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Manuscripts

1 *Coherent population dynamics associated with sockeye salmon juvenile life history strategies*

2

3 Running header: Ecological drivers of coherent dynamics

4

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19

ABSTRACT

20 Although the importance of diversity to maintaining metapopulation stability is widely
21 recognized, the ecological characteristics that lead to synchronous dynamics within population
22 aggregates are often unclear. We used a constrained dynamic factor analysis to explore patterns
23 of covariance in productivity among 16 Fraser River sockeye salmon (*Oncorhynchus nerka*)
24 conservation units (CUs). Specifically, we tested whether coherent trends in productivity
25 covaried with five distinct ecological attributes: physical characteristics of nursery lakes, large-
26 scale management interventions, genetic similarity, adult migration phenology, or juvenile
27 migratory traits. The top-ranked model had two trends based on nursery lake characteristics and
28 juvenile migratory traits. One trend represented the dynamics of CUs that rear in nursery lakes
29 prior to ocean entry and undergo relatively rapid marine migrations. The second included a sea-
30 type CU, Harrison River, which enters the marine environment without rearing in a nursery lake
31 and migrates more slowly. The uniform response of lake-type CUs, as well as Harrison River
32 CU's unique life history, suggests that coherent trends are structured by traits that covary with
33 broad life history type, rather than fine scale characteristics. Furthermore, we document
34 substantial temporal variability in the strength of synchronous dynamics among Fraser River
35 CUs. Greater synchrony in recent years suggests that the importance of shared regional drivers,
36 relative to local processes, may have increased.

37

38 Keywords: dynamic factor analysis, life history, migration, population dynamics, synchrony

39

40

41 INTRODUCTION

42 Diversity tends to stabilize systems across ecological scales (McCann 2000). For example,
43 asynchronous dynamics among multiple subpopulations can reduce the temporal variability of
44 metapopulations (Schindler et al. 2010). As a result, metapopulation stability can be increased by
45 conserving a sufficient number of component subpopulations (Anderson et al. 2015). In certain
46 instances, however, population aggregates can be more efficiently stabilized by preserving
47 components with distinct ecological characteristics with the goal of maximizing functional
48 diversity (Mori et al. 2013; Anderson et al. 2015).

49 Unfortunately, identifying specific mechanisms that lead to asynchronous dynamics is
50 difficult, particularly for highly migratory species such as anadromous Pacific salmon
51 (*Oncorhynchus* spp.) that interact with diverse ecosystems. One approach to understanding
52 patterns of synchrony within salmon metapopulations is to focus on coarse life-history
53 characteristics that are correlated with growth or survival. For example, Pacific salmon
54 populations are commonly composed of multiple age classes. Age-at-maturity can reflect broad
55 differences in life-history variation that range from fish that spend no time rearing as juveniles in
56 freshwater (river- or sea-type salmon), to those that rear in freshwater for months or years prior
57 to migrating to the ocean (lake-type salmon) (Groot and Margolis 1991). Individuals within a
58 given age cohort generally exhibit similar productivity because survival rates vary interannually
59 in both freshwater and marine ecosystems (Schindler et al. 2010). Ultimately this interannual
60 variability can result in heterogeneous age structures stabilizing metapopulation dynamics
61 (Schindler et al. 2010; Moore et al. 2014).

62 Many Pacific salmon metapopulations, however, do not exhibit substantial variation in
63 age structure or life-history strategy. For example, the Fraser River sockeye salmon (*O. nerka*)
64 metapopulation is abundant (range: 1-13 million spawners annually; DFO 2016), consisting of
65 hundreds of spawning populations aggregated into 24 conservation units (CUs) (Grant et al.
66 2011). Despite this apparent richness, the metapopulation exhibits relatively little variation in age
67 of maturity. The majority of Fraser River individuals return after two winters in freshwater (one
68 as eggs in the gravel and one as juveniles in a rearing lake) and two winters in the northeast
69 Pacific Ocean (Burgner 1991; but see Holt and Peterman 2004). The Harrison River CU is an
70 exception to this pattern, with individuals migrating to sea shortly after emerging from gravel
71 and maturing after 2-3 years in the ocean.

72 Sockeye salmon productivity (recruits per spawner) is correlated at regional scales (e.g.
73 throughout British Columbia and Washington; Mueter *et al.* 2002, Malick *et al.* 2017) and many
74 populations in the southern portion of the species range declined from the mid-1990's to 2009
75 (Peterman and Dorner 2012). Yet productivity has not varied uniformly across populations
76 during this period (Grant et al. 2011; Peterman and Dorner 2012; Ye et al. 2015). We suggest
77 ecological processes occurring at finer scales may drive variation in productivity unexplained by
78 regional environmental forcing. Here we focus on five distinct mechanisms that may underpin
79 patterns of covariance in productivity among Fraser River sockeye salmon CUs: 1) freshwater
80 rearing habitat, 2) management interventions, 3) genetic similarity, 4) adult migration phenology,
81 and 5) juvenile marine migration characteristics.

82 First, a range of environmental factors during freshwater residence can influence salmon
83 reproductive success or survival, and may result in populations with similar habitats exhibiting
84 shared trends in productivity. For example, interannual variation in conditions such as river flow,

85 water temperature, or dissolved oxygen can influence spawner success (Connor and Pflug 2004;
86 Crossin et al. 2008), egg or juvenile survival (McNeil 1966; Crozier and Zabel 2006), and
87 growth (Clarke et al. 1981; Beacham and Murray 1985). Since these conditions can vary across
88 freshwater habitats, covariance between populations may be spatially correlated (i.e. the Moran
89 effect; Moran 1953, Liebhold et al. 2004). Furthermore, the intrinsic carrying capacity of nursery
90 lakes is linked to physical characteristics such as depth, latitude, and elevation (Shortreed et al.
91 2001). Thus, covariance in productivity may be particularly strong among populations that rear
92 in similar nursery habitats and increase with spatial proximity.

93 Second, anthropogenic interventions to alter freshwater spawning or rearing habitat may
94 buffer populations from natural variability and result in divergent trends in productivity.
95 Although hydropower development and hatchery impacts are less widespread in the Fraser River
96 than many other North American watersheds, several CUs have been disproportionately
97 influenced by large-scale management actions. The Anderson-Seton, Nadina-Francois, and
98 Harrison (Upstream) CUs contain spawning channels developed between 1966 and 1973, which
99 may stabilize freshwater productivity, relative to natural populations, from environmental
100 stochasticity (Grant et al. 2011). Similarly, the Chilko Lake CU contained a spawning channel
101 (1988-2003) and received large-scale fertilization treatments in the late 1980s and early 1990s
102 that may have increased the growth of fry and spawner abundance (Bradford et al. 2000,
103 Maxwell et al. 2006; but see Akenhead et al. 2016). Finally, the dynamics of the Pitt River CU
104 may have been influenced by hatchery practices (Peterman and Dorner 2012).

105 Third, covariance in productivity among populations may be correlated with life history
106 characteristics. Traits such as fecundity and egg size (Beacham and Murray 1985; Fleming and
107 Gross 1990; Beacham and Murray 1993), thermal tolerance (Eliason et al. 2011), and body size

108 (Beacham and Murray 1987; Beacham et al. 2014b) vary widely among salmon populations and
109 are typically heritable (Taylor 1991; Carlson and Seamons 2008). Although detailed life history
110 data are unavailable for many populations, genetic similarity between CUs may serve as a proxy
111 and effectively predict patterns of covariance in productivity. Indeed, trends in abundance among
112 Fraser River Chinook salmon (*O. tshawytscha*) populations are more strongly correlated with
113 genetic relatedness than spatial proximity, age structure, or nursery habitat (Braun et al. 2016).

114 Fourth, populations with similar spawning migration phenologies (i.e. run timings) may
115 exhibit common trends in productivity due to shared exposure to adverse environmental
116 conditions. Higher discharge generally occurs during earlier migrating runs (Early Stuart and
117 Early Summer Run), which can increase energy expenditure during upstream migrations
118 (Macdonald 2000; Grant et al. 2011). During the Summer and Late Runs, high water
119 temperatures can also increase the difficulty of spawning migrations (Cooke et al. 2004; Crossin
120 et al. 2008). Although estimates of recruitment are corrected for losses due to prespawn mortality,
121 difficult migratory conditions may result in negative legacy effects on subsequent generations
122 (DFO 2014). Furthermore, run timing may serve as a proxy for offshore distributions. Fraser
123 River sockeye salmon typically migrate into the river after holding nearshore for a relatively
124 short period (Burgner 1991; Grant et al. 2011). Thus, differences in run timing suggest
125 populations differ in either their offshore distribution or the timing of their return migration
126 (Blackbourn 1987). Indeed, early migrants from the Takla-Trembleur-ESTu CU are more likely
127 to migrate through Juan de Fuca Strait than later run timings (DFO 2016a). Variation in spatial
128 distribution, phenology, or migration route may influence growth or survival via differential
129 exposure to competition, prey resources, or predators.

130 Finally, the migratory patterns of juvenile sockeye salmon may covary with trends in
131 population productivity. The mortality of Pacific salmon is high during early marine residence
132 (Parker 1968; Healey 1982) and may strongly influence recruitment (Pearcy 1992). Generally,
133 Fraser River sockeye salmon enter the marine ecosystem in the Strait of Georgia, migrate north
134 along the continental shelf, and move offshore into the Gulf of Alaska by late autumn (Tucker et
135 al. 2009). However, there is evidence that migratory phenology, both out of the Fraser River and
136 along the continental shelf, can vary among CUs (Tucker et al. 2009; Beacham et al. 2014a;
137 Neville et al. 2016), and may be correlated with body size (Beacham et al. 2014b). Furthermore,
138 differences in early marine migratory characteristics may have cumulative effects on later life
139 stages (Freshwater et al. 2016a). If CUs exhibit similar migratory phenologies, spatial
140 distributions, and physical condition, they may also experience similar rates of survival.

141 Here we examine 16 Fraser River sockeye salmon CUs over a 38-year period to identify
142 coherent trends in productivity and to determine whether covariance in productivity was
143 correlated with freshwater rearing habitat, different management actions, genetic relatedness,
144 adult run timing, or early marine migration characteristics.

145

146 METHODS

147 *Sockeye Salmon*

148 Sockeye salmon is an anadromous, semelparous fish distributed throughout the North
149 Pacific. Adults return to natal rivers and lakes in summer and autumn to spawn and juveniles
150 emerge the following spring. The majority of juvenile sockeye salmon migrate to nearby nursery
151 lakes, where they rear for one or two years. Juvenile sockeye salmon then migrate downstream to

152 the ocean where they mature before completing their return migration two to three years later.
153 Canada's most abundant sockeye salmon run returns to the Fraser River in southern British
154 Columbia. These populations initially enter and rear for several weeks to months in the Strait of
155 Georgia before migrating along the continental shelf to mature in the Gulf of Alaska (Burgner
156 1991; Tucker et al. 2009).

157 Sea-type (also referred to as river-type) sockeye salmon exhibit an alternative life history
158 strategy. These individuals do not rear in freshwater lakes, but instead migrate directly
159 downstream after emergence and rear for several months in tidal sloughs, then enter the ocean
160 proper relatively late in the summer (Healey 1980; Birtwell et al. 1987; Beamish et al. 2016).
161 Relative to lake-type juveniles, sea-type individuals also migrate away from nearshore areas late
162 in the year and may use alternative migratory routes (Tucker et al. 2009; Beacham et al. 2014a;
163 Beamish et al. 2016). Although relatively rare, a number of sockeye salmon populations are
164 dominated by sea-type individuals (Gustafson and Winans 1999), including the Harrison River
165 conservation unit (CU) included in our analysis (Healey 1978; Beamish et al. 2016).

166 *Data Sources*

167 We used estimates of spawner abundance and recruitment (age-specific catch plus
168 escapement minus an adjustment for mortality during upstream migration; Grant et al. 2011) for
169 16 CUs throughout the Fraser River watershed. CUs are functionally equivalent to evolutionary
170 significant units of Pacific salmon in the United States (Waples 1995) and consist of population
171 assemblages that share a common life history strategy, run timing, genetic history, and
172 freshwater nursery habitat (Holtby and Ciruna 2007). Techniques for estimating escapement in
173 the Fraser River have varied by CU and year and include a combination of higher precision fence

174 and mark recapture methods, and lower precision visual surveys; in more recent years, high
175 precision sonar methods have also been used in particular systems. Generally, there are two
176 broad categories of survey precision, with higher precision techniques employed for CUs in
177 years when escapements are expected to be relatively large (>25K from 1950-2003; >75K from
178 2003 to present) and lower precision techniques used otherwise (Grant et al. 2011). Catch is
179 estimated in marine and freshwater fisheries for each stock (approximately equivalent to a CU)
180 and age class. Escapement is also organized by age from spawning ground samples. Methods for
181 estimating Fraser River sockeye salmon catch and escapement are reviewed in detail in Grant et
182 al. (2011).

183 We focused our analysis on 16 Fraser River CUs with long, continuous time series of
184 escapement and age-specific recruitment (Table 1). In one case, we used a single population
185 (Seymour River) as a proxy for its CU (Shuswap-ES) because the other major population had a
186 relatively short time series. Our analysis included data for brood years from 1973-2010. We
187 excluded prior data because several CUs were supplemented with spawning channels after 1973
188 (Grant et al. 2011). Fraser River sockeye salmon typically mature between ages 3 and 5. As a
189 result, 2010 is the most recent brood year for which complete age-specific recruit data were
190 available and the last year included in our analysis.

191 Table 1. Fraser River sockeye salmon conservation units and a subset of their component
192 spawning populations retained for the analyses performed in this study. Genetic groupings are
193 based on allele frequency data published in Beacham et al. (2006) and Holtby and Ciruna (2007).
194 Genetic groups are arranged alphabetically beginning with the most divergent group, i.e. Group
195 A is least similar to the rest of the watershed. Bolded CUs had time series extending to 1948 and
196 were included in supplementary analyses. The subset of spawning populations presented here

197 corresponds to those described in Beacham et al. (2006); a full summary of the spawning
 198 populations that form each CU are presented in Grant et al. (2011).

Conservation Unit	Spawning Populations	Genetic Group	Run Timing	Management Intervention
Harrison (Upstream)	Weaver	A	Late	Spawning channel
Harrison River	Harrison River	A	Late	NA
Lillooet-Harrison	Birkenhead	A	Late	NA
Pitt	Pitt	A	Early Summer	Hatchery
Seton	Portage	B	Late	NA
Seymour (proxy for Shuswap-ES)	Seymour (Scotch also contributes to Shuswap-ES)	B	Early Summer	NA
Shuswap-Late	Adams River (Lower and Upper), Shuswap (Lower, Middle, and Upper), Eagle, Little River, Cayenne, Little River	B	Late	NA
Anderson-Seton	Gates	C	Early Summer	Spawning channel
Chilko	Chilko (South and Main)	D	Summer	Spawning

			(minority Early Summer)	channel and fertilization
Kamloops	North Thompson, Raft	D	Early Summer	NA
North Barriere	Fennell	D	Early Summer	NA
Quesnel	Horsefly (Upper, Lower, and Middle), Mitchell, McKinley, Roaring River, Wasko Creek, Blue Lead	D	Summer	NA
Francois-Fraser	Stellako	E	Summer	NA
Nadina-Francois	Nadina	E	Early Summer	Spawning channel
Takla- Trembleur	Dust, Forfar, Gluskie, Hudson Bay, Blackwater, Porter Creek	E	Early Stuart	NA
Takla- Trembleur- Stuart	Pinchi Creek, Kuzkwa Creek, Middle River, Tachie	E	Summer	NA

199

200 We estimated productivity (\log_e recruits per spawner) using total recruits (i.e. the sum of
 201 2.1, 2.2 and 2.3 returns for a given brood year, except for Harrison River fish where 1.1, 1.2 and
 202 1.3 age classes dominate) and an estimate of effective female spawners, which better accounts

203 for interannual differences in sex ratios and pre-spawn mortality. Effective female spawners are
204 the product of female escapement estimate and spawner success, which is based on the egg
205 proportions in female carcasses observed on the spawning grounds.

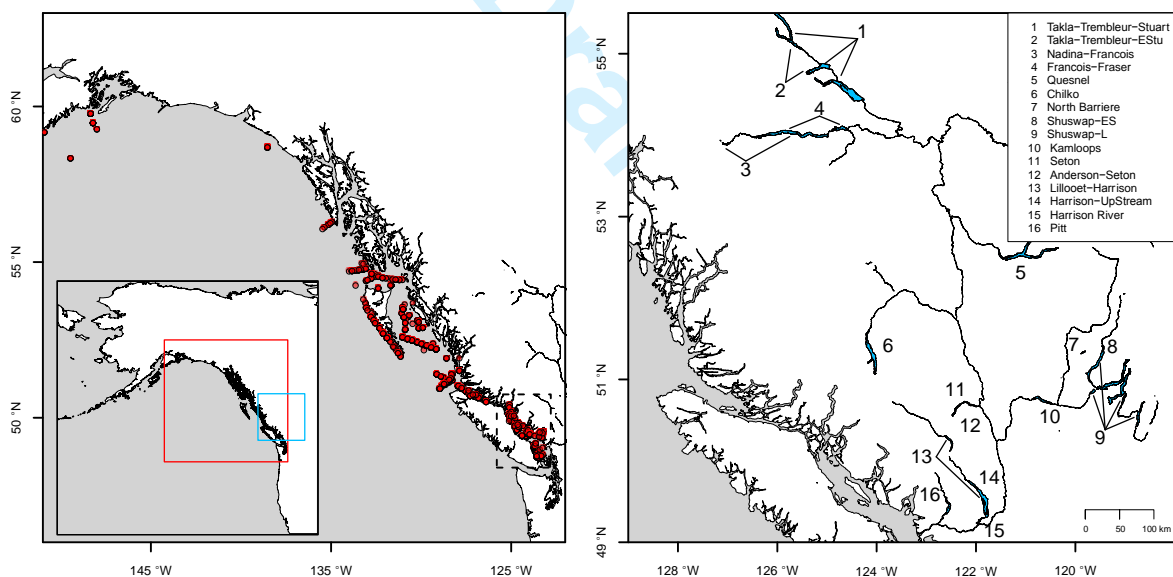
206 *Ecological Covariates*

207 Pacific salmon have complex life histories and interact with a range of distinct
208 environments that may shape their population dynamics. We accounted for this diversity by
209 constructing models that explicitly tested whether freshwater rearing habitat, management
210 interventions, genetic similarity, adult migration phenology, or juvenile marine migratory traits
211 best predicted shared trends in productivity. These ecological covariates are described in detail
212 below.

213 Freshwater Rearing Habitat

214 The 16 CUs incorporated in this analysis rear in 20 nursery lakes (Fig. 1). Each CU may
215 rear in a single or multiple nursery lakes, with the CU's name typically reflecting the lake(s)
216 where rearing occurs, as well as run timing. For example, individuals from the Francois-Fraser S
217 CU are summer-run fish that rear in Francois and Fraser lakes. However, one CU, Shuswap-L,
218 may rear in Adams, Mabel, Mara, or Little Shuswap lakes, as well as Shuswap Lake (Grant et al.
219 2011). Given that comprehensive monitoring of lake ecosystems is lacking (e.g., limnology,
220 predator-prey dynamics), we focused our analysis on characteristics that are readily available and
221 likely to influence juvenile rearing conditions, but do not exhibit strong temporal variability. For
222 each nursery lake we included spatial location (latitude and longitude), elevation, surface area,
223 and mean depth from Shortreed et al. (2001). Values that were unavailable in that document
224 were retrieved from the BC Ministry of Environment bathymetry database

225 (<http://a100.gov.bc.ca/pub/fidq/viewBathymetricMaps.do>). As a proxy for the difficulty of
 226 freshwater migration, we also included an estimate of the in-river distance from each lake's
 227 mouth to the Fraser River estuary using topographic maps (when a CU rears in multiple lakes we
 228 used the mouth of the lowest elevation lake to estimate migration distance). These data are
 229 summarized in Table S1. When fry from a CU rear in multiple nursery lakes we used mean
 230 values for all characteristics, except for surface area, which we summed. To identify CUs with
 231 similar locations, elevation, surface area, migration distance, and mean depth, we calculated a
 232 dissimilarity matrix from Euclidean distances and used UPGMA (unweighted pair group method
 233 with arithmetic mean) hierarchical clustering to group CUs (Borcard et al. 2011). Cluster
 234 analyses were completed with the *vegan* package using R 3.2.2 (R Core Team 2017).



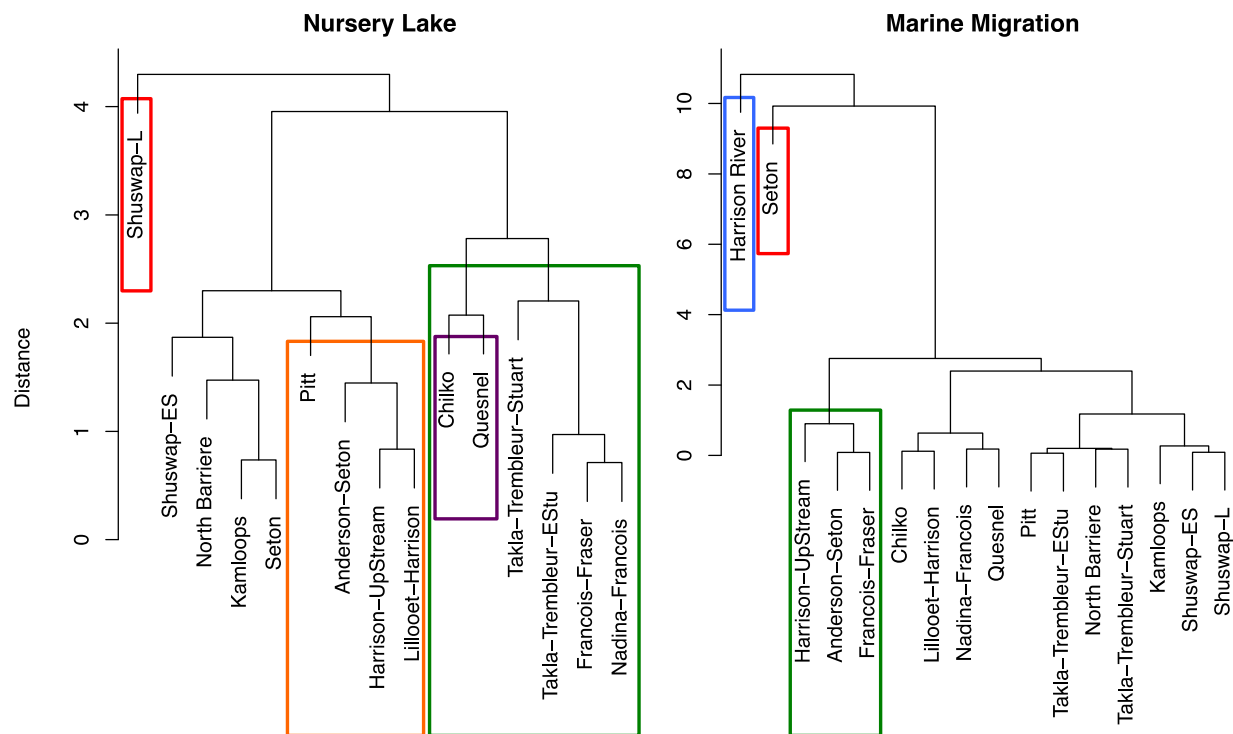
235
 236 Figure 1. Catch locations of juvenile sockeye salmon during marine sampling surveys (red filled
 237 circles on left). The Strait of Georgia is outlined by a dashed polygon. On right, location of
 238 nursery lakes used by Fraser River conservation units included in this study: 1) Takla-
 239 Trembleur-Stuart-S, 2) Takla-Trembleur-EStu, 3) Nadina-Franocis-ES, 4) Francois-Fraser-S, 5)

240 Quesnel-S, 6) Chilko-S, 7) North Barriere-ES, 8) Shuswap-ES, 9) Shuswap-L, 10) Kamloops-ES,
241 11) Seton-L, 12) Anderson-Seton-ES, 13) Lillooet-Harrison-L, 14) Harrison (UpStream)-L, 15)
242 Harrison River-L, 16) Pitt-ES. Figure created using the *maps* package (Becker et al. 2016) in R
243 3.2.2 (R Core Team 2017).

244

245 We identified Harrison River as an out-group (i.e. the CU least similar to all others) *a*
246 *priori* to the cluster analysis, because juveniles from this CU migrate directly to the marine
247 environment after emergence and therefore could not be assigned to a nursery lake. With the
248 exception of Harrison River, CUs generally clustered by position in the watershed (i.e. upper,
249 middle, or lower) (Fig. 2).

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250

251 Figure 2. UPGMA cluster diagrams for nursery lake (left) and marine migration (right)

252 characteristics. Colored boxes represent the order in which groups of CUs were assigned unique

253 trends: blue first, followed by red, green, purple and orange. Note that the sea-type Harrison

254 River CU is not included on the left because it does not rear in a nursery lake and was classified

255 as an out-group *a priori*.

256

257 Management Intervention

258 As noted above, five Fraser River CUs have experienced substantial management actions

259 intended to increase and stabilize productivity. We identified the CUs with spawning channels

260 (Anderson-Seton-ES, Nadina-Francois-ES, and Harrison (U/S)-L) as an outgroup due to the long
261 history of these impacts and evidence that they have increased productivity (Grant et al. 2011).
262 The Pitt-ES (hatchery influence) and Chilko-S (whole lake fertilization and spawning channel)
263 CUs were assigned to third and fourth groups, respectively, because the duration of these
264 interventions was shorter and their impact less certain (Bradford et al. 2000; Peterman and
265 Dorner 2012; Akenhead et al. 2016).

266 Genetic Similarity

267 The genetic structure of sockeye salmon throughout the North Pacific has been
268 extensively examined and the more abundant spawning populations within Fraser River CUs can
269 be readily identified using microsatellites (Beacham et al. 2005) or single nucleotide
270 polymorphisms (Beacham et al. 2010). Previously published data grouped closely related
271 populations into CUs (Beacham et al. 2006; Holtby and Ciruna 2007), as well as CUs into
272 clusters (Holtby and Ciruna 2007), using allele frequencies and Weir and Cockerham's (1984)
273 genetic differentiation index. Allele frequencies were estimated at 14 microsatellite loci; detailed
274 methods and population specific sample sizes can be found in Beacham et al. (2005). In Table 1
275 we present the subset of spawning populations that contribute to each CU and are referenced in
276 Beacham et al. (2006), as well as groupings of closely related CUs that are identified in Holtby
277 and Ciruna (2007).

278 Run Timing

279 Fraser River CUs are assigned to one of four run-timing groups based on their return
280 migration phenology. Early Stuart individuals typically return to the lower Fraser River from
281 late-June to late-July, early summer from mid-July to mid-August, summer from mid-July to

282 September, and late-run from late-July to mid-October (Grant et al. 2011); however, there can be
283 considerable overlap among run timing groups. Since CUs are already assigned to a specific run,
284 we did not complete a cluster analysis for this covariate, however our subsequent analyses did
285 require CUs to be ranked in terms of their similarity to one another. *A priori* we identified the
286 Early Stuart run as the most basal out-group since it contains only one CU, has the strongest
287 evidence for a unique offshore phenology or distribution, and can experience elevated levels of
288 en route mortality when Fraser River flows are high (Grant et al. 2011; DFO 2016a). We
289 classified late-run CUs as the next most basal out-group due to evidence that several late-run
290 CUs have experienced anomalously high prespawm mortality in recent years (Cooke et al. 2004).

291 Marine Migration

292 We collected juvenile sockeye salmon during summer and autumn research surveys,
293 which are designed to estimate the abundance, distribution, and condition of juvenile Pacific
294 salmon during their early marine residence. Summer sampling along the continental shelf (i.e.
295 west coast of Vancouver Island north to the Alaskan border) generally takes place in June
296 (Tucker et al. 2009; Beacham et al. 2014a), while sampling in the Strait of Georgia occurs in late
297 June and early July (Preikshot et al. 2012; Beamish et al. 2016). Fish are collected via a mid-
298 water trawl deployed from CCGS W.E. Ricker or a chartered vessel from set survey locations
299 (details of sampling methods in Beamish et al. 2000 and Sweeting et al. 2003; Fig. 1). Beginning
300 in 1996 for continental shelf surveys and 2008 for Strait of Georgia surveys, a subset of fish were
301 assigned to spawning populations using the coastwide genetic stock identification techniques
302 described above (Table S2; details in Beacham et al. 2014a).

303 We used stock-identified catch data from these surveys to estimate variation in two
304 metrics related to early marine migration phenology among sockeye salmon CUs. First, we
305 calculated mean fork length within each CU since juvenile sockeye salmon migratory rate
306 (Freshwater et al. 2016b) and catch distribution (Tucker et al. 2009; Beacham et al. 2014b) are
307 correlated with body size. Second, we calculated the proportion of the total catch of a given CU
308 that occurred outside the Strait of Georgia (Table S2). Since Fraser River sockeye salmon enter
309 and rear in the Strait of Georgia before migrating north along the continental shelf, this
310 proportion serves as a proxy for differences in entry timing or duration of nearshore residence.
311 Due to small sample sizes for certain CUs, we estimated mean body size and spatial distribution
312 data across all sampling years.

313 We next calculated a dissimilarity matrix based on Mahalanobis distances (to account for
314 correlations between size and capture location) and grouped CUs with UPGMA hierarchical
315 clustering. Broadly, CUs fell into one of three groups based on early marine migratory traits (Fig.
316 2). Harrison River individuals were generally small and captured exclusively in the Strait of
317 Georgia (Fig. S1; Table S2). Conversely, Harrison Lake (Upstream), Anderson-Seton, and
318 Francois-Fraser individuals were larger, with the majority captured outside of the Strait of
319 Georgia during summer surveys (Fig. S1; Table S2). The remaining CUs were intermediate in
320 these traits and clustered together.

321 *Analyses*

322 Relationships between multivariate time series and explanatory variables are often
323 examined with Mantel tests, which determine whether two or more matrices are significantly
324 correlated with one another. Mantel tests are ideal for testing for significant relationships

325 between variables representing measures of distance (e.g. genetic or spatial data; Borcard and
 326 Legendre 2012); however, they fail to account for the temporal autocorrelation that is present in
 327 time series data. As an alternative, we used dynamic factor analysis (DFA), which is a dimension
 328 reduction technique that can be used to identify and model common trends in nonstationary,
 329 multivariate time series (Zuur et al. 2003).

330 We modeled productivity for each CU i in brood year t with a Ricker model, which
 331 included a time-varying estimate of productivity ($\alpha_{i,t}$) and density-dependent effects within a
 332 given CU. For each CU i :

$$\log_e \left(\frac{R_{i,t}}{S_{i,t}} \right) = \alpha_{i,t} + \beta_i S_{i,t} + v_{i,t},$$

333 where $S_{i,t}$ is the number of effective female spawners in year t , $R_{i,t}$ is the number of recruits
 334 produced by $S_{i,t}$, β_i is the density dependent effect, and $v_{i,t}$ is a residual error assumed to be
 335 normally distributed with 0 mean and variance σ_{Rp} . Following Peterman et al. (2003), we
 336 modeled $\alpha_{i,t}$ as a first-order Markov process, whereby

$$\alpha_{i,t} = \alpha_{i,t-1} + w_{i,t},$$

337 and $w_{i,t}$ is a process error assumed to be normally distributed with 0 mean and variance σ_w .
 338 Together these two equations form a state-space model, which we can write in matrix form, such
 339 that

$$\mathbf{Y}_t = \boldsymbol{\alpha}_t + \boldsymbol{\beta}\mathbf{S}_t + \mathbf{v}_t, \text{ where } \mathbf{v}_t \sim \text{MVN}(0, \boldsymbol{\Sigma}_{Rp})$$

$$\boldsymbol{\alpha}_t = \boldsymbol{\alpha}_{t-1} + \mathbf{w}_t, \text{ where } \mathbf{w}_t \sim \text{MVN}(0, \boldsymbol{\Sigma}_w)$$

340 and \mathbf{Y}_t is a column of CU-specific estimates of $\log_e\left(\frac{R_i}{S_i}\right)$. However, rather than assume year-
 341 specific productivities $\alpha_{i,t}$ differed for each CU i , we instead set some of them equal depending
 342 on the *a priori* groupings discussed above. Specifically, we compared the performance of
 343 multiple, constrained DFA model structures that tested the hypothesis that CUs with similar traits
 344 exhibit shared, distinct trends in productivity through time (details below).

345 In DFA, the observed data are modeled as linear combinations of one or multiple latent
 346 “trends”, each of which can be modeled as a random walk (Zuur et al. 2003). We incorporated
 347 the standard Ricker model into this framework by modeling \mathbf{Y} as a linear combination of a
 348 limited number of common temporal patterns ($\alpha_{i,t}$), loadings on those shared productivities (\mathbf{Z}),
 349 offsets (\mathbf{a}) representing CU-specific deviations from mean productivity, and observation errors
 350 (\mathbf{v}) distributed as multivariate and normal with a mean of $\mathbf{0}$ and variance-covariance matrix \mathbf{R} .
 351 Thus, the multivariate Ricker model in the DFA framework becomes

$$\mathbf{Y}_t = \mathbf{Z}\alpha_t + \beta\mathbf{S}_t + \mathbf{a} + \mathbf{v}_t, \text{ where } \mathbf{v}_t \sim \text{MVN}(0, \Sigma_{R_P})$$

$$\alpha_t = \alpha_{t-1} + \mathbf{w}_t, \text{ where } \mathbf{w}_t \sim \text{MVN}(0, \Sigma_\alpha)$$

352 We assumed that the variances of the observation errors \mathbf{R} were related to survey effort and that
 353 there was no covariance. Therefore we estimated one variance parameter for each of the two
 354 categories of survey precision P (i.e. CUs with typically low or high abundance; Grant et al.
 355 2011) so that Σ_R included two estimated parameters down the diagonal and zeros elsewhere.

356 To ensure that the model is identifiable, we set the variance-covariance matrix Σ_α to the
 357 identity matrix \mathbf{I} with 1’s along the diagonal and 0’s elsewhere. Finally, we modeled the initial
 358 vector of productivities as random effects with a mean of zero and the initial state vector (α_0) set

359 to a mean of zero and a diagonal variance-covariance matrix with large variance (here equal to
360 10)

$$\alpha_0 \sim \text{MVN}(0, 10)$$

361 We estimated the parameters and states for different DFA models with the *MARSS* package
362 (Holmes et al. 2014) using R 3.2.2 (R Core Team 2017).

363 As the DFA model structure is flexible and there were many possible model forms, we
364 specifically set up a multi-model comparison procedure to test among competing hypotheses.
365 For each covariate type (nursery lake, genetic, run timing, or marine migratory characteristics),
366 this procedure began with a null model that estimated a single common trend among all CUs.
367 Against this null model, we compared a model containing one additional trend, representing the
368 most basal out-group identified *a priori* or in a given cluster analysis (see previous section for
369 details). This group represents the CUs whose nursery lake, management intervention, genetic,
370 run timing, or marine migratory characteristics are most divergent from the watershed average..
371 We repeated this process, estimating up to six nursery lake, four management intervention, five
372 genetics, four run timing, and four marine migration trends (Table S3).

373 A single factor loading Z was estimated for all the CUs sharing a common trend
374 (similarly, if only one CU was in an assigned group it received its own trend). We repeated the
375 model identification process across each covariate matrix (i.e. nursery lake, management
376 intervention, genetic, run timing, and marine migration), including the null. We then compared
377 the support for each model within the data using AICc. We note that because the Harrison River
378 CU was both assigned as an out-group *a priori* in the nursery lake models and identified as an
379 out-group in the marine migration cluster analysis, the two-trend models for these ecological

380 covariates are structurally identical. Thus, we consider a model that assigns a unique trend only
381 to the Harrison River CU to describe broad patterns in life history (i.e. sea-type vs. lake-type),
382 rather than differences in lake or migratory traits alone. In total we compared 18 distinct models.

383 The approach presented here is different than that of most published DFA studies (e.g.,
384 Malick and Cox 2016, Jorgensen et al. 2016, Ohlberger et al. 2016), which typically estimate
385 population-specific loadings across multiple trends, then use the combination of loadings and
386 trends to evaluate relationships among populations *post hoc*. By assigning groupings *a priori* and
387 preventing loadings from varying within a group, we constrained the models so that variation
388 among time series was predominantly described by trend assignment (as opposed to loadings).
389 As a result, these models are less suitable for unstructured exploratory analyses or predicting
390 future dynamics, but are more ideal for testing specific hypotheses about patterns of covariance
391 among time series.

392 After examining potential drivers of covariance in productivity, we explored how
393 synchrony in productivity among Fraser River CUs has changed through time. We calculated
394 rolling, 10-year estimates of system synchrony (φ) using the index presented in Loreau and de
395 Mazancourt (2008), which varies between 0 (asynchrony) and 1 (total synchrony), and where:

$$\varphi = \frac{\sum_{ij} \sigma_n^r(i, j)}{(\sum_i \sigma_{xi})^2} = \frac{\sigma_n^c}{(\sum_i \sigma_{xi})^2}$$

396 Here, i and j represent individual CUs, σ_n^r is the covariance in productivity ($\log_e(R/S)$) between
397 CUs i and j and σ_n^c is a scalar indicating the variance of total community productivity for a
398 complex of n CUs. We estimated synchrony using the *synchrony* package in R (Gouhier and
399 Guichard 2014).

400 Finally, we conducted three sensitivity analyses. First, we repeated the DFA while
401 excluding two CUs that had relatively small sample sizes in marine sampling surveys. Second,
402 we repeated the DFA and estimated synchrony within a subset of 10 CUs with time series dating
403 to 1948. Third, we repeated both analyses using an alternative index of productivity. Previous
404 analyses indicate certain Fraser River CUs may exhibit delayed density dependence (Grant et al.
405 2011; Peterman and Dorner 2012). To account for these effects, we estimated common trends
406 and changes in synchrony using residuals from stationary Larkin models for CUs with evidence
407 of delayed density dependence or stationary Ricker models for those that did not. The results of
408 all three analyses were consistent with those presented here and are provided as a supplement.

409

410

RESULTS

411 Despite variation across an array of ecological traits, CUs throughout the Fraser River
412 exhibited largely coherent changes in productivity (Fig. 3). Models that estimated one to three
413 productivity trends among all Fraser River CUs typically outperformed models with a larger
414 number of trends (Table 2; Table S3), suggesting groups of CUs with distinct ecological
415 characteristics are generally not responding asynchronously to one another. The most
416 parsimonious model estimated two trends that were assigned based on nursery lake and marine
417 migration traits (Table 2), i.e. a life history type model. The null model, which included one
418 trend shared among all CUs, was the next most highly ranked, though its performance was
419 considerably worse. Models that assigned trends based on management intervention, genetic
420 similarity, or run timing, regardless of the number of trends, performed more poorly (Table S3).
421 The top ranked model remained the same when we repeated our analysis but a) excluded CUs

422 with low sample sizes during marine surveys, b) included only CUs with time series extending
 423 back to 1948, and c) modeled alternative estimates of productivity (Table S4, S5).

424 The top-ranked model was constrained to estimate one trend for all CUs that rear for at
 425 least one year in nursery lakes and migrate relatively quickly out of the Strait at large body size.
 426 It assigned a separate trend to the single sea-type CU included here, which also contains smaller
 427 and slower moving marine migrants. The first trend, which applied to 15 of the 16 CUs in the
 428 analysis, was characterized by relatively stable dynamics until the mid-1980s when a marked
 429 decline began (Fig. 4). The decline in productivity persisted for over a decade, reaching its
 430 lowest point in the mid-2000s. Between 2006 and 2010 the productivity of lake-type CUs
 431 returned to the long-term mean. Conversely, the productivity of the Harrison River CU (the only
 432 sea-type CU in this analysis) was stable until the mid-1990s, after which its productivity steadily
 433 increased (Fig. 4). The trends estimated by the top-ranked model were similar when the analysis
 434 was restricted to CUs with longer time series and when alternative productivity indices were
 435 used (Online Supplement; Figs S2-S3).

436

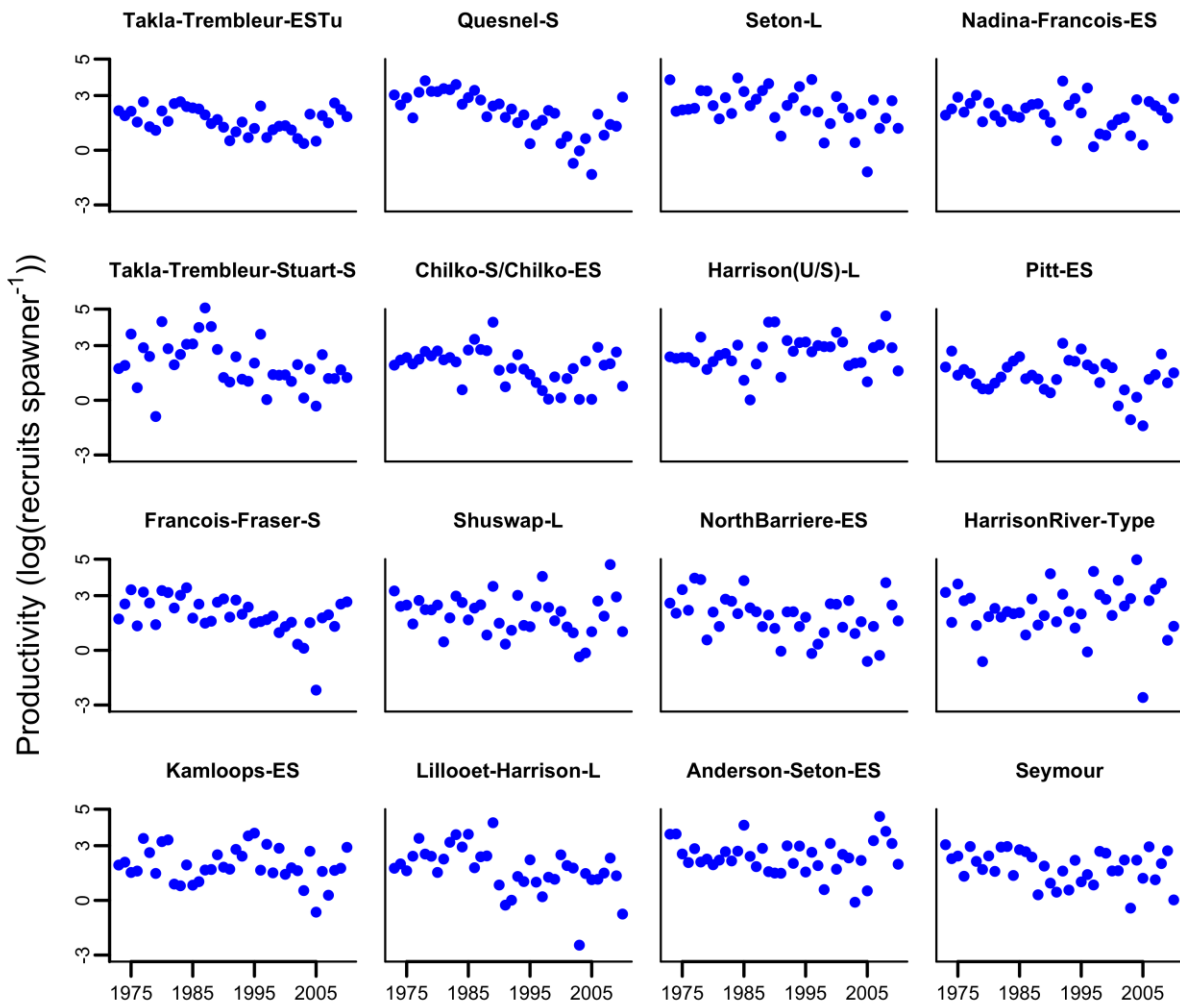
437 Table 2. Top ranked models from dynamic factor analysis with trends assigned to CUs based on
 438 four groups of ecological covariates. The full suite of models is presented in Table S3.

Ecological Covariate	Number of Trends	Log- likelihood	Number of Parameters	Δ AICc	AIC Weight
Migration/ Nursery Lake	2	-783.48	35	0	0.959
Null	1	-787.77	34	6.33	0.040

Nursery Lake	3	-789.50	36	14.29	<0.001
Migration	3	-792.89	36	21.07	<0.001
Genetics	2	-796.28	35	25.60	<0.001

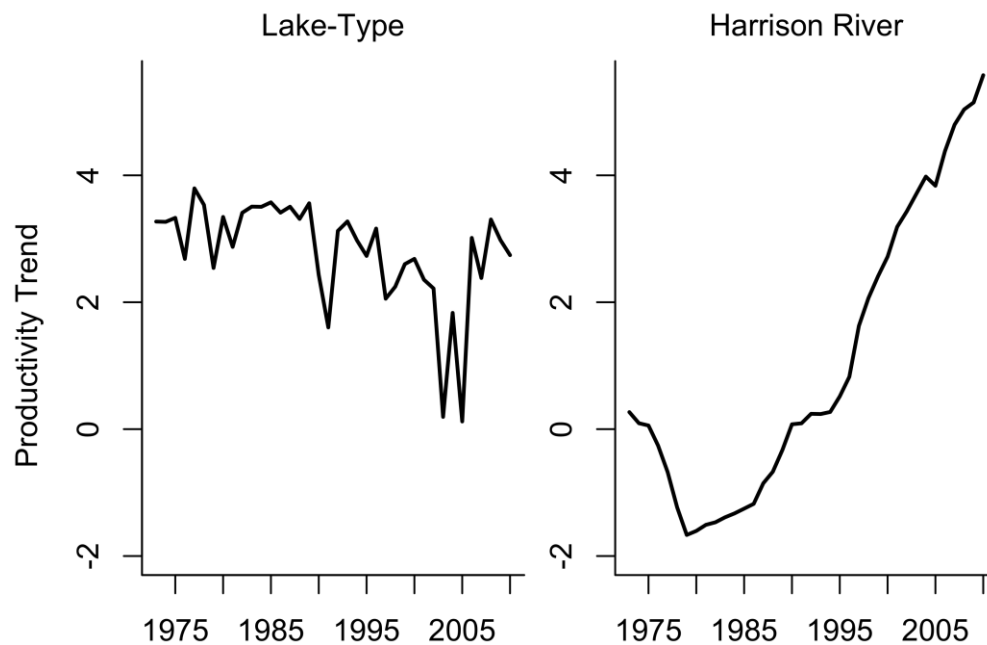
439

440



441

442 Figure 3. Time series of productivity for Fraser River sockeye salmon CUs.

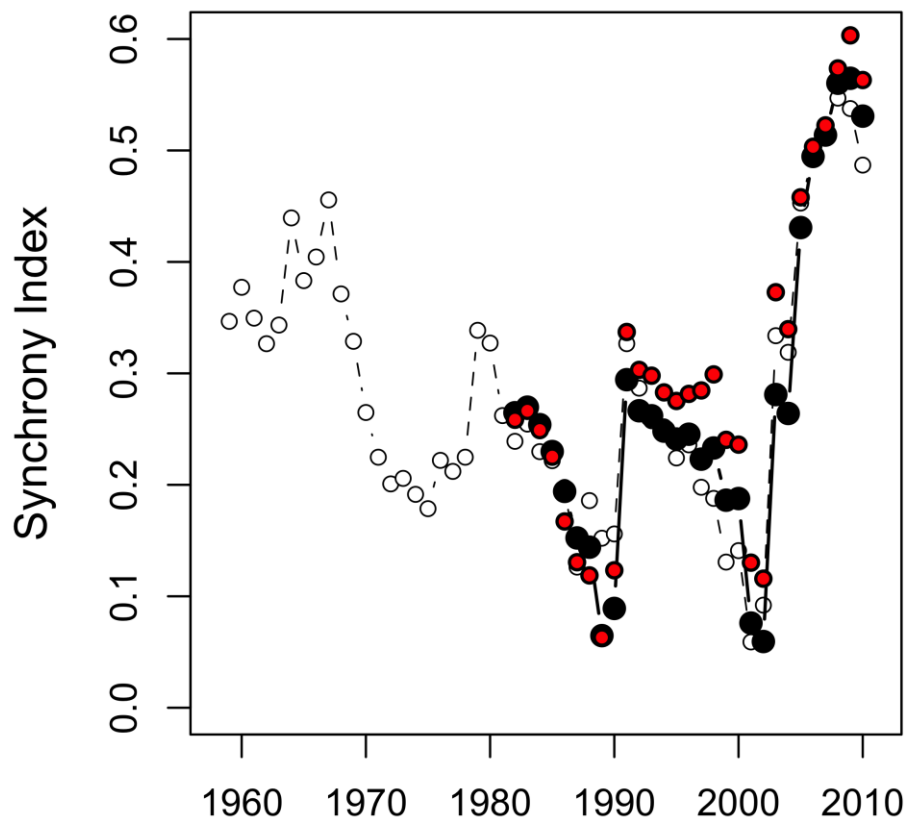


443

444 Figure 4. Trends in productivity estimated from top-ranked DFA for 15 lake-type sockeye
445 salmon CUs (left) and the sea-type Harrison River CU (right).

446

447 Since the 1980s, productivity among Fraser River CUs has become more synchronized.
448 The largest increases occurred in the early 2000s when productivity was uniformly low (Fig. 5).
449 However, the 11 CUs with longer time series exhibited similarly high levels of synchrony
450 through the 1960s (Fig. 5), suggesting that current levels of synchrony, though high, may not be
451 unprecedented. Removing the Harrison River CU from the analysis resulted in moderately
452 greater trends in synchrony (Fig. 5). Increases in synchrony through time were also evident with
453 alternative estimates of productivity (Fig. S4).



454

455 Figure 5. 10-year moving window estimates of synchrony in productivity ($\log_e(\text{recruits spawner}^{-1})$) among Fraser River sockeye salmon CUs. Filled symbols with solid lines represent the 15
 456 CUs with time series extending to 1973 and that were included in primary analyses; open
 457 symbols with dashed lines represent 10 CUs with productivity time series extending to 1948; red
 458 symbols represent the primary analysis CUs excluding Harrison River productivity data.
 459

460

461

DISCUSSION

462

463

Fraser River sockeye salmon CUs exhibit variation in nursery lake attributes,
 management interventions, genetic similarity, adult migration timing, and juvenile marine

464 migration traits. Yet we found little evidence that subgroups sharing these traits exhibited greater
465 productivity covariance with one another than they did with the Fraser River watershed as a
466 whole. Our top ranked model assigned a shared trend to 15 of 16 CUs, which exhibited stable
467 dynamics for several decades before declining precipitously in the late 1980s – a pattern
468 consistent with previous observations (Grant et al. 2011; Peterman and Dorner 2012). This
469 decline in productivity was followed by a recovery to the long-term average between 2005 and
470 2010. Conversely, Harrison River, the only CU assigned an alternative productivity trend, did
471 not exhibit a decline, but rather its productivity has steadily increased through 2010 (Grant et al.
472 2011; Peterman and Dorner 2012). Harrison River, a sea-type population, does not rear in a
473 nursery lake and migrates through the nearshore more slowly than other CUs in the watershed
474 (Beacham et al. 2014a; Beamish et al. 2016). Therefore it was assigned as an outgroup in both
475 the nursery lake and marine migration model sets, confounding a clear test of either trait as the
476 principal driver of coherent dynamics. As a result, broad life history type appears to be the most
477 parsimonious ecological covariate for productivity.

478 Lake-type populations may exhibit coherent dynamics due to regional environmental
479 drivers moderating the quality of freshwater nursery habitats. Changes in flow regime, ice out
480 date, or temperature can influence the productivity of salmon populations via freshwater survival
481 (Connor and Pflug 2004; Crossin et al. 2008; Rogers and Schindler 2011). Low smolt survival
482 during nursery lake residence is an obvious mechanism that could lead to strong declines in lake-
483 type CUs, which would not be observed in Harrison River. However, drivers of freshwater
484 productivity frequently appear to be moderated by local differences in physical habitat
485 characteristics, resulting in effects that vary at small scales (Crozier and Zabel 2006; Rogers and
486 Schindler 2011; Braun and Reynolds 2014). Furthermore, freshwater survival for Chilko sockeye

487 salmon, the only Fraser River CUs with long-term, stage specific-survival data, has been above
488 average in the past decade (DFO 2016b), even though its productivity declined through 2005
489 (Peterman and Dorner 2012). Furthermore, if regional drivers were strongly influencing
490 freshwater productivity, we might expect CUs that received management interventions, which
491 have the explicit goal of increasing freshwater growth or survival, to exhibit divergent dynamics
492 (although the efficacy of some of these programs is equivocal; e.g., Akenhead et al. 2016).

493 Synchronous dynamics may instead be more closely associated with events that occur
494 during marine residence, when many Fraser River CUs rear in sympatry. Pacific salmon
495 productivity can track basin-scale environmental variation (Mueter et al. 2002b; Kilduff et al.
496 2015), which may be correlated with changes in predation risk, foraging conditions, or
497 competition during marine residence. Survival in Pacific salmon often appears to be particularly
498 poor shortly after ocean entry (Parker 1968; Healey 1978) and this early marine mortality may
499 regulate productivity (Percy 1992). If mortality during marine residence is variable in space or
500 time, CUs with similar early marine characteristics may exhibit similar patterns in productivity.
501 Harrison River's unique early marine life history lends support to this hypothesis. As previously
502 noted, Harrison River juveniles enter the Strait of Georgia at a small size, remain there until
503 relatively late in the year, and may experience differential marine survival as a result (Healey
504 1980; Beamish et al. 2016).

505 Unfortunately Harrison River individuals exhibit a suite of unique marine characteristics
506 that prevent the identification of a single mechanism likely to drive differential survival. First,
507 Harrison River juveniles rear for several weeks in the Fraser River estuary before migrating to
508 Howe Sound in late June or early July (Healey 1980; Birtwell et al. 1987; Beamish et al. 2016).
509 Catches of other Fraser River CUs in both these regions are low and most juveniles appear to

510 disperse towards the Gulf Islands or north towards Johnstone Strait immediately after marine
511 entry in early May (Healey 1980; Preikshot et al. 2012; Neville et al. 2013). Rearing in tidal
512 sloughs or Howe Sound may lead to greater survival for Harrison River fish if predators are cued
513 to target large pulses of juveniles outmigrating in early summer (Furey et al. 2016). A later ocean
514 entry phenology may also increase the availability of prey to Harrison River juveniles because
515 zooplankton biomass typically peaks in the Strait of Georgia in July and August (Mackas et al.
516 2013). Second, while the majority of Fraser River sockeye salmon migrate through Johnstone
517 Strait, a substantial proportion of Harrison River juveniles appear to utilize a southern migratory
518 corridor through Juan de Fuca Strait, then migrate up the west coast of Vancouver Island (Tucker
519 et al. 2009; Beacham et al. 2014a; Beamish et al. 2016). Since Johnstone Strait appears to be a
520 region of poor growth and high-energy expenditure (Ferriss et al. 2014; McKinnell et al. 2014;
521 Journey et al. *in press*), some fraction of Harrison River fish may experience lower mortality by
522 avoiding this corridor.

523 Alternatively, processes occurring after individuals have migrated out of the Strait of
524 Georgia may predominantly regulate variation in the marine survival of sockeye salmon, for
525 example overwinter mortality (Farley et al. 2011), competitive interactions (Ruggerone and
526 Connors 2015), or physical oceanographic processes (Malick et al. 2017). While most Fraser
527 River CUs appear to migrate off the continental shelf near Alaska before their first ocean winter,
528 out of several hundred Harrison River fish sampled, only two have been collected north of
529 Johnstone Strait in any season (Beacham et al. 2014a). Therefore, Harrison River's divergent
530 productivity may be associated with a unique offshore ecology. Unfortunately knowledge of
531 sockeye salmon distributions as they mature is limited because offshore surveys are rare and

532 relatively few individuals have been sampled since genetic stock identification techniques were
533 widely adopted.

534 Although the dominant trend across Fraser River sockeye salmon CUs was characterized
535 by a recent return to average levels of productivity, the long-term status of the metapopulation
536 remains uncertain. We were unable to incorporate the most recent productivity data (i.e.
537 spawners that returned in 2016) because age-specific estimates of abundance were not yet
538 available; however preliminary data suggest that the productivity of summer-run CUs has
539 declined for the 2015 and 2016 returns. Furthermore, our results suggest that the productivity of
540 Fraser River CUs has become increasingly synchronized. Greater synchrony is particularly
541 concerning given that we found little evidence of functional diversity (as indicated by
542 productivity trends) among CUs, which could act to buffer the system as a whole from poor
543 rearing conditions.

544 What may have weakened the Fraser River portfolio effect is less clear. Greater
545 synchrony among Pacific salmon populations is often attributed to hydropower development and
546 hatchery propagation, which can result in reduced phenotypic diversity and homogeneous
547 responses to shared stressors (Moore et al. 2010; Carlson and Satterthwaite 2011; Satterthwaite
548 and Carlson 2015). Although anthropogenic disturbances are present on the Fraser River (e.g.
549 forestry, agriculture, water use), hatchery and hydropower influences are limited (Nelitz et al.
550 2011). Nevertheless the Fraser River synchrony estimates we present here are broadly equivalent
551 to those of Central Valley fall-run Chinook salmon, a stock aggregate that has been strongly
552 impacted by anthropogenic development (Satterthwaite and Carlson 2015).

553 It is possible that the Fraser River meta-population is intrinsically more susceptible to
554 synchronizing effects. As previously noted, asynchronous dynamics are commonly observed
555 within relatively small spatial areas. For example, sockeye salmon population dynamics in
556 western Alaska are strongly correlated within nursery lakes and between life history types
557 (Rogers and Schindler 2008; Griffiths et al. 2014b). Relative to these Alaskan systems, the
558 Fraser River has relatively little age class diversity (Burgner 1991), which may increase the
559 likelihood of coherent dynamics. Supporting this hypothesis, western Alaska's salmon portfolios
560 are considerably more stable than those in the Fraser River and other southern regions (Griffiths
561 et al. 2014a). Additionally large-scale environmental drivers may have strengthened divergent
562 patterns between Alaskan and British Columbia populations in recent years. Relative to sockeye
563 salmon populations at the southern end of the species range, northern populations tend to exhibit
564 opposite trends in productivity (Mueter et al. 2002a; Peterman and Dorner 2012) and are more
565 weakly associated with large scale environmental drivers (Malick et al. 2017). As a result,
566 northern populations may currently be in a regime where freshwater processes at fine spatial
567 scales are the principal driver of variation in productivity, while local processes in the south are
568 obscured by relatively uniform poor marine survival.

569 Regardless of what has led to high levels of synchrony, current productivity patterns
570 suggest little opportunity to efficiently optimize returns on the Fraser River sockeye salmon
571 portfolio. If populations within an aggregate contain distinct functional responses to
572 environmental stochasticity, long-term productivity can be maximized by explicitly conserving a
573 range of phenotypes (Anderson et al. 2015). In the case of Fraser River sockeye salmon we did
574 not detect evidence that ecological diversity was associated with divergent patterns of
575 productivity in recent years (with the exception of Harrison River). Therefore, it is unclear what

576 axis of phenotypic diversity managers should conserve to ensure metapopulation persistence. In
577 such a scenario, the most precautionary approach is to minimize risk by sustaining the largest
578 number of population groups feasible (Anderson et al. 2015).

579 We observed broadly coherent trends among sockeye salmon CUs, providing additional
580 evidence that regional environmental drivers likely moderate Pacific salmon productivity via
581 changes in survival or growth. To better understand variability among Fraser River sockeye
582 salmon populations, future research should seek to identify drivers of productivity that are
583 constrained to disproportionately influence lake-type populations and could plausibly increase
584 synchrony among populations. An improved understanding of fine-scale differences in marine
585 distribution among populations may be particularly valuable.

586

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596

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