

Concerns regarding proposed groundwater Earth system boundary

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Groundwater, the largest store of accessible freshwater on Earth, is under increasing pressure as a resource¹ and its over-exploitation can harm dependent ecosystems, increase the severity of hydrological drought, and cause land subsidence and salinization². It is, therefore, a welcome development that groundwater has been explicitly incorporated in the endeavour to quantify safe and just Earth system boundaries (ESBs) in a recent article by Rockström et al.³. However, here we raise concerns about the proposed groundwater ESB, by showing how it is based on an incorrect conceptual understanding of groundwater system dynamics and nomenclature. The proposed boundary is thus potentially misleading, with important implications for assessing the global status of groundwater resources with regards to safe and just water management.

Groundwater systems exist in a state of dynamic response to their imposed boundary conditions such as variations in climate, land cover, sea level and pumping⁴. They respond over timescales from months and years up to thousands of years for the world's most important large aquifers⁵. Hence, there are often long-timescale transients in large-scale groundwater storage (GWS), which may be greatly lagged and attenuated compared with the current state of the boundary conditions.

Following any new, sustained groundwater pumping, groundwater storage is always initially reduced to a greater or lesser extent. Gradually, again over long timescales for large aquifers⁶, the rate of storage loss slows until the rate of pumping is matched by a combination of decreases in flows of groundwater that would have naturally discharged to rivers, the sea or evaporating vegetation, and by changes (normally increases) in any replenishment that the system is receiving (for example, from rainfall or streamflow losses). This is the concept of 'capture' whereby the system may approach a new dynamic equilibrium⁷, unless the rate of pumping is such that the maximum rate of capture is exceeded⁸, in which case, ongoing GWS decreases will occur.

Crucially, what can be considered renewable groundwater use is dependent on the spatio-temporal distribution of pumping, and is not an inherent property of an aquifer⁹. What may be considered sustainable groundwater use may thus be a small fraction of the renewable use, owing to value-laden and culturally variable judgements about what is considered 'safe' and 'just'⁹.

A methodology that combines Gravity Recovery and Climate Experiment (GRACE) satellite observations with global hydrological models was used to assess whether "the subglobal safe ESB is met for

a given aquifer when local drawdown does not exceed average annual recharge"³. This amounts to a subglobal safe ESB requiring no net decrease in GWS during the reference period used (2003–2016). It is noted that, having deciphered the hydrologically incorrect nomenclature, we checked the published code³ and then clarified our correct understanding of the method with the authors via personal correspondence before submitting this Matters Arising. A correct subglobal ESB concept is critical as "the global ESB for groundwater is that the subglobal ESB is met for all aquifers around the world"³. Unfortunately, the understanding of groundwater dynamics we describe above reveals fundamental problems with the proposed subglobal safe groundwater ESB including:

1. Historic pumping may have already reached a new dynamic equilibrium before the reference period for which a subglobal ESB is calculated. If so, previous pumping could have been devastating ecosystems, causing subsidence and inducing saline intrusion, and still be ascertained as 'safe' according to the proposed definition.
2. Some decrease in GWS must occur when groundwater is pumped. Hence for a system that is initially in dynamic equilibrium over a similar timescale to the reference period, no new groundwater abstraction at all is possible under 'safe' conditions of the proposed subglobal ESB.
3. If there is a trend downwards (natural or otherwise) within the reference period, for reasons that have nothing to do with pumping, or the error in the GWS estimation implies an incorrect downwards trend, a subglobal ESB could be transgressed even without any groundwater being pumped.
4. If there is a trend upwards (natural or otherwise) within the reference period for reasons that have nothing to do with pumping, or the error in the GWS estimation causes an incorrect upwards trend, a subglobal ESB might not be transgressed despite sufficient pumping occurring to cause significant harm.

The proposed subglobal ESB will probably catch instances of over-abstraction in already documented 'hotspots'¹⁰, where pumping exceeds the maximum capture leading to persistent GWS decline. However, because the approach does not rigorously account for natural variability in recharge and discharge dynamics⁴, or the timescales and mechanics of groundwater pumping hydraulics^{5–9}, the method is not fit for purpose and results drawn from this method are potentially misleading and unsafe.

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The proposed boundary is also not just, for at least two reasons. First, some groundwater storage depletion is inherently necessary to extract groundwater⁷, meaning that maintaining a 'safe' subglobal ESB excludes some of the poorest people in the world and their future generations, who might have access to unused aquifers, from beginning to abstract any groundwater to improve their livelihoods. For example, climate-resilient development pathways identified by the Government of Niger in one of the poorest, yet rapidly growing, regions on the planet (Maradi, Niger) are contingent on groundwater withdrawals from an undeveloped sandstone aquifer¹¹.

Second, under the proposed boundary, many already over-abstracted aquifers, from which wealthier nations have already benefited (for example, via irrigated or industrial productivity before the GRACE reference period), may still be within a subglobal groundwater ESB, for instance, if long-term pumping has led to a new equilibrium with overall lower groundwater levels. The paper³ argues that interspecies justice and future intergenerational justice are not met if local GWS declines over time, but without framing the boundary robustly within an appreciation of the groundwater system dynamics, this is potentially increasing environmental injustice instead.

Methodologically, insufficient attention has been paid to uncertainties in the calculation of changes in GWS from GRACE satellite data, which requires the deduction of highly uncertain estimates of storage changes for other terrestrial water stores from global-scale models¹². By analysing at 0.25° resolution for the subglobal ESB, the authors³ also overlooked the explicit resolution guidance that comes with the published RL06.2 dataset they have used (https://www2.csr.utexas.edu/grace/RL06_mascons.html) which has a native resolution as per the CSR RL06 mascon solutions of 1°, and says "users must exercise caution when using these solutions in basins smaller than approximately 200,000 km². Moreover, these solutions should be used to perform basin level time-series analysis and never be used for analysis at a single grid point". Furthermore, the way groundwater recharge has been estimated from minimum and maximum storage anomalies while disregarding groundwater discharge will lead to substantial underestimation of groundwater recharge in areas where groundwater interacts with surface water. Variations in hydrological nomenclature are not necessarily problematic per se as long as they are clearly defined. However, redefining recharge in this way means the comparison presented in Supplementary Table 4 in ref. 3 with existing global-scale recharge estimates that all use a more hydrogeologically standard definition of recharge (that is, as rates of aquifer replenishment) is effectively 'comparing apples and oranges'. We are at a loss to see how the authors can claim "High confidence on the globally aggregated [...] groundwater volumes"³. The paper³ states that multiple levels of likelihood are defined for each ESB but we see no rigorous attempt to do so for the groundwater boundary.

This flawed approach to the subglobal ESB is then considered globally to see whether it is met "for all aquifers around the world"³. However, this is inappropriately¹³ reported as "sums to 15,800 km³ per year global drawdown" (Table 1 in ref. 3), which is misleading even when overlooking the definition of 'drawdown' as inconsistent with standard groundwater nomenclature. Even if we accepted the definition of the subglobal ESB as being robust, the conclusion that "53% of global land area satisfies ESB"³ should not be considered a meaningful statement given the unconstrained uncertainties in the methodology employed. We note a recent study that attempted a global analysis with a different remote-sensing method shows a markedly different spatial pattern of estimated GWS storage trends¹⁴. It is clear that such analyses are still highly uncertain and more work is needed before they are employed operationally.

In conclusion, we consider the proposed groundwater ESB to be flawed, unsafe and unjust. The conclusion that "53% of global land area

satisfies ESB"³ should not be considered a meaningful statement. Any useful proposed groundwater ESB needs to be better rooted in robust groundwater theory that accounts for how it relates to the surface water boundary¹³, when and where groundwater is being used, be measurable at the scale that real-world groundwater management is occurring on, and effectively consider how groundwater can be used to reduce environmental injustice. As worthy as the aspiration for this boundary is, the proposed concept and implementation fails in all these crucial respects.

Data availability

There are no data associated with this paper.

Code availability

There are is no code associated with this paper.

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Author contributions All authors agreed verbally on the main points of concern. M.O.C. then wrote an initial draft, which was improved through further discussion and editing by all authors.

Competing interests The authors declare no competing interests.

Additional information

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Reply to: Concerns regarding proposed groundwater Earth system boundary

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REPLYING TO: M. O. Cuthbert et al. *Nature* <https://doi.org/10.1038/s41586-024-08082-9> (2024).

Groundwater is widely used for domestic and agricultural purposes, but is subject to increasing risks from overexploitation. Responding to this global significance, we recently defined a safe and just Earth system boundary (ESB) for groundwater¹ and, in the absence of a consistent data source on baseline aquifer volumes, used derived estimates of groundwater storage (GWS) from the Gravity Recovery and Climate Experiment (GRACE) satellite mission data to assess the state of the Earth system with respect to the ESB for groundwater. In the accompanying Comment², Cuthbert et al. agree that our effort to define a groundwater ESB is timely and important, but they assert that our approach is “flawed, unsafe and unjust”. Their concerns reflect misunderstandings of the definition and purpose of the boundary, a misunderstanding of the ‘safe’ and ‘just’ concepts³ that underpin our work, a lack of confidence in the use of GRACE data to calculate changes in GWS, and the possibility of confusion related to the use of some terms.

Our definition of the subglobal ESB is independent of the data source used to assess the current Earth system state relative to the boundary. We defined the safe and just ESB as met where net annual drawdown from all sources (which includes natural discharge to surface waters and anthropogenic abstraction) does not exceed net average annual recharge to prevent a long-term downwards trend in groundwater levels¹. Our motivation was to choose ESBs that maintain the stability and resilience of the Earth system (for groundwater, to sustain groundwater-dependent ecosystems) and to avoid harm to humans (for groundwater, for example, from seawater intrusion or land subsidence)¹. We reported on the current GWS trends of the global land surface area using the GRACE data (Table 1 in ref. 1), in which groundwater storage change provides an indicator of changes in the groundwater level, but it is important not to conflate the assessment of the current state of global GWS with the definition of the ESB. The spatial definition of the ESB addresses concerns about the limitation of the solely volumetric approach to previous derivations of the planetary boundary for water⁴.

Cuthbert et al. suggest that previous groundwater pumping that may have created a new dynamic equilibrium and previously devastated ecosystems could be considered safe under the proposed ESB. We explicitly acknowledged (page 47 of Supplementary Information in ref. 1) that a limitation of using averages defined during the current climate is that “it sets present/recent levels of recharge as the baseline, which fails to account for harm that is already caused to present generations”. Indeed, we state (Table 1 of in ref. 1) that the safe and just ESB must also “ensure recovery” and, as such, we disagree that an

accurate interpretation and application of the safe and just ESB would allow historical over-extraction to be considered ‘safe’. We recognize that this is not systematically explored in the paper and we welcome suggestions on how to better assess this.

It is true that a decrease in the GWS of an aquifer is likely when its subglobal ESB is not met. However, this does not imply that no new abstraction is possible under safe conditions, as suggested, because the ESB is defined (page 107 in ref. 1) as “Annual drawdown does not exceed average annual recharge”. Some new abstraction may be possible within the ESB in years with above average recharge. The ESB does take into account natural variability and will change as average annual recharge responds to climate and other factors.

Cuthbert et al. note that GW depth can be lowered and attain a new equilibrium in response to sustained pumping, but this cannot be considered safe under our definition because of the potential impact on groundwater-dependent ecosystems (for example, ref. 5) and base flows to rivers. We also agree that a downwards trend in annual recharge (which is the actual basis for the ESB and not GWS) may occur for reasons that have nothing to do with pumping. Indeed, our subsequent work⁶ shows that some regional declines in groundwater recharge are associated with declining trends in annual rainfall.

The critique that this is a flaw of the groundwater ESB indicates a misunderstanding that the ESBs were never intended to provide a sustainability assessment. The ESBs, across all domains considered in ref. 1, delimit states of the Earth system that ensure planetary stability and minimize significant harm to people from Earth system change. Although the ESBs can help inform target-setting, the groundwater ESB is not intended to provide a target for sustainable groundwater pumping. We used the GRACE data to determine the broad trends in GWS decline; however, the groundwater extraction that may safely occur within this boundary “should be defined based on local-scale monitoring”¹ including assessments of capture across a suitable reference period and groundwater pumping hydraulics as noted by Cuthbert et al.

Cuthbert et al. contend that the proposed groundwater ESB is also not ‘just’. They first argue that our approach is unjust because “some groundwater storage depletion is inherently necessary to extract groundwater”. This position, however, ignores that ‘just ESBs’ were defined as those that avoid significant harm to people from Earth system change (no significant harm). Our work emphasized that avoiding significant harm is a necessary but far from sufficient condition for justice, which involves many other elements such as minimum access and allocation of resources, risks and responsibilities³. Just minimum

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access to resources and whether sufficient access to resources is possible within safe and just (no significant harm) boundaries are explored in detail elsewhere^{6,7}.

The second argument of Cuthbert et al. regarding justice is that already over-abstracted aquifers may be within the ESB. We have addressed this above and acknowledge in the paper that adhering to the safe and just boundaries would considerably restrict current use and require policies to ensure distributive justice. A similar argument is true for climate. The planet has already exceeded the safe and just ESB of 1 °C, but further emissions are necessary for just access to energy under current modes of energy production. As with groundwater, further boundary transgressions will occur if we are to provide just access to all people, without radical and systemic transformations⁶.

The authors state that our estimate of the current global state of the groundwater ESB (that 53% of global land areas currently satisfies the ESB, calculated by quantifying the total area showing groundwater storage decline) should not be considered a “meaningful statement”. They cite a recent study that used GRACE data to assess persistent vertical displacement of land associated with GWS decline⁸ and note that the spatial patterns in that paper differ from those we have mapped in other work⁶. This is not entirely surprising because the two papers have measured related but different processes over slightly different timescales. The broad similarities of surface area with groundwater decline, however, does suggest some confidence in the use of GRACE data, albeit with associated uncertainty.

Cuthbert et al. raise concerns about our use of GRACE data to assess trends in GWS, questioning its observational range and post-processing requirements, including the need to deduct other terrestrial water stores, and our recognition of the uncertainties in the approach. Our approach to quantifying groundwater is based on a consistent, recognized methodology^{9,10} that allows the large-scale assessment of diverse subsurface water storage systems with data provided by NASA/Center for Space Research at 0.25° resolution. We undertook the following steps:

1. As explained in the Supplementary Information of ref. 1 (pages 19–20), we followed methodologies to subtract all other water storage components via the Global Land Data Assimilation (GLDAS) National Oceanic and Atmospheric Administration Land Surface Model.
2. We then used trend analyses at all pixels across the globe, following methodologies previously used for country¹¹ and global¹² trend analyses of 0.25° GRACE data. Recent methodological developments include approaches to resolving GRACE solutions that show very strong correlations between GRACE solutions at different scales, including 0.25° (ref. 13).
3. Our final step was to quantify the fraction of global land area where the trend in GWS is declining. Proceeding to an aggregated global-scale result is consistent with the advice quoted by Cuthbert et al. to not use GRACE data to analyse an isolated single pixel or a basin smaller than 200,000 km². We recognize that we did not, however, assess the uncertainty introduced by aggregating in this manner.

We recognize the uncertainties in the auxiliary global model data products (for example, GLDAS) usually used in processing groundwater from GRACE, and the challenges associated with spatial resolution, among other issues¹⁴. These global models generally tend to underestimate water storage changes compared with GRACE¹⁵ but the GLDAS product has been widely preferred to help quantify groundwater change from GRACE^{9,10,14,16}. Furthermore, a review of GRACE analyses validated against local groundwater monitoring found errors to be within 2–13% of the trend signal in large basins around the world (>140,000 km²)¹⁷. Although we discussed these issues in the Supplementary Information of ref. 1, we agree that a formal uncertainty analysis should be a high priority for future work.

Cuthbert et al. further argue that nomenclature is a problem and could lead to confusion. We have used nomenclature from the remote-sensing hydrology community where total storage has been used to define groundwater availability (for example, ref. 14). Our use of recharge as net annual aquifer water gains (or groundwater availability) and drawdown as net annual aquifer losses from both human abstraction and natural discharge are also consistent with this literature (for example, refs. 14,18). We are aware that these definitions differ from those frequently used in hydrological modelling communities and hope that this exchange helps reduce future confusion when these communities interact. We also acknowledge that these definitions should provide an underestimate of groundwater recharge, as stated by Cuthbert et al., and note that our GRACE-derived recharge estimate (in millimetres per year) is indeed lower than other global estimates cited in Supplementary Table 4 in ref. 1, but within the range of other global volumetric estimates.

Our paper aimed to be a “transparent proposal for further debate”. We thank Cuthbert et al. for engaging with our work and fully expect and hope that others will take up the challenge in subsequent peer-review publications. The safe and just ESBs for blue water have been developed to protect the Earth system and the ecosystem services that aquatic ecosystems provide and minimize significant harm to humans from changes to blue water flows. The critique of Cuthbert et al. adds further support to the importance of advancing safe and just planetary and Earth system boundaries for freshwater, but we strongly refute their assertion that the current groundwater ESB is “flawed, unsafe and unjust”.

Data availability

No new data were created or analysed for this paper.

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Matters arising

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