X-rays are collimated and directed onto the sample. The intensity of the reflected X-rays is measured while slowly rotating the sample and detector. An intensity peak is observed when constructive interference occurs by satisfying the Bragg equation. A detector records and processes this X-ray signal and converts the signal into a PXRD spectrum. Phase purity was assessed from powder X-ray diffraction (PXRD) data collected on a PANalytical Empyrean X-ray diffractometer with copper x-ray source. The experimental powder pattern is identified by searching against reference patterns in the database containing the patterns of  $> 700,000$  pure compounds which was produced at the International Centre for Diffraction Data by the Joint Committee on Powder Diffraction Standards (JCPDS).[24]

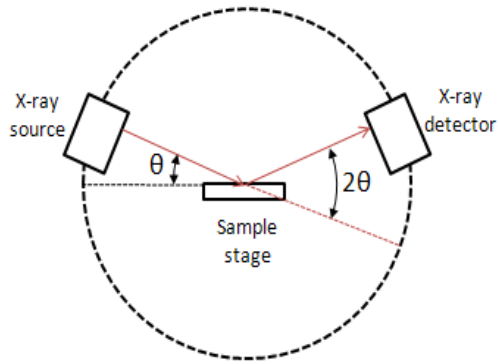


Figure 3-5. The schematic of the typical process in PXRD machine

### Thermal Nitriding Setup

The thermal nitriding method was applied to study how changing the oxygen-nitrogen (O/N) ratio might influence the bandgap energy of zinc nitride powder. For the thermal nitriding process, a tube furnace with temperature programming to 1200° C was used. Figure 3.6 shows the schematic diagram of a horizontal tube furnace. The sample was placed in a crucible boat that was inserted into the center of a quartz tube inside a furnace. The quartz tube was degassed and then purged with argon. The temperature of the furnace was raised up to 500° C at a rate 20~25° C/min under constant flow of Ar. When the tube reached the reaction temperature, ammonia NH<sub>3</sub> gas was passed into the quartz tube at a flow rate of 500 ml/min for 120 min. After the reaction, the furnace was cooled back down to room temperature.

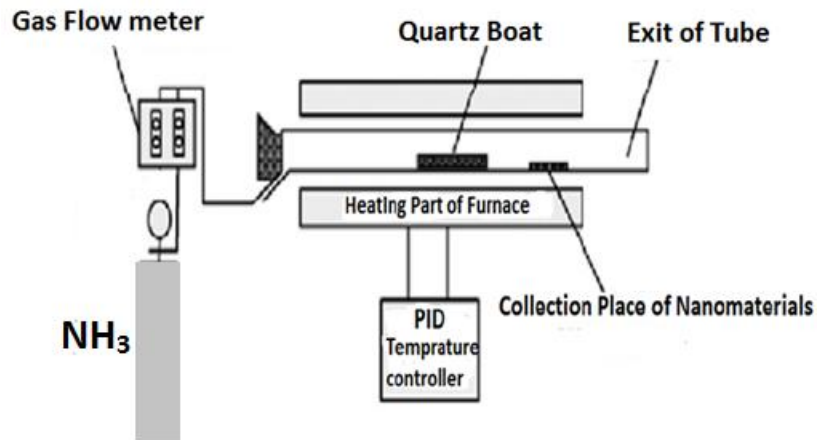


Figure 3-6. Schematic diagram of horizontal quartz tube furnace system.

## 4. Experimental Results

### Scanning Electron Microscopy (SEM)

A micrograph of the surface morphology of  $\text{Zn}_3\text{N}_2$  powder is illustrated in figure 4.1. As we can see, the  $\text{Zn}_3\text{N}_2$  powder consists mainly of faceted crystals in the 1-10  $\mu\text{m}$  size range. Also other irregular shapes, such as flakes, and different type of spherical particles can be observed.

Another picture with higher magnification than the first image is shown figure 4.2 (a, b). Figure 4.2 (b) clearly presents a hexagonal structure of  $\text{Zn}_3\text{N}_2$  with a diameter of about 3-5  $\mu\text{m}$ . In addition, there is another image (figure 4.2 (a)) at a different location which shows faceted particles about 7  $\mu\text{m}$  in diameter. The faceted shapes suggest that the particles are single crystals.

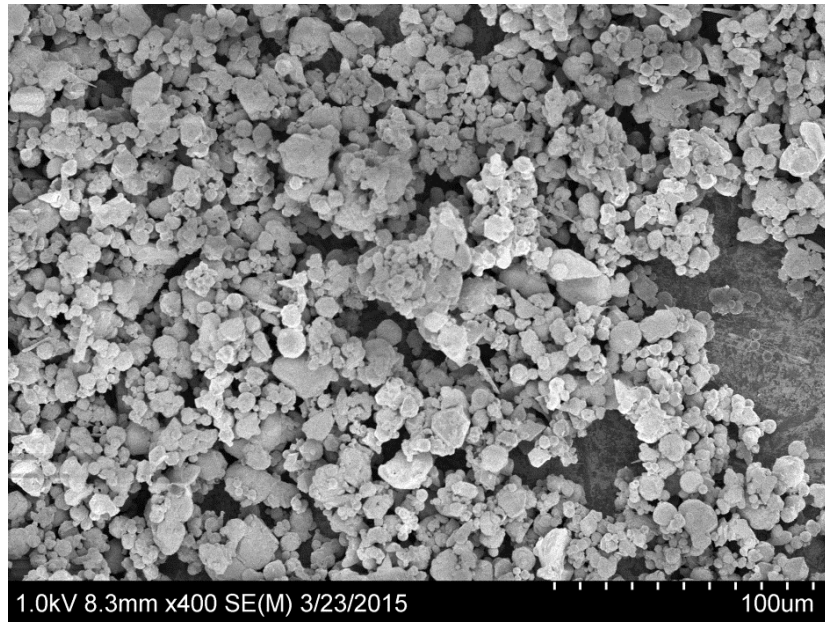


Figure 4-1. SEM images of the morphology of our Zn<sub>3</sub>N<sub>2</sub> powders

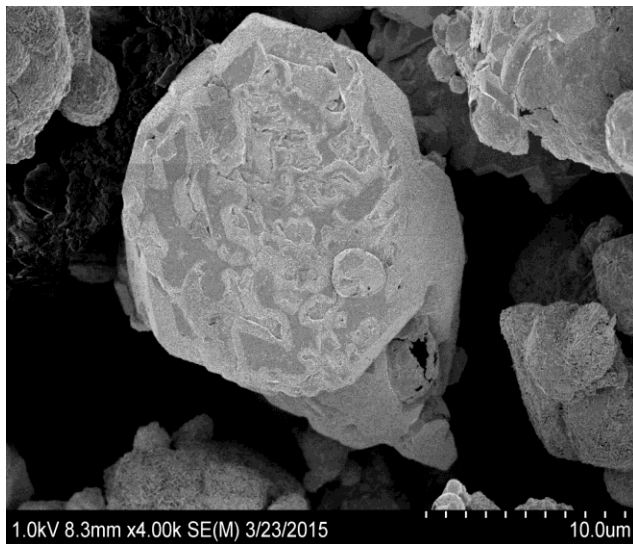


Figure 4-2 (a) High magnification SEM images of selected Zn<sub>3</sub>N<sub>2</sub> particles (cylindrical shape)

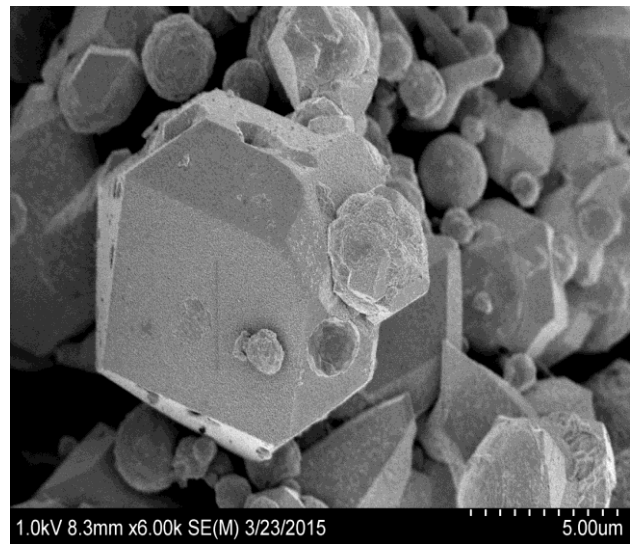


Figure 4-2 (b). High magnification SEM images of selected Zn<sub>3</sub>N<sub>2</sub> particles (hexagonal shape)

## Powder X-ray diffraction (PXRD)

Powder X-ray diffraction measurements were carried out on  $Zn_3N_2$  powders to indicate the presence of crystalline impurity phases. The collected powder XRD data were cross-referenced to powder patterns generated by the International Center for Diffraction Data. An XRD scan is shown in figure 4.3 which consists of all the main peaks of  $Zn_3N_2$  powder due to peak matching with reference data (ICDD: 04-015-6959). PXRD scan indicates that we have the main peaks of  $Zn_3N_2$  powder. The crystal indices (h k l) are marked which match the zinc nitride powder data. Also, the lattice constant of zinc nitride powder can be calculated from Eq.4.1:

$$d = a/\sqrt{h^2 + k^2 + l^2} \quad (4.1)$$

where d is the spacing between atom layers which can be determined from Bragg's law and a is the lattice constant. The lattice constant of zinc nitride is found to be  $a=0.9779$  nm which is in good agreement with the published value of 0.977 nm in the ICDD document.

According to the "*Principle of Crystallography*," for a body centered cubic crystal system, the reflection condition of the (h, k, l) peak is  $h+k+l=2n$ . From figure 4.3 apparently all the hkl indices of each peak satisfy this reflection condition. From the peaks in the XRD pattern, we conclude that zinc nitride powder has an anti-bixbyite structure. This structure is similar to  $CaF_2$ , where N atoms occupy the Ca positions and Zn atoms are located at three-fourths of the F positions [6]. Also there is a group who reported a description of the electronic structure of  $Zn_3N_2$  by using density-functional method (DFT) shown in figure 4.4(a). As shown in figure 4.4 (b), the N atoms are placed in two separate sites represented by the 8b (1/4, 1/4, 1/4) and 24d (x, 0, 1/4) Wyckoff positions and surrounded by 6 Zn atoms nearest neighbors [9].

According to these results, the commercial powder which was prepared by reaction between Zn and ammonia  $\text{NH}_3$  is pure zinc nitride as there are no extraneous diffraction peaks in the powder pattern. Most of the main peaks of  $\text{Zn}_3\text{N}_2$  were marked in the powder pattern in figure 4.3.

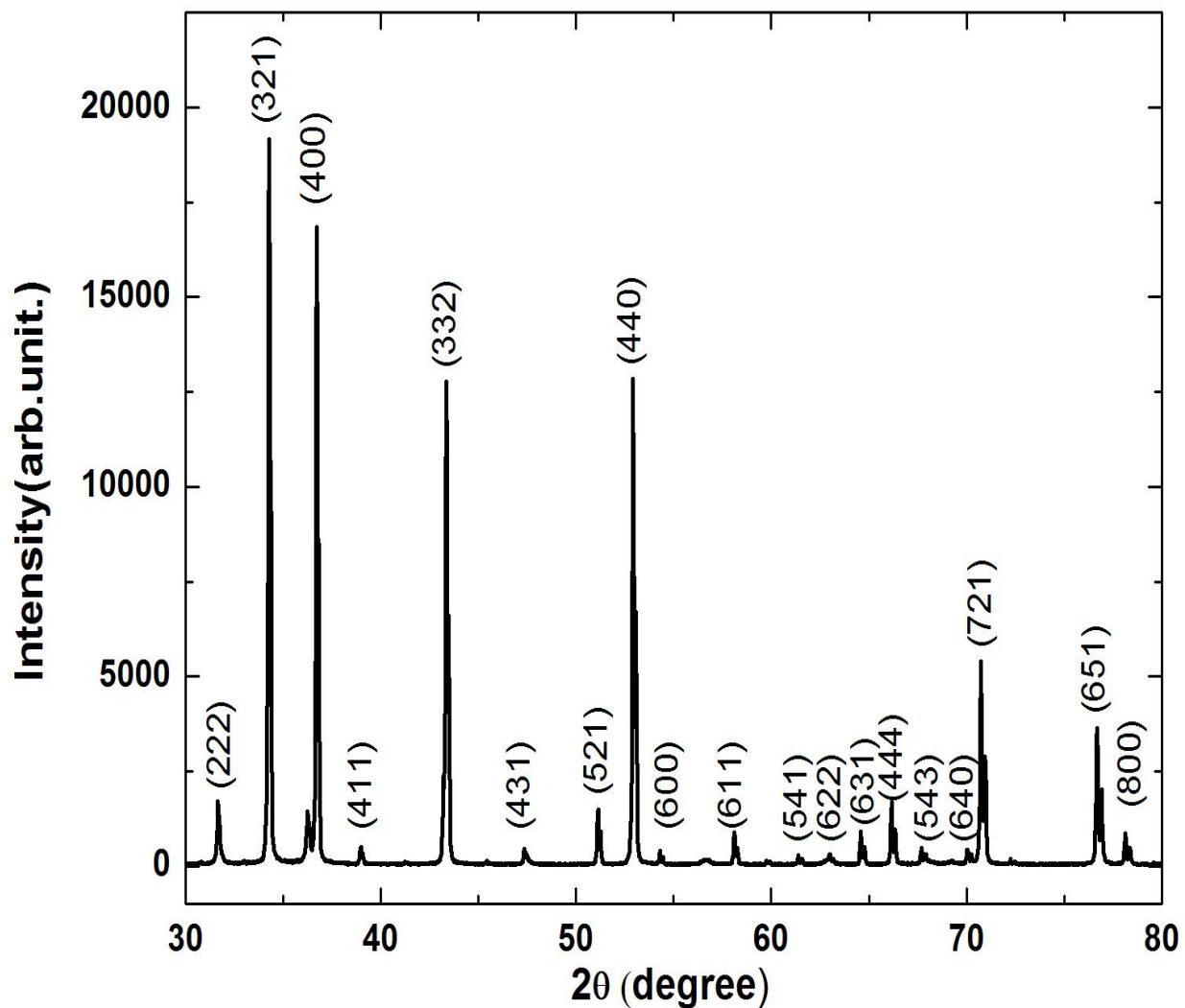


Figure 4-3. XRD pattern of  $\text{Zn}_3\text{N}_2$  powder with the crystal indices (hkl) marked

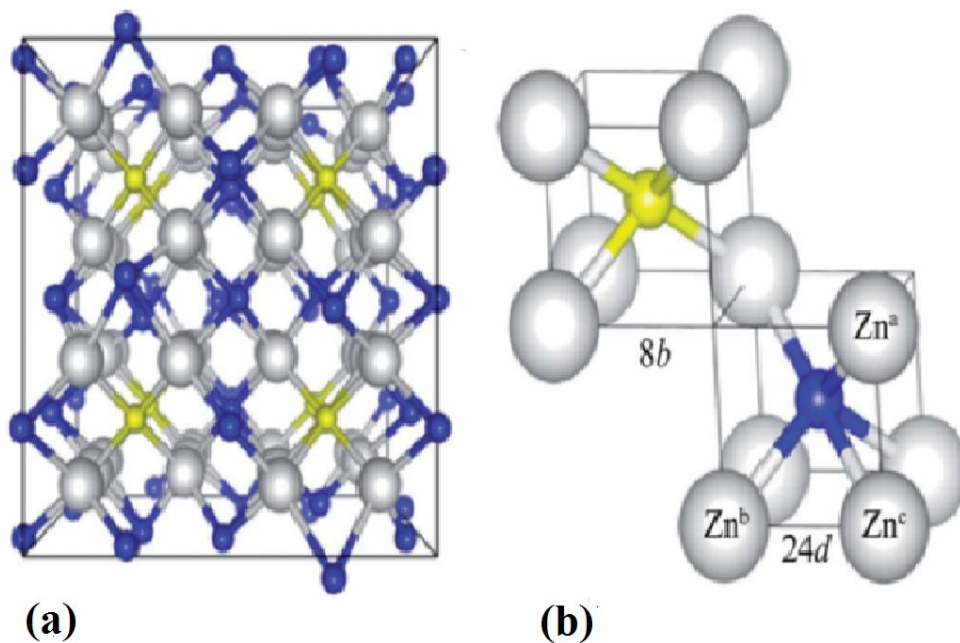


Figure 4-4. (a) Perspective view of the atomic structure of bulk zinc nitride. (b) The arrangement of zinc atoms (as white spheres) around 2 different types of nitrogen atoms as blue and yellow spheres in 8b and 24d Wyckoff positions [9].

PXRD measurements were also performed on three different samples of  $Zn_3N_2$  powder to detect oxygen incorporation in zinc nitride as a phase impurity. All of these samples are black in colour but they have different powder diffraction patterns. S1 represents an almost fresh powder of  $Zn_3N_2$ . S2 and S3 were exposed to air for one month and more than a year respectively.

Figure 4.5 shows powder X-ray diffraction data for these three samples. All peaks in the S1 sample match the data for  $Zn_3N_2$  powder in the ICDD document. Figure 4.5 (a) indicates S1 consists of  $Zn_3N_2$  powder as a main phase. In the second scan shown in figure 4.5 (b) for sample S2, a small amount of ZnO as an impurity phase is observed due to peak matching with ZnO powder (ICDD: 04-003-2106). The diffraction data for sample S3 is illustrated in figure 4.5 (c). In this pattern (o) represents ZnO peaks. This scan shows that the ZnO peaks are stronger, and the  $Zn_3N_2$  peaks are weaker in S3 than in S2.

From the relative amplitude of the oxide and nitride peaks, the oxygen and nitrogen content in their structure were found. From PXRD patterns, only 2% ZnO was found in the sample S1. The XRD pattern of sample S2 shows 10% of ZnO and 90% of Zn<sub>3</sub>N<sub>2</sub>. We found that 22% of ZnO and about 78% of zinc nitride exist after oxidation in the sample S3. According to powder X-ray diffraction measurements, we observed the formation of ZnO as an impurity phase in Zn<sub>3</sub>N<sub>2</sub> powder due to exposure to air over a long period of time. These results demonstrate that the exposure of Zn<sub>3</sub>N<sub>2</sub> powder to air causes the formation a layer of zinc oxide (ZnO) possibly around the surface of zinc nitride powders. We speculate that the surface oxide inhibits further oxidation. According to the observed oxygen ratio, the oxidation process of zinc nitride powders is slow. The amount of oxidation within these three samples obtained from PXRD is shown in table1.

Table 1. The oxidation content is shown for different zinc nitride powders estimated by PXRD.

Samples	Oxidation
S1(fresh Zn <sub>3</sub> N <sub>2</sub> powder)	2%
S2(exposure to air for 1month)	10%
S3(exposure to air for more than1 year )	22%

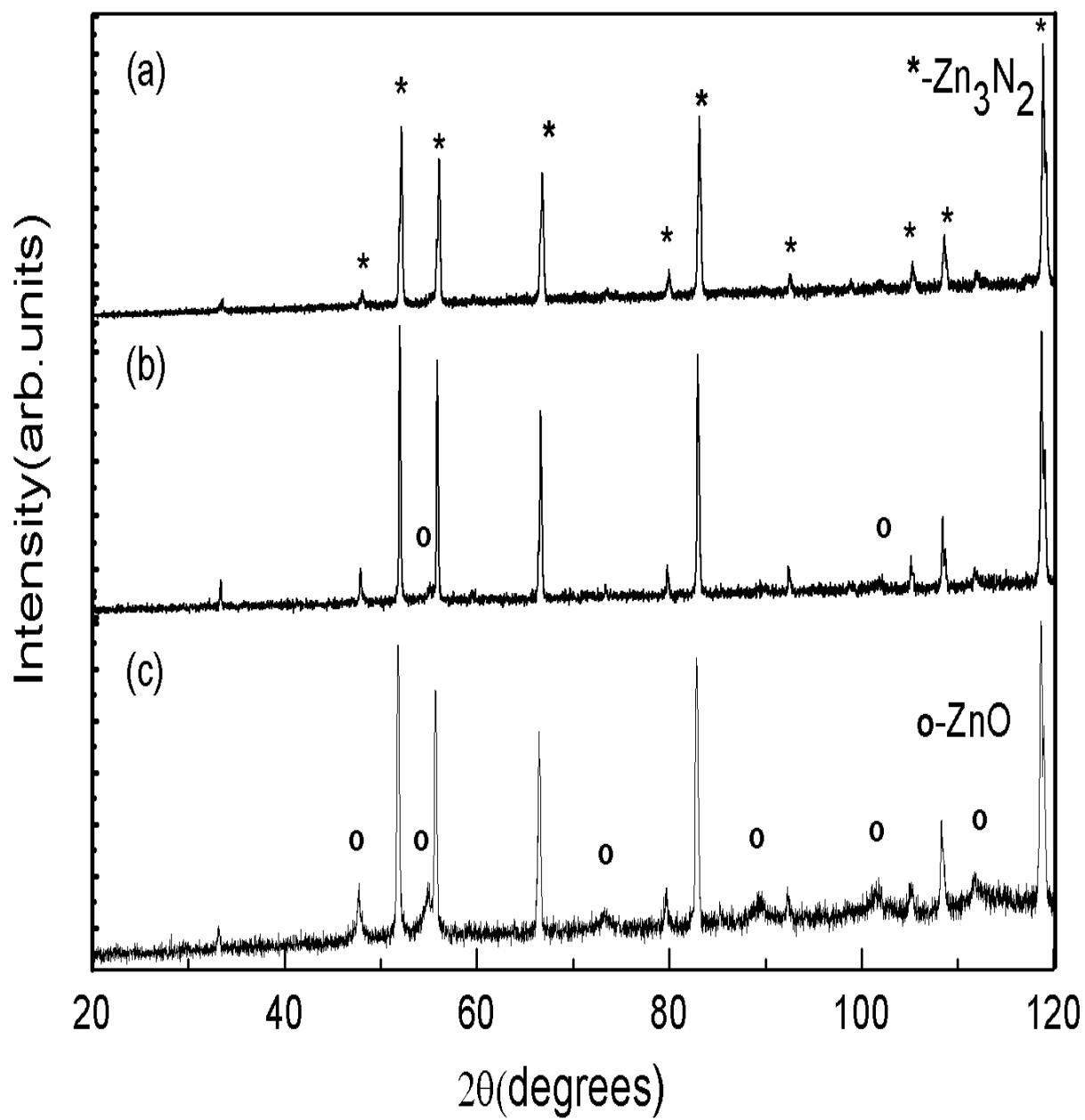


Figure 4-5. XRD patterns: (a) fresh  $\text{Zn}_3\text{N}_2$  Powder (S1), (b) sample exposed to air for one month (S2), (c) the sample was exposed to air for one year ((o) indicates ZnO peaks)

## Photoluminescence measurements

To determine the optical properties of  $Zn_3N_2$  powder, we performed room temperature PL measurements to find out the exact value of the optical bandgap. Room temperature PL measurements were carried out on  $Zn_3N_2$  powder by using two different laser wavelengths in the optical setup. The PL spectrum is illustrated in figure 4.6. The green line spectrum was excited by a 532 nm green laser and has a broad emission centered at 1.15 eV. As shown in figure 4.6, the blue PL spectrum has a peak position at around 1.16 eV which was excited by a 488nm blue laser. The difference is because the blue laser has more excitation energy than green laser.

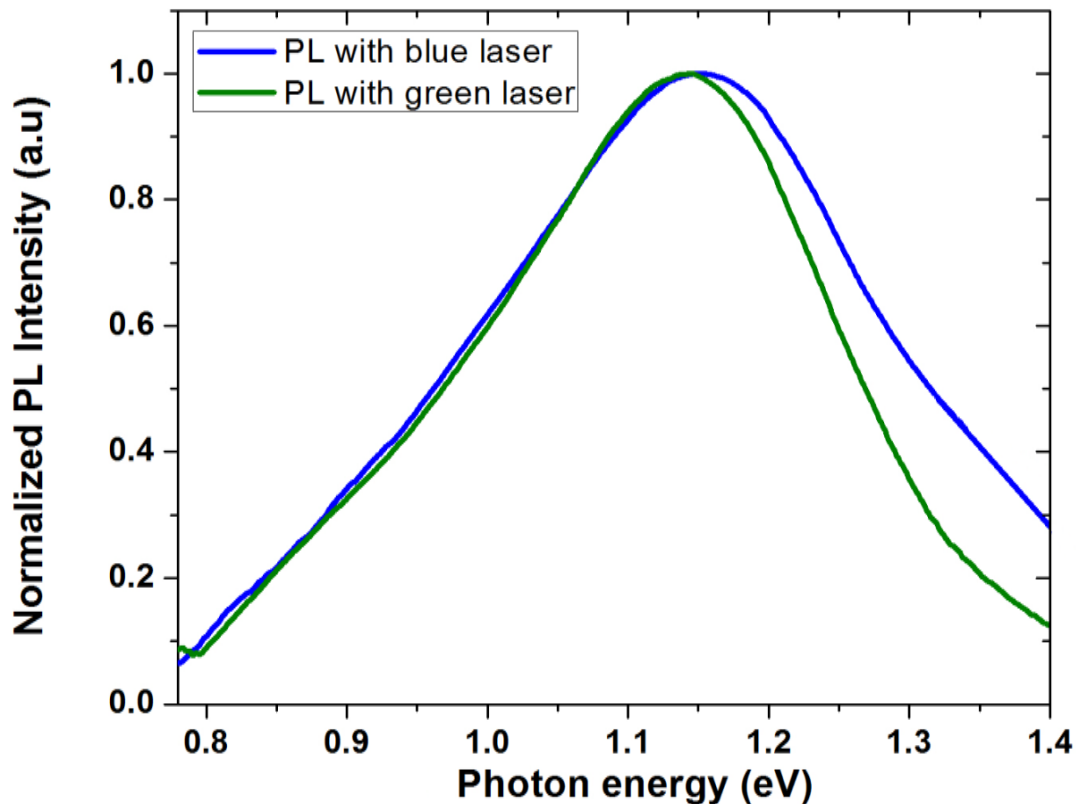


Figure 4-6. Photoluminescence spectrum of  $Zn_3N_2$  powder at RT using different excitation lasers (488nm blue laser and 532nm green laser)

In addition, to have a shorter excitation wavelength, we performed another PL measurement on the same sample with a 345 nm polarized 45 mW He-Cd laser. The PL spectrum shown in

figure 4.7 has a peak emission centered at 1.05 eV. The sharp edge around 0.9 eV is because of using the NIR PMT detector which is sensitive to the range 700-1400 nm shown in fig. 4.7. The bandgap value of  $Zn_3N_2$  powders was found to be around 1.05-1.16 eV using a different PL setup. The difference between PL values might be just because of the oxygen contamination caused by an exposure to air. This value defines the band gap energy of  $Zn_3N_2$  powder which is close to some recent published results. For example in published report [15], they found a bandgap value of 1.06 eV for  $Zn_3N_2$  polycrystalline films from carrier concentration measurements. Paniconi et al. [3] synthesized  $Zn_3N_2$  powders by reacting Zn dust with  $NH_3$  gas at  $600^\circ C$  with a bandgap of 0.9 eV. Another group reported a bandgap value in the range of 1-1.1 eV for  $Zn_3N_2$  nanocrystals which were prepared from the reaction between ammonia and diethyl zinc at  $225^\circ C$  [12].

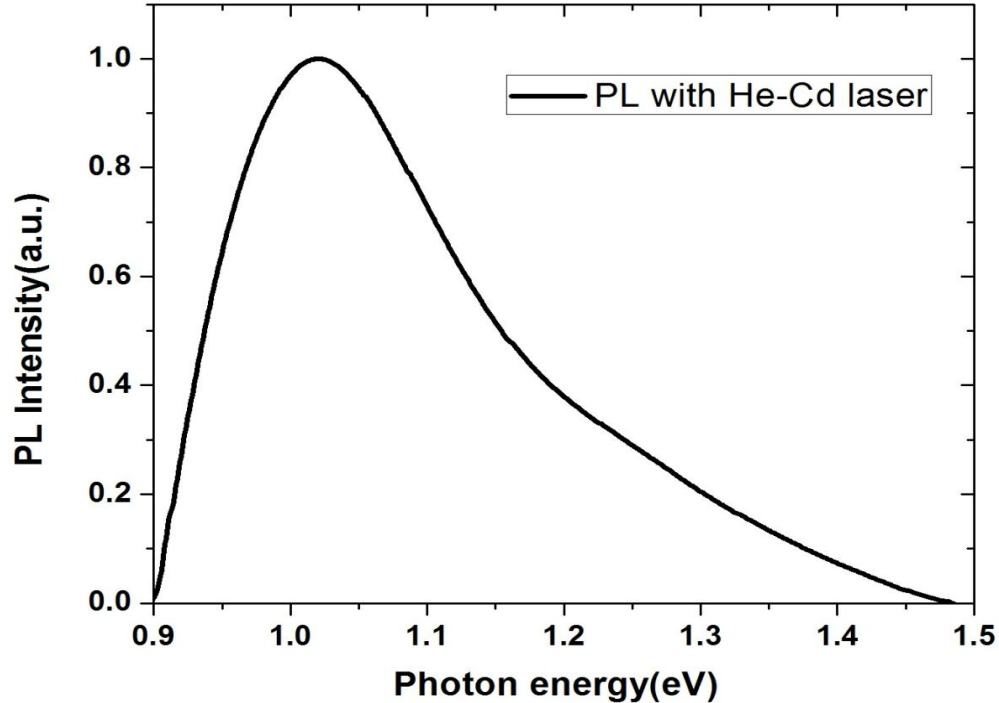


Figure 4-7. Photoluminescence spectra of  $Zn_3N_2$  powder at RT using a 345nm He-Cd laser

Also, low temperature photoluminescence spectroscopy has been done on zinc nitride powder. The resulting spectra have been analysed in order to characterize several features of the crystal including its impurities and defects. The typical low temp photoluminescence spectrum of Zn<sub>3</sub>N<sub>2</sub> powder is shown in figure 4.8. As carriers at room temperature can have enough energy to have non-radiative recombination, so, a strong reduction of the intensity of the PL signal would be expected. So by decreasing temperature from 250 K to 10 K, the peak intensity and the energy of the peak both increase. This result agrees with the conventional trend of increasing intensity at low temperature and shows the bandgap has shifted to higher energy by decreasing the temperature. The optical bandgap value is in 0.95-1 eV range in agreement with room temperature PL results.

For the variation of the band gap energy with temperature in semiconductors, different fitting equations have been employed. According to the Bose-Einstein model [26], which relates energy shift to the temperature and the Einstein temperature, the band gap energy as a function of temperature has the following form:

$$E_g(T) = E_g(0) - \frac{2\alpha_B}{\exp\left(\frac{\theta_E}{T}\right) - 1} \quad (4-2)$$

where  $\alpha_B$  is a parameter associated with the strength of exciton – phonon interactions in the crystal and  $\theta_E$  is the Einstein characteristic temperature of phonons interacting with the electronic subsystem.  $E_g(0)$  is the bandgap energy at T=0 K which can be estimated from the photoluminescence spectrum at low temperatures. The fitting constants are  $\alpha_B=43$  meV and  $\theta_E=340$  K for Bose-Einstein function. The Debye temperature [27] can be determined from the relationship:  $\theta_D = \frac{4}{3} \theta_E$  which is calculated to be  $\theta_D = 453$  K. The fitted temperature dependence of the band gap energy in the Bose-Einstein model is illustrated in figure 4.9.

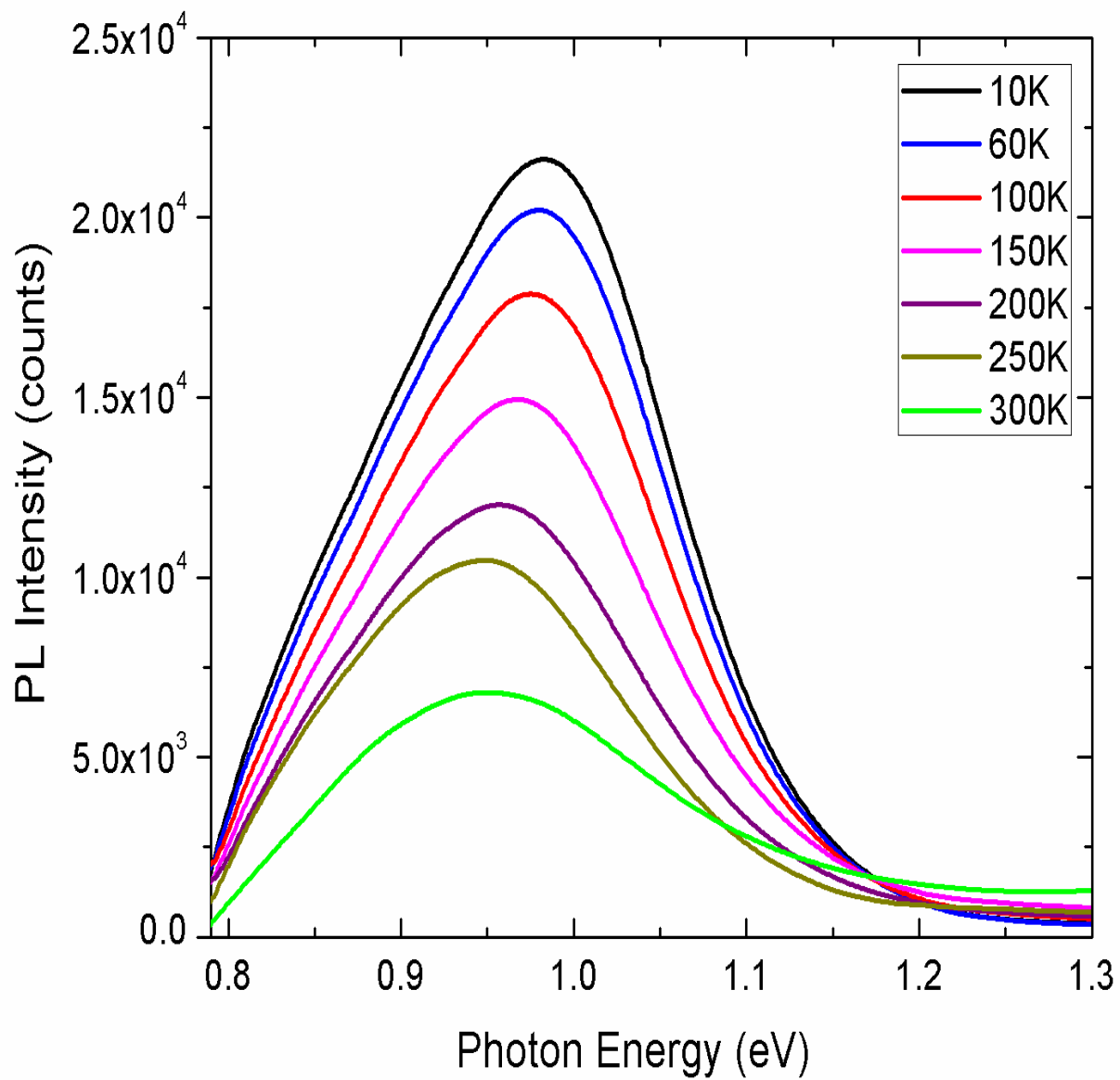


Figure 4-8. Temperature dependence of photoluminescence spectrum for  $\text{Zn}_3\text{N}_2$  powder

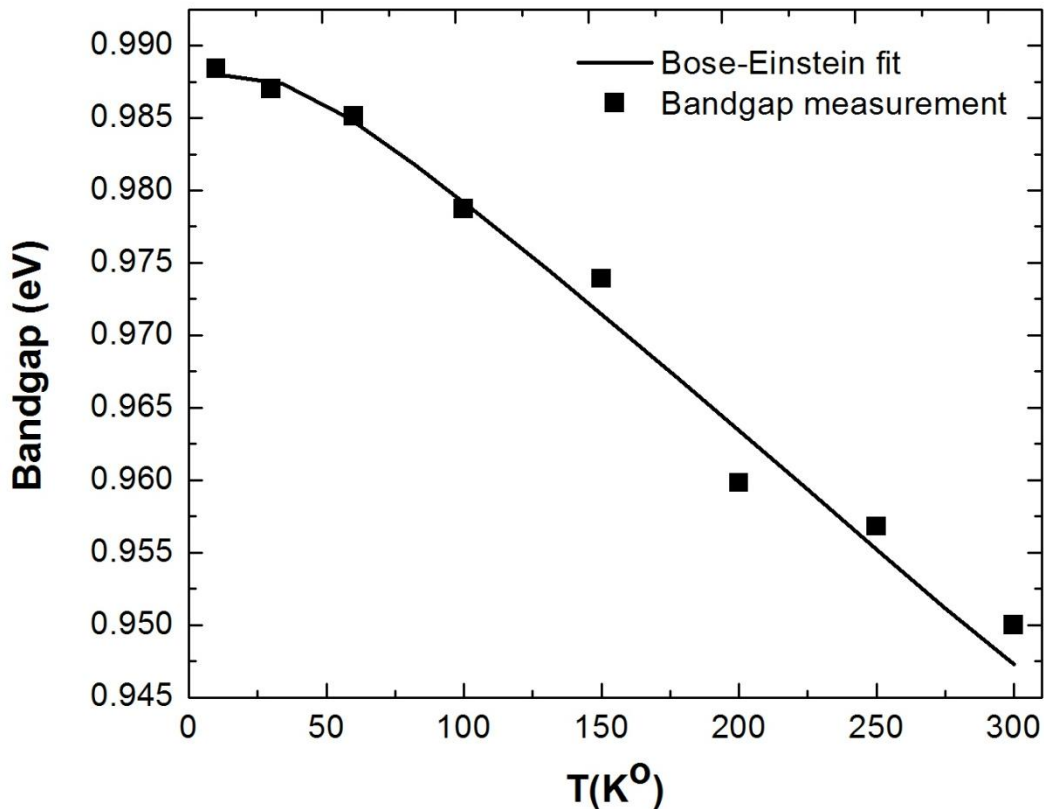


Figure 4-9. Temperature dependence of the band gap energy of  $Zn_3N_2$  powder fitted with the Bose-Einstein equation

Photoluminescence measurements were also performed on three samples (S1, S2, and S3) of  $Zn_3N_2$  powder in order to investigate the effect of oxygen incorporation on the bandgap of zinc nitride. Figure 4.10 shows the PL spectra of these three samples at room temperature. The sample S1 (fresh  $Zn_3N_2$  powder) has a broad peak emission centered at around 1.12 eV. The PL spectrum of sample S2 shows an emission at lower energy, around 0.95 eV at the peak. This peak position is very close to the bandgap of  $Zn_3N_2$  that was calculated to be 0.9 eV by the density-functional theory DFT method [9]. The sample S3 shows 0.85 eV PL emission which is the smallest bandgap reported for  $Zn_3N_2$ . Powder XRD measurement of sample S3 verified the existence of oxygen (22%) in its structure after exposure to air for a long time. Therefore, the PL result implies that this emission at 0.85 eV is an oxide-related band transition energy. An energy

gap of 0.9 eV also was found from photoluminescence measurement for the  $Zn_3N_2$  powders prepared by Paniconi et al. [3] through ammonolysis reactions at 600°C.

Our results show that the oxidation of  $Zn_3N_2$  powder lowers the bandgap energy. One of the most significant problems in the synthesis of  $Zn_3N_2$  powder is that oxygen can easily replace nitrogen and increase its ionic character and apparently reduce its optical bandgap. The reason for the reduction of  $Zn_3N_2$  bandgap because of oxygen contamination will be discussed in more detail in the discussion section.

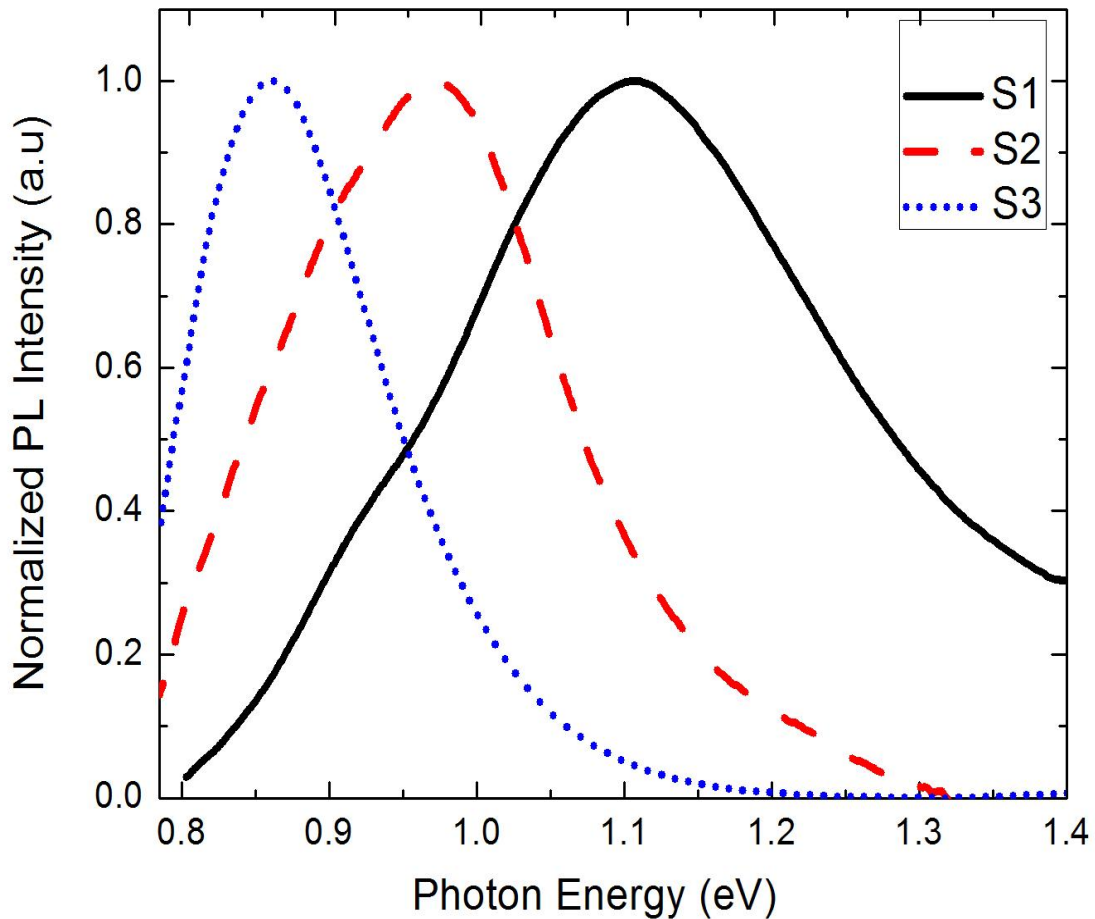


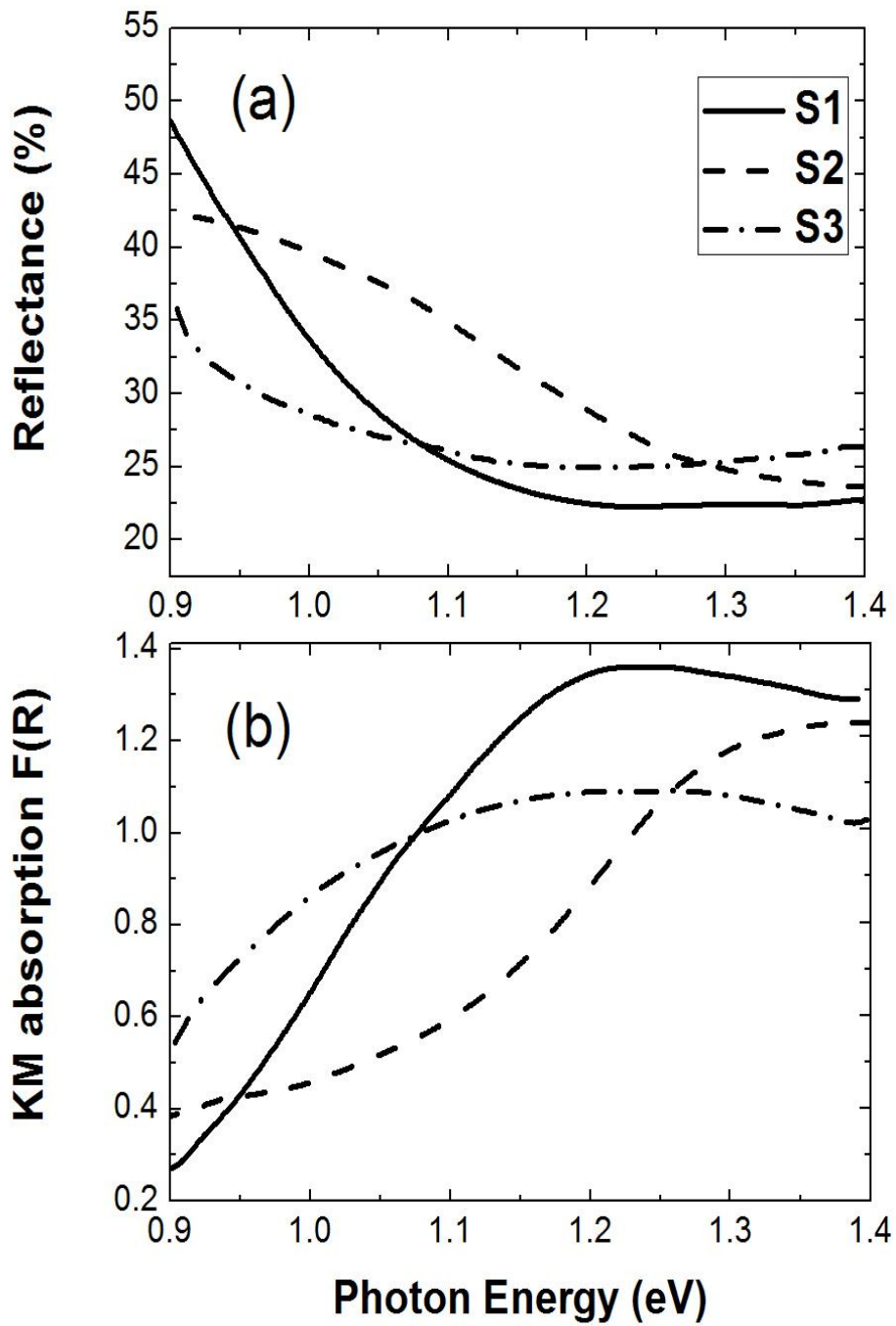
Figure 4-10. The PL spectra at room temperature shows an emission peak at 1.12eV for S1 and 0.95eV for S2 and 0.85eV for S3.

## Absorption and bandgap measurement

The most significant tools to determine the energy gap of semiconductors is using their optical absorption spectra for which there are different measurement methods. One of the most suitable and simple methods is diffuse reflectance spectroscopy (DR). When a material consists of many particles or nanoparticles, some of the incident light penetrates into sample and the rest gets reflected from the surface. Diffuse reflectance occurs when the incident light returns back from the surface of the sample in random directions [28]. The diffusely reflected radiation collected and detected by the detector is converted into a spectrum. The DRS measurements were carried out on three samples of  $Zn_3N_2$  powder in our optical setup. As mentioned, the sample S1 is fresh  $Zn_3N_2$  powder and S2 and S3 were exposed to air for one month and one year, respectively. The reflectance spectra from the DR measurements are shown in figure 4.11 (a) for the three samples. There are some theories for calculating the optical properties of the material from the diffuse reflectance. The first method was defined by Kubelka and Munk [22, 23] in 1931. According to the Kubelka-Munk (K-M) theory, the diffuse reflection occurs when the particle size is equal to or smaller than the wavelength of incident light. Furthermore they assumed the material is isotropic and homogeneous to be capable of scattering the light. They also supposed that there are no specular reflection and boundary effects. In the Kubelka-Munk function

$$F(R_\infty) = (1 - R_\infty)^2 / 2R_\infty = k/s \quad (4-3)$$

$s$  and  $k$  are called K-M scattering and absorption coefficients, respectively. Now by using  $F(R_\infty)$ , we can get  $k$  as a K-M absorption coefficient from the diffuse reflectance result. The KM absorption spectra of three samples are shown in figure 4.11 (b).



4-11. Plot (a) is reflectance spectra of samples S1, S2, and S3 and plot (b) is Kubelka-Munk transformed reflectance spectra or KM absorption coefficient of S1, S2, and S3

The K-M absorption spectrum was used to evaluate the bandgap energy of Zn<sub>3</sub>N<sub>2</sub>. The K-M scattering coefficient  $s$  is assumed to be constant with respect to wavelength close to the bandgap. To optimize the diffuse reflectance signal, the beam was incident on the surface of the sample at a 30° angle from the normal and the detector was placed at the specular position. Because the powder doesn't have any specular reflection this alignment gives the maximum diffuse reflection signal. On the other hand, when the material is in the maximum diffuse position, the K-M absorption coefficient  $k$  becomes equal to  $2\alpha$  [23] where  $\alpha$  is the linear absorption coefficient of the material. So  $F(R_\infty)$  is clearly proportional to the absorption coefficient. To investigate the absorption edge of a direct bandgap semiconductor, Eq.4.4 is used:

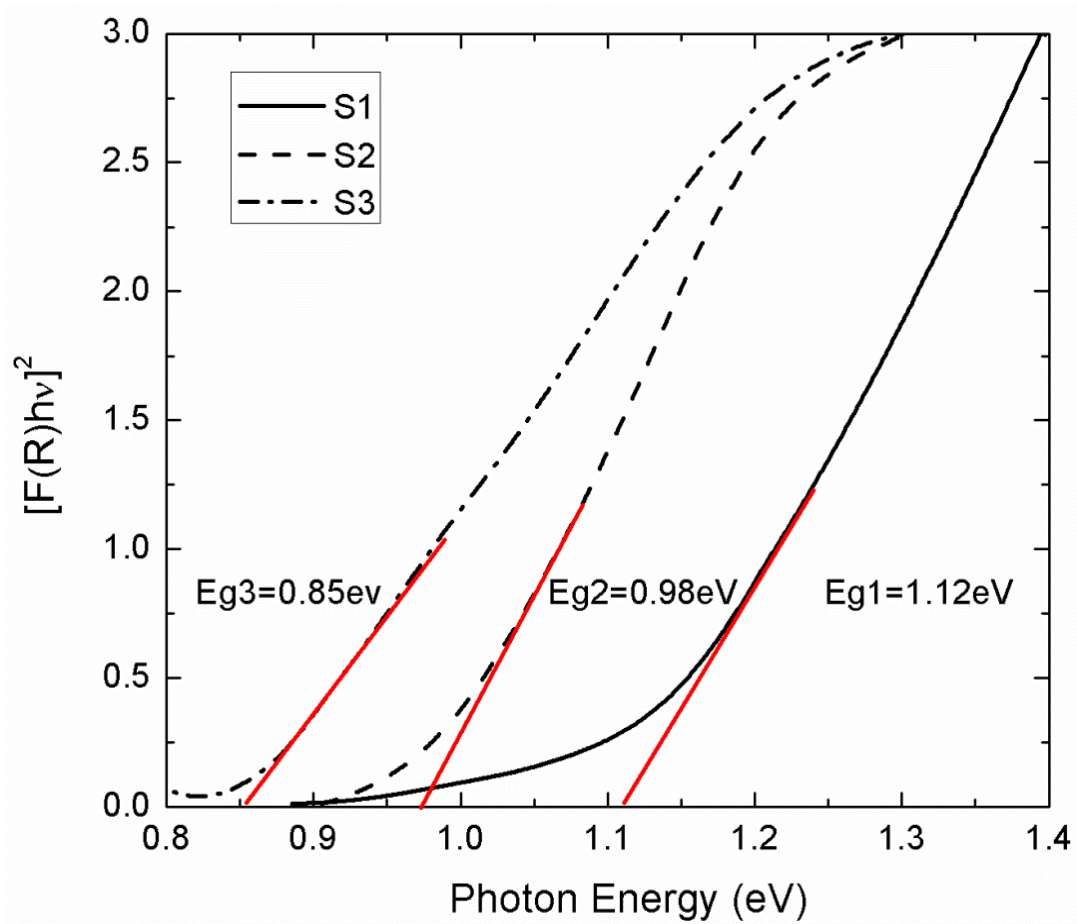
$$(\alpha h\nu)^2 = C1 (h\nu - E_g) \quad (4-4)$$

By assuming the optical bandgap for Zn<sub>3</sub>N<sub>2</sub> is direct as indicated in published reports in [4, 7, and 29], we can use this equation to get its bandgap with the help of Eq.4.5:

$$[F(R_\infty)h\nu]^2 = C1 (h\nu - E_g) \quad (4-5)$$

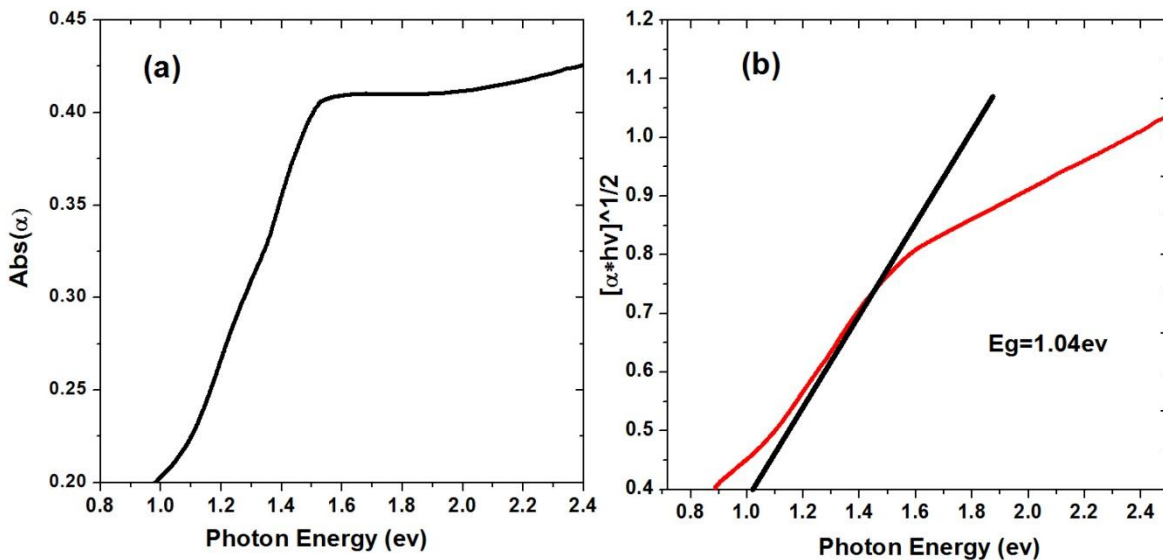
Therefore, by using  $F(R_\infty)$  as an absorption coefficient, the optical band gap  $E_g$  of a Zn<sub>3</sub>N<sub>2</sub> powder can be extracted easily through plotting  $[F(R_\infty)h\nu]^2$  versus  $h\nu$ . The corresponding plots are shown in figure 4.12. The energy of the optical band gap was found by extrapolation of the linear portion to the energy axis ( $h\nu$ ). So, via this method the calculation of band gap can be made more accurate. The optical bandgap of S1 is calculated to be 1.12 eV and this matches with our result from the PL measurement. Besides, our group in [16] reported the bandgap value of 1.25 eV for the single crystal zinc nitride films using transmittance measurements. The value of the energy gap for S2 is estimated to be about 0.98 eV from the data in figure 4.12 which is the same as the corresponding PL result. This value is also close to the result which was published in

[30]. They used transmittance spectra to find the optical bandgap to be around 1.01 eV for  $Zn_3N_2$  films which were prepared by RF sputtering. Also in 2005, Toyoura et al. [29] prepared zinc nitride films using molten salt electrochemical process and reported the bandgap to be 1.01 eV from reflectance measurements. Similarly, the bandgap of S3 is approximately 0.85 eV. Due to same results from PL and DR, we conclude that these values provide reliable measurement of the optical bandgap of zinc nitride powders. In addition, these measurements show that the optical bandgap of  $Zn_3N_2$  is reduced by oxygen incorporation.



4-12. A plot of  $[F(R_{\infty})hv]^2$  as a function of photon energy for three different samples of  $Zn_3N_2$  powder.

Furthermore, to test the accuracy of the DR method, some extra UV-Vis absorption spectroscopy was done on silicon powder, which has a known bandgap in the same energy range and on  $Zn_3N_2$  powder sample S3. The Perkin Elmer lambda 1050 model UV-Vis spectrometer was used to characterize light absorption properties of the pellet form of these powders. The UV-Vis absorption spectrum of a silicon powder is shown in figure 4.13. From this spectrum the indirect bandgap energy of Si from UV-Vis absorption spectroscopy is estimated to be 1.04eV. On the other hand, the KM bandgap value was calculated to be 1.04 eV for silicon powder from the DR measurements illustrated in figure 4.14. In the same way, for sample S3 a bandgap energy of 0.78 eV was assessed by using its UV-Vis spectrum presented in figure 4.15. Our results obtained from samples indicate that, the DR technique (our setup) is consistent with the UV-Vis absorption technique in extracting the band gap values of powder semiconductors. The UV-Vis spectrometer couldn't be used for other samples because the light source of the spectrometer was broken.



4-13. UV-Vis spectroscopy of silicon results having bandgap energy of 1.04eV

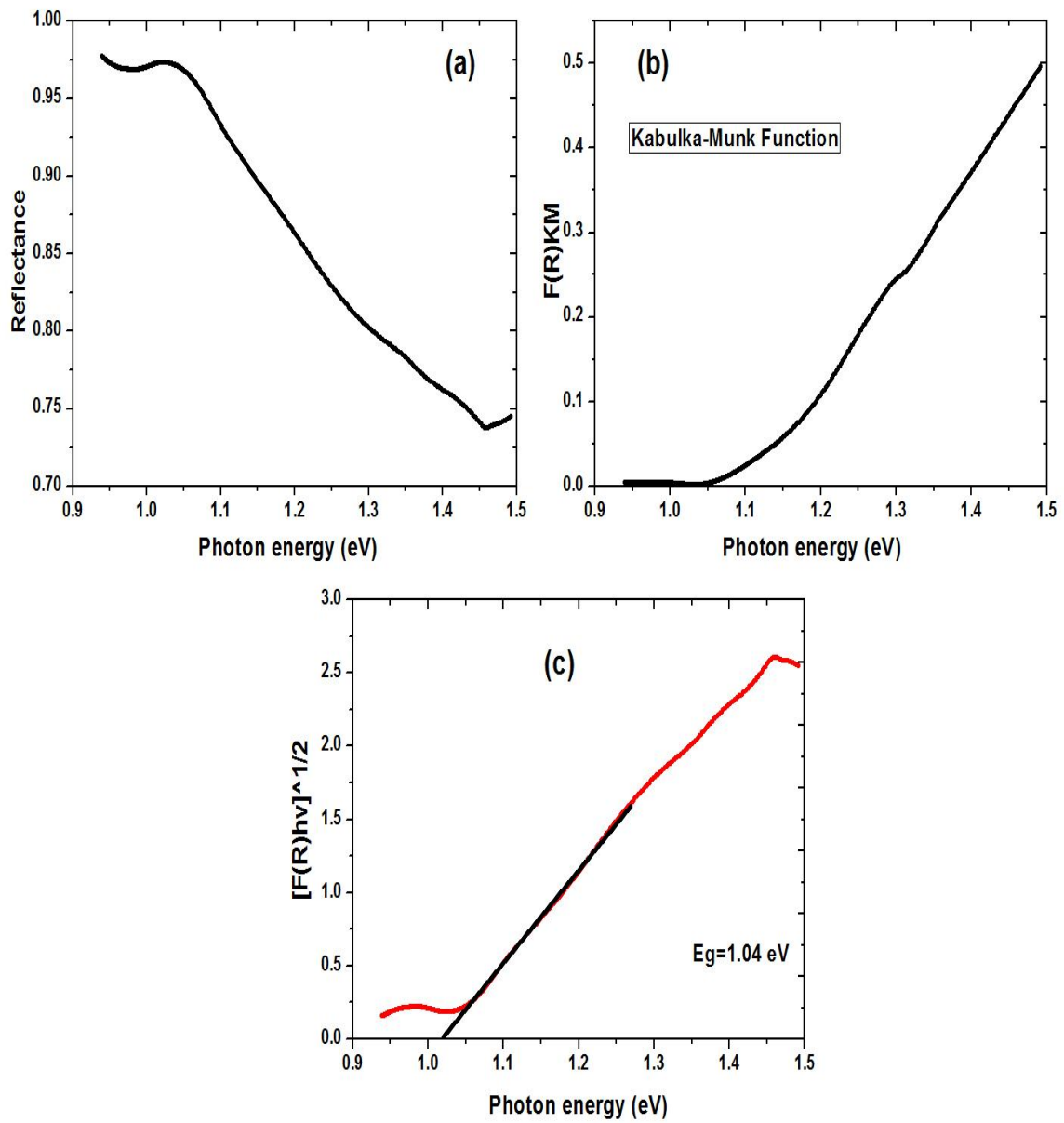


Figure 4-14. Diffuse reflectance spectrum of silicon powder confirms the bandgap energy value around 1.04eV.

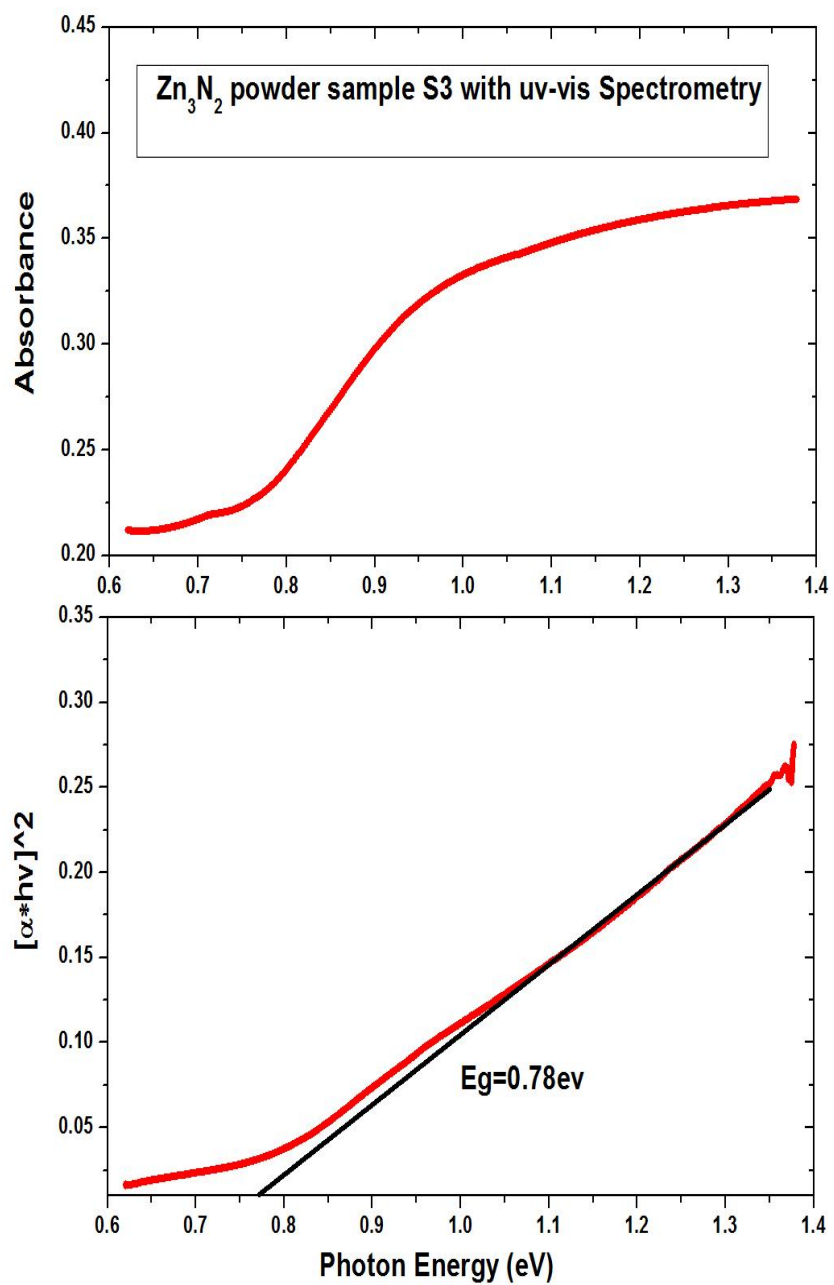


Figure 4-15. UV-Vis spectroscopy of sample S3 indicates the bandgap energy of 0.78 eV which is close to our DR result

## Thermal nitriding effect on the bandgap energy

We used thermal nitriding to study the effect of varying the ZnO/Zn<sub>3</sub>N<sub>2</sub> ratio on the bandgap energy of Zn<sub>3</sub>N<sub>2</sub> powder. The nitriding process was performed when oxidized Zn<sub>3</sub>N<sub>2</sub> powders were heated up inside the furnace and exposed to ammonia gas. To determine the oxygen/nitrogen ratio, powder XRD measurements were carried out on this oxidized zinc nitride powder. The PXRD analysis of the oxidized Zn<sub>3</sub>N<sub>2</sub> powder indicates that the O/N ratio is 45% before nitriding. The sample S4 is the new powder sample obtained after the thermal nitriding process. In order to determine the optical bandgap energy, PL measurements were performed on these powders. The PL spectra for this powder after and before the thermal nitriding are shown in figure 4.16. The PL spectra indicate that annealing the partially oxidized powder in ammonia atmosphere at 500C° for 2 hours, shifts the PL emission peak from 0.85 eV to 1.2 eV. Also, the PXRD pattern of sample S4 describes how the oxygen/nitrogen ratio decreased from 45% to 32% after thermal nitriding. As mentioned in some published reports, doping nitrogen into zinc oxide material can lower the optical bandgap value of ZnO [32] because of the higher p orbital energy for nitrogen in the valence band. In this study, we found that adding O to Zn<sub>3</sub>N<sub>2</sub> reduces the bandgap and also that increasing N in oxidized Zn<sub>3</sub>N<sub>2</sub> increases the bandgap. The bandgap of Zn<sub>3</sub>(N<sub>1-x</sub>O<sub>x</sub>)<sub>2-y</sub> was reported at [31, 33] to be in the range of 1.2-1.3 eV, differing depending on the oxygen-nitrogen ratio. The value of x was reported in [33] to be around 0.11 to have a bandgap energy of 1.2 eV for Zn<sub>3</sub>(N<sub>1-x</sub>O<sub>x</sub>)<sub>2-y</sub> structure which is same as our obtained bandgap value. Also there is another group who found a larger bandgap around 2.5 eV due to different oxygen ratio within Zn<sub>3</sub>N<sub>2-x</sub>O<sub>x</sub> structure [42]. The different bandgap energies obtained from our results and other published values are listed in Table 2 which shows how the oxygen ratio can change the optical bandgap of Zn<sub>3</sub>N<sub>2</sub> material.

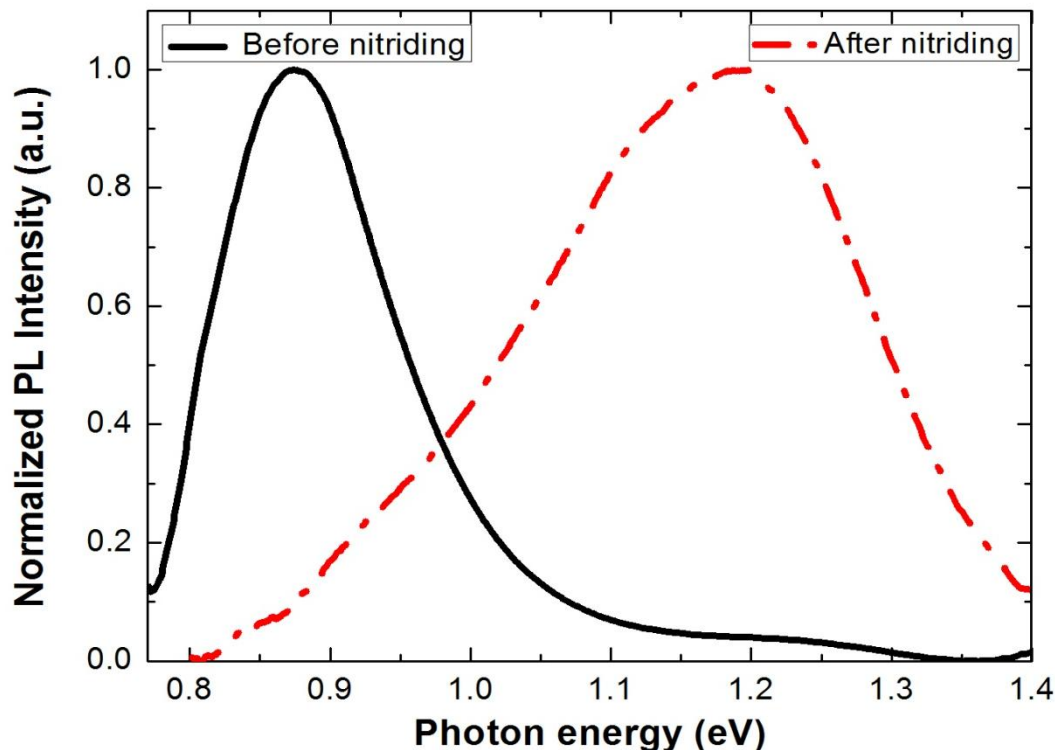


Figure 4-16. The PL spectra of the oxidized  $Zn_3N_2$  powder has an emission centered at 0.85eV and 1.2eV after thermal nitriding process

Table 2. Optical band gaps extracted from PL spectra and other published values. The percentage of O atoms within different zinc nitride powders was estimated by PXRD.

Samples (condition)	O ratio (%)	Optical bandgap $E_g$ (eV)
S1(fresh $Zn_3N_2$ powder)	2%	1.12
S2 (exposure to air for 1 month)	10%	0.98
S3(exposure to air for more than1 year )	22%	0.85
S4 (oxidized $Zn_3N_2$ powder after nitriding)	32% <sup>1</sup>	1.2
$Zn_3N_2$ films [16]	----	1.25
$Zn_3N_{2-x}O_x$ [42]	----	2.5
$Zn_3(N_{1-x}O_x)_{2-y}$ [33]	----	1.2-1.3
$Zn_3N_2$ films [4,43]	----	3.4
$Zn_3N_2$ films [31]	----	1.5

1. The oxidized  $Zn_3N_2$  powder had 45% O/ (N+O) ratio before nitriding process which is decreased to 32% after nitriding.

## Thermal oxidation of zinc nitride powder

Thermal oxidation of zinc nitride powder was performed at temperatures from 200 to 700 °C for 2 hours. XRD scans for samples oxidized at different temperatures are shown in figure 4.17. XRD patterns can be analyzed by measuring the intensity of the peak and also the peak position. Both pieces of information are included in the ICDD document. The PXRD scans demonstrate how the peak position changes at different annealing temperature. According to the Scherrer equation [45], the peak width is inversely proportional to the crystallite size, meaning that narrow peaks correspond to larger crystallites while broader peaks are attributed to smaller crystallite size. Peak width or full-width-at-half-maximum (FWHM) was determined for ZnN and ZnO powders using PXRD patterns. The mean crystallite size of the sample is evaluated by means of the Scherrer formula:

$$D = \frac{0.9\lambda}{B(2\theta) * \cos\theta_B} \quad (4-6)$$

where  $\lambda$ ,  $\theta_B$  and  $B$  are the X-ray wavelength (0.1542 nm), Bragg diffraction angle and the peak width respectively. According to the peak width, the crystallite size was estimated to be 45nm for ZnN (400) and 15nm for ZnO (101). The high intensity narrow peaks for ZnN powder correspond to large particles while the wide peaks for ZnO powder correspond to smaller particles. According to the XRD patterns for samples annealed from 200° to 400° C, zinc nitride peaks didn't differ much and no zinc oxide appeared in the material. According to the fact that the material annealed from 200°-400°C, contains less than 10% ZnO it can be concluded that there was just some limited surface oxidation. The formation of ZnO on the surface of the ZnN particles may protect the interior of the zinc nitride powders from bulk oxidation. According to our results, the thermal oxidation of zinc nitride powders in the 200°-400°C range is a slow process. When the temperature is higher than 500°C, fast thermal oxidation occurs on the

exterior of zinc nitride powder. Zinc oxide peaks started to appear and continuous heating at higher temperatures causes a transformation to pure ZnO. This is also clearly shown in figure 4.18 namely that the intensity of the zinc nitride peaks decreases with increasing temperature. The PXRD data of  $Zn_3N_2$  powder through thermal oxidation in air at different temperatures proved that bulk oxidation of the  $Zn_3N_2$  powders occurred above 500 °C.

Thermal gravimetric analysis in [34] also showed that the thermal decomposition behaviour of  $Zn_3N_2$  powder is fast above 500 °C similar to our thermal oxidation result.

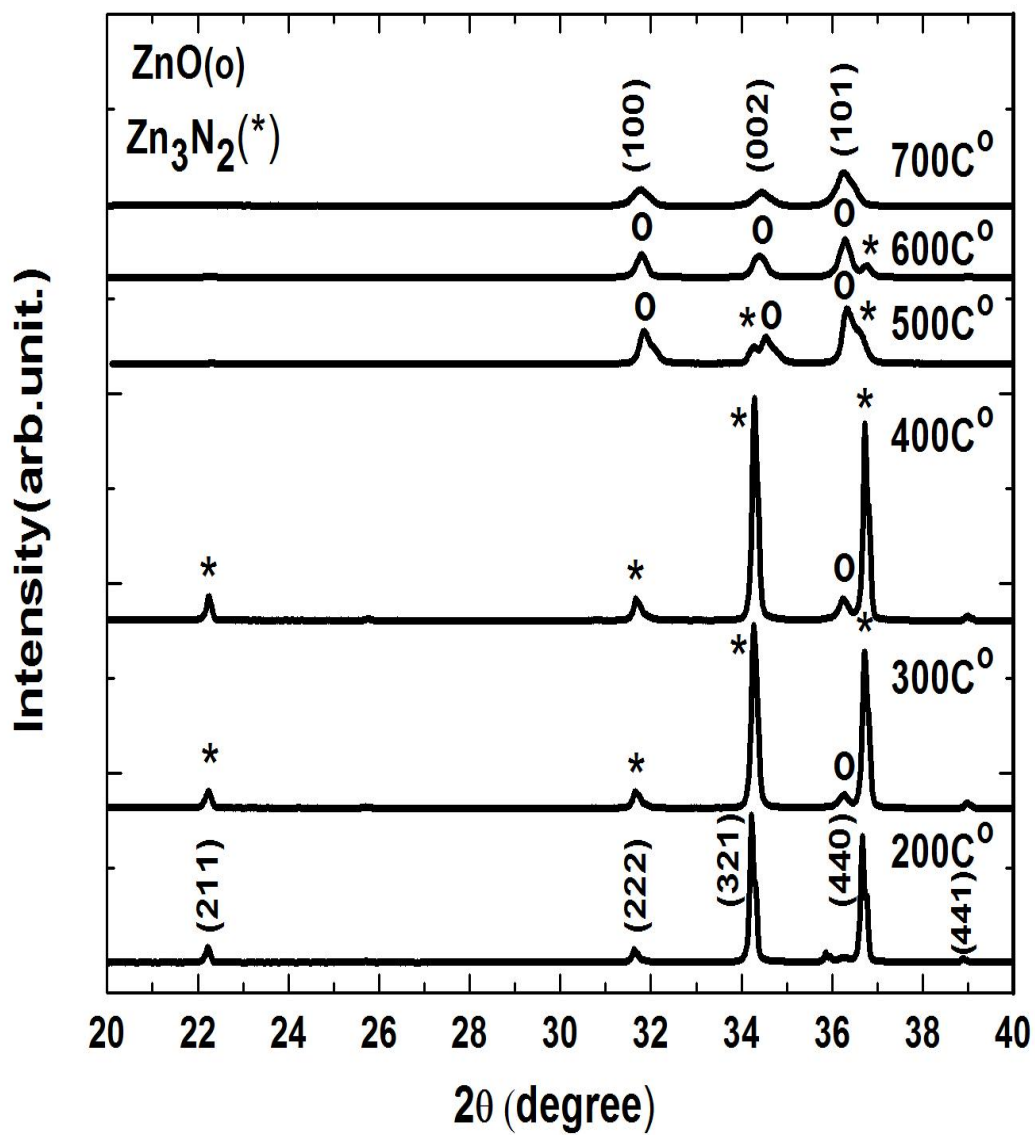


Figure 4-17. Powder X-ray diffraction patterns following thermal oxidation of Zn<sub>3</sub>N<sub>2</sub> powder for 2 hours at temperatures between 200° and 700°C.

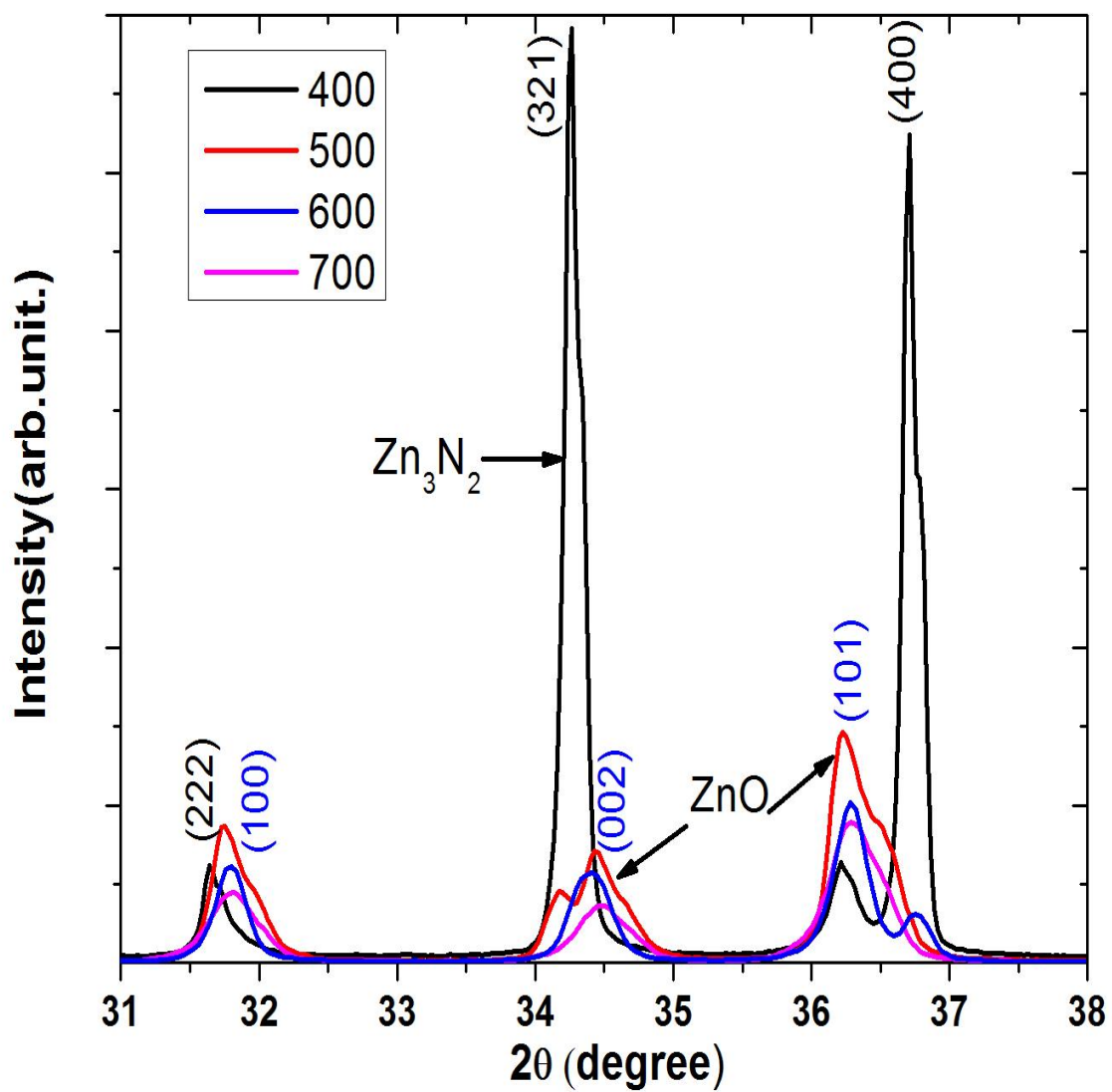


Figure 4-18. Powder X-ray diffraction patterns following thermal oxidation of Zn<sub>3</sub>N<sub>2</sub> powders at temperatures between 400°-700°C in the 2θ range from 31° to 38°.

The quantity of  $Zn_3N_2$  powder annealed in air at a temperature from  $200^\circ$  to  $700^\circ C$  was found. These values were obtained by the peak fitting using ICDD data. By comparing the peak intensity of ZnO and  $Zn_3N_2$  in PXRD pattern shown in figure 4-17, the quantity of these powders could be found at each temperature separately. Consequently, powder XRD scans can be used to estimate the concentration of ZnO within the  $Zn_3N_2$  powder as a function of annealing. The total amount of  $Zn_3N_2$  and ZnO at different temperature is plotted in figure 4.19. This data was fitted with the logistic function by using Eq.4.7:

$$f(T) = \frac{1}{1 + B e^{\frac{-E_a}{KT}}} \quad (4-7)$$

where  $f(T)$  describes the decline of  $Zn_3N_2$  as a function of temperature, and  $E_a$  is an activation energy while B is a dimensionless constant. Eq.4.7 was used to fit the data showing exponential decline of the  $Zn_3N_2$  concentration as a function of temperature from  $200^\circ$  to  $700^\circ C$  as shown in figure 4.19. Additionally to fit the equation to the exponential growth of ZnO, the other logistic equation was applied:

$$f(T) = \frac{B e^{\frac{-E_a}{KT}}}{1 + B e^{\frac{-E_a}{KT}}} \quad (4-8)$$

where  $f(T)$  describes the exponential growth of ZnO as a function of temperature as shown in figure 4.19 with circular points. From the fits to the experimental data, the activation energy of this reaction is determined to be 0.7 eV. This means the growth of the oxygen-nitrogen ratio (O/N) through the oxidation of  $Zn_3N_2$  depends on temperature and slows down below  $400^\circ C$ . This result indicates that  $Zn_3N_2$  powders are relatively stable at room temperature and oxidize slowly.

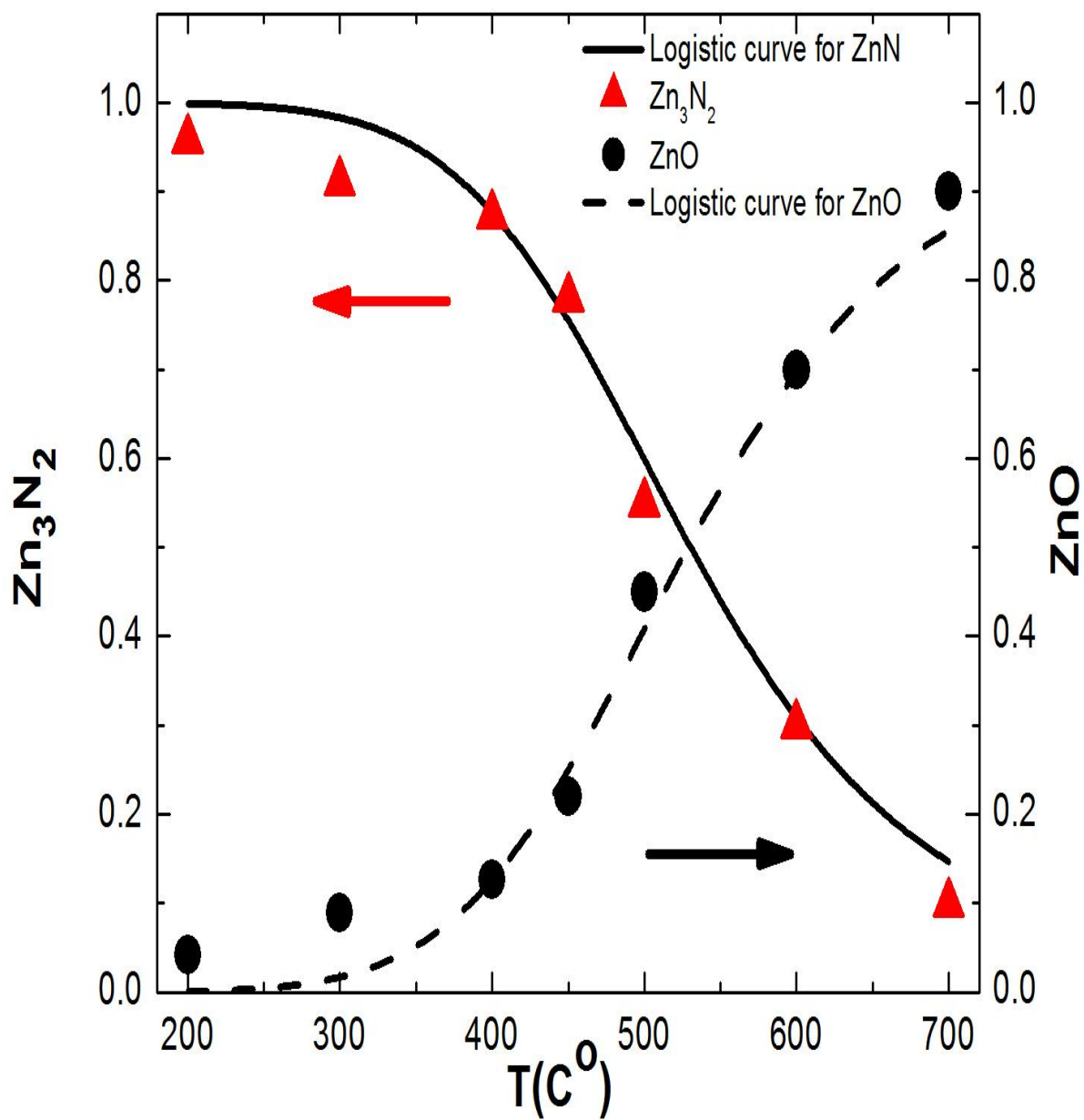


Figure 4-19. Triangular points and circular points show the quantity of  $Zn_3N_2$  and  $ZnO$  at different temperature respectively. The lines are logistic curves fitted to the experimental data

To observe the bulk oxidation of  $\text{Zn}_3\text{N}_2$  powder, the annealing temperature was set at  $500^\circ\text{C}$  and the  $\text{Zn}_3\text{N}_2$  sample was annealed for progressively longer times up to 6 hours in an ambient air. Figure 4.20 shows the XRD patterns of zinc nitride powder after thermal annealing in air for different lengths of time. According to the PXRD patterns, under this experimental condition, after 6 hours annealing in air the sample was mostly converted to ZnO (more than 70%). This is evident in the PXRD pattern in figure 4.20 in which ZnO peaks such as (100), (002) and (101) are dominant in this  $2\theta$  range. After 6 h annealing in air, almost complete oxidation occurred and the main  $\text{Zn}_3\text{N}_2$  peaks such as (321) and (400) completely vanished.

This can also be credited to the fact that after annealing powder in air at  $500^\circ\text{C}$  and consequently doping with the oxygen, the FWHM will increase in the crystalline structure as shown in PXRD scans in figure 4.20. On the other hand, due to formation of the ZnO, the  $\text{Zn}_3\text{N}_2$  particles will shrink. The diminishing of the zinc nitride peaks is clearly shown in PXRD scans in figure 4.21. It indicates the disappearance of the (321) plane of zinc nitride structure located near  $2\theta = 34^\circ$  due to thermal annealing. Also this diffraction data shows that the ZnO (002) reflection peak positioned at  $2\theta = 34.30^\circ$  is growing with time.

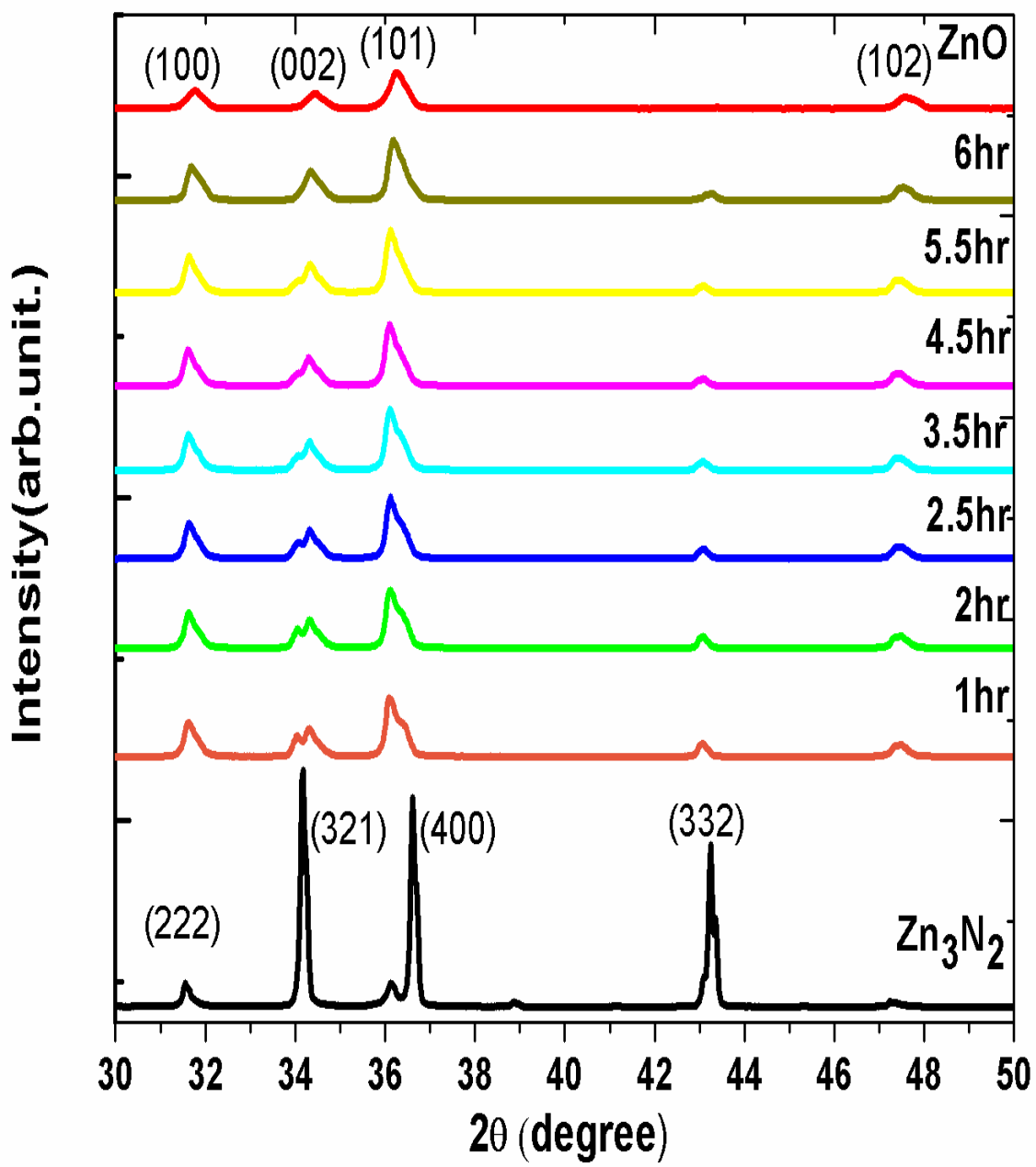
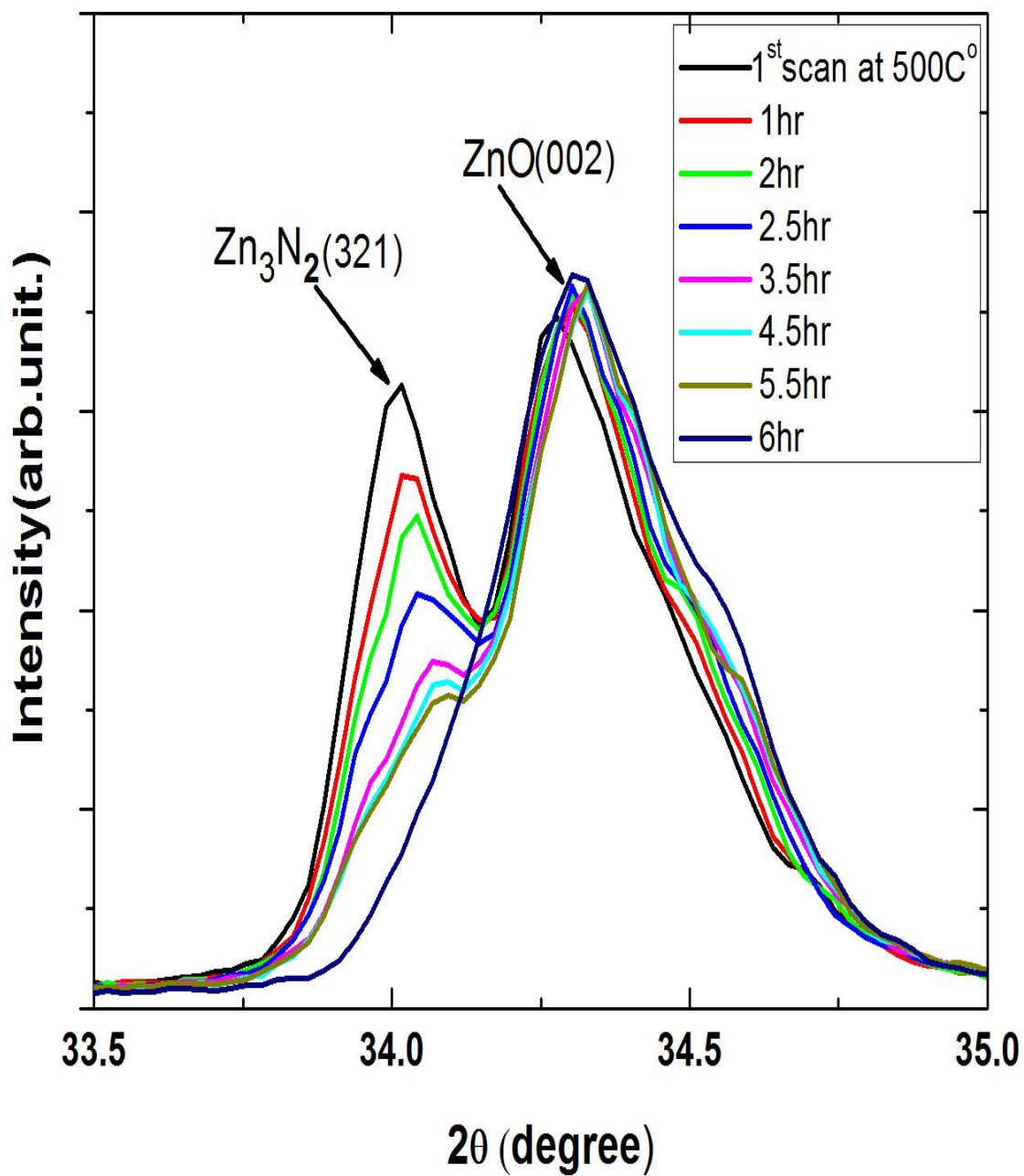


Figure 4-20. X-ray diffraction patterns of zinc nitride powder after thermal oxidation in air at 500°C for different lengths of time.



4-21. Expanded (321) peak of Zn<sub>3</sub>N<sub>2</sub> and (002) peak of ZnO in air-annealed zinc nitride powder

## 5. Discussion

From the literatures, it is clear that the band gap and optical properties of  $\text{Zn}_3\text{N}_2$  are related to the composition of the material. From our measurements, the optical bandgap value was found to be  $\sim 1.2$  eV for zinc nitride powder. In this study, all data from PL and DR measurements indicates that the bandgap of  $\text{Zn}_3\text{N}_2$  powder decreases due to the oxidation. The uncertainty in the literature regarding the bandgap of  $\text{Zn}_3\text{N}_2$  may be due to uncontrolled O contamination. Because it would be expected by increasing the oxygen the bandgap of material would be increased. The reduction of the optical bandgap of  $\text{Zn}_3\text{N}_2$  films was also reported in [35] in the case of thermal annealing. On the other hand, some literature reported that oxygen incorporation results in the enhancement of both the ionic character and band gap energy, respectively [31, 32]. Additionally, DFT calculations in [36] verified that substituting O for N atoms produces more stable composition within the anti-bixbyite zinc nitride. A possible explanation for the reduction of  $\text{Zn}_3\text{N}_2$  bandgap by oxygen substitution is related to the band anti-crossing (BAC) model of highly mismatched semiconductor alloys (HMAs) [37]. The electronegativity of nitrogen is 3.0 which is less than 3.4 the electronegativity of oxygen [38]. This means that anti-bonding states of the oxygen impurity atoms can be located near and below the conduction band since the oxygen has greater electronegativity than the nitrogen. These localized states of oxygen below the conduction band edge will lead to the formation of a narrow intermediate band. The energy sub-band formation inside of the bandgap due to the oxygen incorporation also was observed for hexagonal boron nitride (BN) in [41]. From our results we conclude that this sub-band is responsible for the reduction of the zinc nitride bandgap as long as there is only a small amount of oxygen incorporation. The oxygen impurity levels in II-VI semiconductors have also been studied lately. According to these experimental studies, if the electronegativity of the anion impurity is much larger than the host atom e.g., GaP:N and ZnTe:O, the anion impurity level will

form near the conduction band minimum CBM [46]. Additionally, a wide lower sub-band is formed within the conduction band of CdTe by oxygen incorporation which consequently caused a reduction of the band gap energy [40]. Also, narrowing of the bandgap due to the oxygen incorporation has been reported for  $\text{ZnO}_x\text{Se}_{1-x}$  by anti-crossing model [39].

Figure 5.1 is a schematic energy diagram for the interpretation of bandgap reduction results from PL and DR measurements. According to this schematic, the incorporation of oxygen can make an intermediate impurity band within the bandgap of zinc nitride which can slightly decrease the bandgap energy. The O2s anti-bonding level close to the bottom of the conduction band pushes the CB down. Also, the O2s bonding orbital forms in the valence band. If the oxygen content increases further within the  $\text{Zn}_3\text{N}_2$  structure, the bandgap energy will eventually increase. In figure 5.1 the optical bandgap energies for pure  $\text{Zn}_3\text{N}_2$  and ZnO are shown as 1.2 eV and 3.4 eV, respectively.

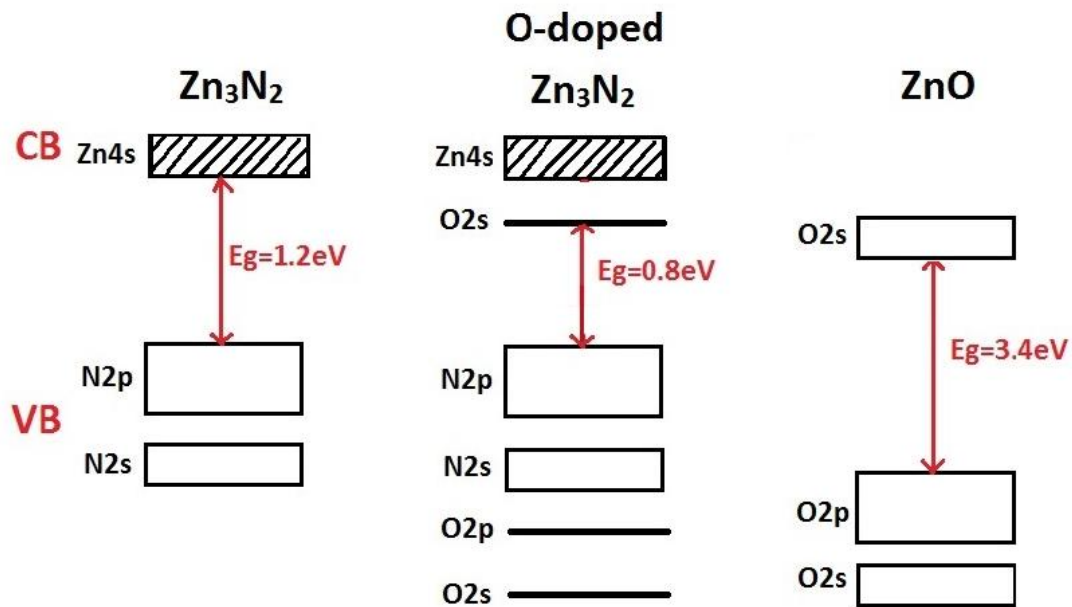
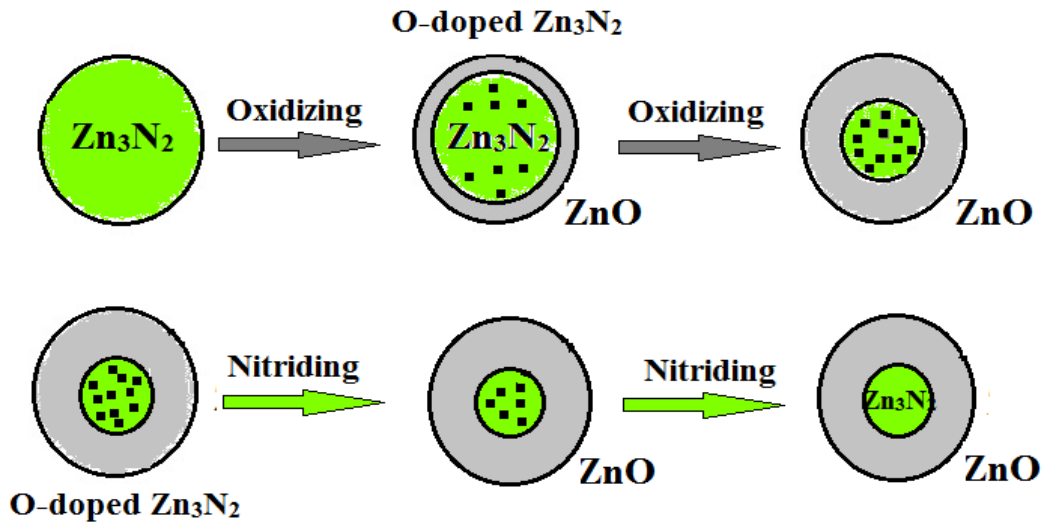


Figure 5-1. Schematic energy diagram for the oxygen doped  $\text{Zn}_3\text{N}_2$  which shows the conduction band interacts with narrow energy band formed by adding small amount of oxygen

Powder XRD data demonstrates that there is a mix of  $Zn_3N_2$  and  $ZnO$  powder. We speculate that the layer of  $ZnO$  forms around the  $Zn_3N_2$  particles after exposure to air while the centre of the particles still contains  $Zn_3N_2$ . The  $ZnO$  layer will grow as a result of more oxidation of the  $Zn_3N_2$  powders. But according to the different obtained bandgap from PL measurement, we conclude that there is a small amount of oxygen diffused into center of the  $Zn_3N_2$  particles. Furthermore, after thermal nitriding of the O-doped  $Zn_3N_2$  powder, our result shows the PL peak position shifts to higher energy around 1.2 eV. This means that nitriding the O-doped  $Zn_3N_2$  powder increases the bandgap energy due to the removal of dispersed oxygen atoms from the center of the  $Zn_3N_2$  particles. But the layer of  $ZnO$  still remains around  $Zn_3N_2$  particles after nitriding. So at the end of nitriding, there is almost pure  $Zn_3N_2$  in the center of particles with bandgap value of 1.2 eV. Figure 5.2 shows a schematic of the  $Zn_3N_2$  particles after oxidizing and nitriding. This implies that the different electronic bandgap structure for  $Zn_3N_2$  can be achieved by changing the oxygen content in the material through oxidizing or nitriding.



5-2. Schematic of  $Zn_3N_2$  particles after oxidizing and nitriding

## Conclusion

In this work, the optical bandgap energy of  $Zn_3N_2$  powder has been obtained by two different techniques. The bandgap energy of zinc nitride powder was investigated using PL and DR measurements. Some samples of  $Zn_3N_2$  powder with different oxygen contamination were used to study the effect of oxidation on the zinc nitride bandgap energy. Also, SEM and powder XRD have been used as structural characterization techniques.

SEM images showed the surface morphology of zinc nitride powders which had faceted shapes with micron size diameter. Powder XRD scans provided information as the crystal purity of ZnN powders. The oxygen contamination was determined for different powder samples under different annealing conditions by PXRD.

From the photoluminescence spectra of the samples, the bandgap energy and also the temperature dependence of the band gap energy has been studied. Room temperature PL measurements yield a bandgap of 1.12 eV for  $Zn_3N_2$  powders. Due to the oxidation process, the PL spectra showed a peak shifting from at 1.12 eV to 0.85 eV which can be explained by anti-crossing interaction between the extended states of the conduction band of  $Zn_3N_2$  and the localized oxygen states near the bottom of the conduction band. The low temperature PL showed that the bandgap energy is around 1 eV. Also a blue shift of photoluminescence peak was observed with decreasing the temperature.

To determine the bandgap energy of  $Zn_3N_2$ , the diffuse reflectance has been used as another technique. By using Kubelka-Munk formula, the relation between diffuse reflectance and optical absorption was found. The calculated bandgap from reflectance measurement verifies that  $Zn_3N_2$  powder has a bandgap value of 1.12 eV. Also, similar to the PL result for different ZnN powders,

this bandgap value decreases in the presence of small concentrations of oxygen. The DR results have been compared to similar measurements made with a commercial UV-VIS spectrometer.

To study the effect of oxygen contamination on the ZnN bandgap energy, a thermal nitriding method was implemented. PL and PXRD results showed that the thermal nitriding process can cause an enhancement of the bandgap energy for O-doped  $Zn_3N_2$  powder due to a reduction in oxygen content.

In addition, for analyzing micro-structural properties, the thermal oxidation was applied to fresh zinc nitride powder. The PXRD data of  $Zn_3N_2$  powder as a function of thermal oxidation at different temperatures illustrates that bulk oxidation occurs rapidly at 500°C and higher. This result confirms that  $Zn_3N_2$  powder does not oxidize quickly at room temperature.

From our result, the optical bandgap is estimated to be ~1.2 eV for pure  $Zn_3N_2$  powders which decreases with increasing oxygen. The oxygen as a more electro-negative anion creates bound states within the bandgap of  $Zn_3N_2$ . It can be concluded that the O/N ratio explains why the optical properties and band structure of  $Zn_3N_2$  powder is a controversial subject for researchers. Clearly, controlling the oxygen-nitrogen ratio within the  $Zn_3N_2$  structure is a key parameter for the optical properties and applications which needs further investigation. It is expected that this material will have some applications in photonics and solar cells in the future due to its appropriate bandgap energy, low materials cost and lack of toxicity.

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